

REQUEST FOR COUNCIL ACTION



CITY COUNCIL MEETING DATE:

DECEMBER 1, 2020

TITLE:

PUBLIC HEARING – APPEAL APPLICATION NO. 2020-02, APPEALING THE DECISION OF THE PLANNING COMMISSION TO APPROVE AN ADDENDUM TO THE TRANSIT ZONING CODE ENVIRONMENTAL IMPACT REPORT FOR THE 4TH AND MORTIMER MIXED-USE PROJECT, AND TO CONSIDER ENVIRONMENTAL REVIEW NO. 2018-13 AND AMENDMENT APPLICATION NO. 2020-04 TO ALLOW CONSTRUCTION OF THE 4TH AND MORTIMER MIXED-USE PROJECT LOCATED AT 409 AND 509 EAST FOURTH STREET (PROPERTY OWNER: NORTHGATE GONZALEZ RE, LLC AND APPLICANT: RED OAK INVESTMENTS, LLC)

CLERK OF COUNCIL USE ONLY:

APPROVED

- ☐ As Recommended
- ☐ As Amended
- ☐ Ordinance on 1st Reading
- ☐ Ordinance on 2nd Reading
- ☐ Implementing Resolution
- ☐ Set Public Hearing For _____

CONTINUED TO _____

/s/ Kristine Ridge

CITY MANAGER

FILE NUMBER _____

RECOMMENDED ACTION

1. Adopt a resolution denying Appeal Application No. 2020-02 and upholding the Planning Commission's approval of a resolution adopting an Addendum to the Environmental Impact Report for the Transit Zoning Code Project (SCH NO. 2006071100) and adoption of a mitigation monitoring and reporting program for Site Plan Review No. 2020-03 and Variance No. 2020-06.
2. Adopt a resolution approving an Addendum to the Environmental Impact Report for the Transit Zoning Code Project (SCH NO. 2006071100) and adoption of a mitigation monitoring and reporting program for Amendment Application No. 2020-04.
3. Adopt an ordinance approving Amendment Application No. 2020-04 for Specific Development No. 84 (SD84).

PROPERTY OWNER AND APPLICANT INFORMATION

1. Owner: Northgate Gonzalez Real Estate
2. Applicant: Red Oak Investments, LLC
3. Project Representative: Andrew Nelson

PLANNING COMMISSION ACTION & BACKGROUND

At its regular meeting on October 12, 2020, and after receiving public testimony on the item, the Planning Commission voted unanimously (7:0) to adopt a resolution approving an Addendum to the Environmental Impact Report (EIR) for the Transit Zoning Code Project (SCH NO. 2006071100), mitigation monitoring and reporting program, Site Plan Review No. 2020-03 (SPR) as conditioned,

and Variance No. 2020-06 (VAR) as conditioned. The Planning Commission modified Condition No. 6 of Site Plan Review No. 2020-03 and Variance No. 2020-06 requiring a public art plan prior to issuance of the certificate of occupancy, instead of prior to issuance of a building permit. The modified condition also requires the art work to be completed within a year of completion of the project. In addition, the Planning Commission recommended that the City Council adopt a resolution approving an Addendum to the Environmental Impact Report for the Transit Zoning Code Project (SCH NO. 2006071100), mitigation monitoring and reporting program, and an ordinance approving Amendment Application No. 2020-04 for Specific Development No. 84 (SD84).

On October 22, 2020, Michael Lozeau with Lozeau Drury, LLP, on behalf of the Supporters Alliance for Environmental Responsibility (SAFER), submitted an appeal application pursuant to Section 41-645 of the SAMC (Exhibit 1) appealing the Planning Commission's approval of the addendum to the TZC EIR in connection with the approval of the SPR and VAR based on three main reasons, including: (1) CEQA compliance; (2) HOO applicability; and (3) consideration of the Planning Commission regarding public comment letter received. Subsequently, on November 3, 2020, Lozeau Drury, LLP, on behalf of SAFER, provided a letter to the City further stating its concerns about the project's exemption from HOO requirements that are contained in Santa Ana Municipal Code Section 41-1900 et seq. (Exhibit 2).

DISCUSSION

Andrew Nelson, with Red Oak Investments, LLC, representing Northgate Gonzalez Real Estate, is requesting approval of multiple entitlements to facilitate construction of a new mixed-use community on two City blocks located at 409 East Fourth Street (Site A) and 509 East Fourth Street (Site B). Specifically, the applicant is requesting approval of an addendum to the Environmental Impact Report for the Transit Zoning Code (TZC) as well as an amendment application (AA) to modify the sub-zoning designation of various parcels on Site B from the Urban Neighborhood 2 (UN-2) subzone to the Urban Center (UC) sub-zone. The project would contain a total of 169 residential rental units and 11,361 square feet of commercial space.

It is recommended that the City Council approve the applicant's request due to the project's satisfaction of meeting the intent of the TZC to promote a pedestrian-oriented environment with a mix of land uses, facilitating the redevelopment of underutilized land with new development that is compatible with the surrounding community, encouraging increased employment opportunities within the City, the generation of additional property and sales taxes, and because the project will provide additional high-quality housing stock.

Table 1: Project and Location Information

Item	Information
Project Address	409 East Fourth Street and 509 East Fourth Street
Nearest Intersection	Fourth and French streets and Fourth and Mortimer streets
General Plan Designation	Site A – District Center (DC) Site B – Urban Neighborhood (UN)
Zoning Designation	Transit Zoning Code (Specific Development No. 84), Downtown subzone (Site A) and Urban Neighborhood 2 subzone (Site B)

Item	Information	
Surrounding Land Uses	Commercial, Single- and Multi-Family (North)	
	Commercial and Single-Family (East)	
	Commercial and Multi-Family (South)	
	Commercial and Parking (West)	
Site Size	2.715 acres combined (Site A: 1.423; Site B: 1.292 acres)	
Existing Site Development	Site A contains a commercial building (Northgate Gonzalez Market) and a surface parking lot; Site B contains vacant buildings and parcels.	
Use Permissions	Mixed-use projects are permitted by Section 41-2006 and Section 41-2007 of the SAMC.	
Zoning Code Sections Affected	Uses	Table 2A, SAMC Sec. 41-2007. SPR required pursuant to SAMC Sec. 41-2007;
	Development Standards	Transit Zoning Code, SAMC Sec. 41-2011, 41-2012 and 41-2023

Project Description

The project includes demolition of an existing Northgate Gonzalez Market and surface parking lot at 409 East Fourth Street (Site A) as well as the demolition of an existing commercial automobile service building and ancillary structures at 509 East Fourth Street. The existing commercial facility, Ming's Auto Repair, located at the northeast corner of Fourth and Mortimer streets (501 East Fourth Street), is not part of the proposed project and will remain. Once the onsite buildings are demolished and the sites are cleared, the applicant proposes to construct a mixed-use community on two development sites, Site A and Site B, containing 169 residential units, 11,361 square feet of leasable commercial area, and 422 onsite parking spaces. Table 2 on the next pages provides a summary of the proposed project.

Table 2: Project Summary

	Site A	Site B	Total
Site Area	1.423 acres	1.292 acres	2.715 acres
Residential	99 units	70 units	169 units
Amenities/Leasing Office	8,075 S.F.	None	8,075 S.F.
Retail	11,361 S.F.	None	11,361 S.F.
Public/Common Open Space	15,345 S.F.	13,233 S.F.	28,578 S.F.
Private Open Space	8,428 S.F.	2,832 S.F.	11,260 S.F.
Parking	230 stalls	192 stalls	422 stalls

Residential and Commercial Components

Site A will contain a mixed-use commercial/residential structure with 99 residential units, a 3,847-square-foot tenant suite designed for an eating establishment, 7,514 square feet of retail space, a

resident and leasing lobby, site amenities, a bicycle storage room, and a four-and-a-half story, aboveground parking garage with 230 parking spaces. The building frontage along Fourth Street, and the intersections of Fourth and French street and Fourth and Mortimer streets, would be seven-stories in height. The building would be five-stories in height along the French Street and Mortimer Street frontages.

The proposed suite designed for an eating establishment would be located on the ground floor at the corner of Fourth and French street and is designed to allow both indoor and outdoor dining. Also fronting Fourth Street is the retail space and leasing office. The four-and-a-half story parking garage would be located at the intersection of French and Fifth streets and will be accessed from Fifth Street. Resident amenities will include a large pool, courtyard, and residential amenities on the third level, and a rooftop deck on the seventh floor overlooking Fourth Street. A total of 23,773 square feet of open space, including 8,428 square feet of private open space and 15,345 square feet of common open space will be included.

Site B is designed to be residential only and will contain 70 units and a four-story, aboveground parking garage with 192 onsite parking spaces. The residential building will be accessed from a lobby on Mortimer Street and would be five stories in height across the site. Along the Fourth Street frontage, Site B would include a courtyard and residential units. The four-story parking garage would be located at the corner of Fifth and Minter streets and will be accessed from Fifth Street. Site B includes 16,065 square feet of open space, including 2,832 square feet of private open space and 13,233 square feet of common open space.

Of the development's 169 units, 21 will be studios (12.5%), 38 will be one-bedroom (22.5%), 66 will be two-bedroom (39%), 9 will be three-bedroom (5%), and 35 will be four-bedroom units (21%). Units will range from 458 to 529 square feet for the studio units, 650 to 739 square feet for the one-bedroom units, 938 to 1,185 square feet for the two-bedroom units, 961 to 1,237 square feet for the three-bedroom units, and 1,325 to 1,520 square feet for the four-bedroom units. All units will contain full kitchens, bedrooms, bathrooms, in-unit washer and dryers, and living/dining areas.

Architecture and Amenities

Both sites in the development have been carefully designed to be integrated within the existing development pattern in Downtown Santa Ana. The design's primary objective is to complement and expand the energy and character of downtown by taking cues from its urban scale and historic character. The ground floor of Building A is designed in the Main Street Commercial architectural style, consistent with identifiable patterns typical of downtown buildings. Building B's purely residential design complements the existing multi-family developments along Fourth Street. A landscape buffer is provided for each site creating a transitional space between the top of the structure and the street level while also providing screening at the lower level of the garages adjacent to the single-family residences. In addition, the building's architecture is designed to lessen the massing of the project with its elevations featuring articulation, multiple volumes, and varied architectural styles to mimic the appearance of multiple buildings. The building's varied height from five-stories to seven-stories generates a smooth transition from the higher-density character of the intersection of Fourth and French street to the lower-density character of the single-family residences to the north and to the east.

Each site has its own unique architectural vernacular defined by scale, facade articulation, roof forms, materials, and detailing. A variety of window dimensions are provided where appropriate while the header/sill trim details vary between both sites. Varied material/color palettes are featured on both buildings, in addition to varied window recesses, and decorative elements. While color palette is varied across both sites, care has been taken to provide a unified look to correspond to the surrounding buildings. Both structures feature durable brick veneer, glass, smooth stucco finishes, metal awnings, and textured blocks/panels. Lastly, the building will feature prominent artwork/murals across both sites. To ensure that the art is reflective of Santa Ana and is a positive cultural impact on the surrounding neighborhood, staff included a condition of approval that requires the applicant to coordinate with the City's Arts and Culture Office and submit a Public Art Plan to the Planning Division for review and approval.

The development will feature amenities commonly found at other upscale mixed-use developments in the region. These include a large courtyard with a pool, spa, clubroom, fitness room, and landscaping in the center of Site A; a roof terrace on the seventh floor of Site A, overlooking Fourth and French streets; a leasing office and lounge in Site A; a bike locker in Site A; and a ground-floor lobby and resident amenity area in Site B. In addition, the project features private open space/decks for 59 units on Site A and 48 units on Site B. Conditions of approval have been added to ensure the two-block project will be built and managed as a single community and all amenities will be accessible to residents from either site.

Analysis of Appeal

Pursuant to Section 41-645(a) of the SAMC, appeals can only be made on a decision or requirement made by the Planning Commission. Of the appeal reasons received, the only action taken by the Planning Commission was the action to adopt a resolution approving the addendum to the EIR for the TZC. The subsequent appeal items do not satisfy the requirements of SAMC Section 41-645(a) and should not be considered because: (1) No decision or action was taken by the Planning Commission regarding the HOO requirements because the HOO did not apply to the project; and (2) consideration of a public comment letter received does not constitute decision or requirement taken by the Planning Commission. Nonetheless, staff provides a comprehensive response below on all appeal items received.

The appellant is requesting that the City Council overturn the Planning Commission's decision approving the addendum to the TZC EIR for the 4th and Mortimer Mixed-Use project and provides three main reasons to substantiate the request, including: (1) CEQA compliance; (2) HOO applicability; and (3) Consideration of the Planning Commission regarding public comment letter received:

I. California Environmental Quality Act (CEQA) Compliance

- a. The appellant states that, "The City failed to comply with the California Environmental Quality Act (CEQA) by failing to prepare a project-specific EIR for the project."

Summary of Appeal Reasoning: The appellant contends that because the 2010 TZC EIR was a Program and not a Project EIR, State CEQA Guidelines Section 15152 precludes use of an addendum, State CEQA Guidelines Section 15162 and 15164

cannot apply, and the City must prepare a Supplemental or Subsequent EIR. The appellant further states that there is substantial evidence that the proposed project will have new environmental impacts that were not analyzed in the 2010 EIR. Moreover, the appellant states that: (1) There is significant evidence that the project will result in significant indoor air quality impacts; (2) The project will have significant impacts due to inconsistencies with the planning and zoning codes; (3) The project will have significant impacts to historic resources; (4) The project fails to implement mitigation measures required by the 2010 EIR; and (5) The project will have significant adverse air quality and greenhouse gas impacts.

Staff Response: CEQA does permit the use of an addendum when the original EIR being relied upon was a Program EIR. Public Resources Code Section 21166 and CEQA Guidelines Section 15162 establish when an agency must prepare a Supplemental or Subsequent EIR, and when an agency is permitted instead to prepare an addendum. As established, one of the circumstances described in Public Resources Code Section 21166 or CEQA Guidelines Section 15162 must be present before either a Subsequent or Supplemental EIR is required. For the 4th and Mortimer Mixed-use project, none of those conditions are present. These conditions are:

1. Substantial changes are proposed which will require major revisions of the previous EIR due to the involvement of new significant environmental effects or a substantial increase in the severity of previously identified significant effects;
2. Substantial changes occur with respect to the circumstances under which the project is undertaken which will require major revisions of the previous EIR due to the involvement of new significant effects or a substantial increase in the severity of previously identified significant effects; or
3. New information has come to light showing new impacts, substantially more severe impacts, that mitigation measures or alternatives previously found to be infeasible would actually be feasible, or that mitigation measures or alternatives previously not identified would reduce impacts.

This is also consistent with CEQA Guidelines section 15152(f), which the appellant incorrectly claims prohibits use of addenda when the original EIR is a Program EIR. Section 15152(f) states, "A later EIR shall be required when the initial study or other analysis finds that the later project may cause significant effects on the environment that were not adequately addressed in the prior EIR." For the subject project, the "other analysis," includes the technical studies relating to shade and shadow, air quality and greenhouse gas emissions, cultural resources, traffic, parking, noise and vibration, Phase I environmental site assessment, hydrology, a preliminary water quality management plan, and sewer capacity. In addition, the analysis includes the text of the addendum, which found that no additional significant effects would occur

beyond those already addressed in the 2010 EIR. Therefore, an addendum is the appropriate CEQA document.

Land Use Impacts from Zone Change and Variance: The zone change and variance for the project do not result in land use impacts. The addendum prepared for the 4th and Mortimer Mixed-Use project analyzes whether the project (including its proposed zone change and variance) would result in incompatibilities with surrounding existing uses, result in the division of an established community, result in inconsistencies with regional land use plans, and consistency with the general plan and TZC. The addendum explains that the proposed zone change and the proposed variance on a single block would accommodate the proposed building type, density and massing. Further, the zone change and variance would improve consistency by permitting aesthetic consistency between the two buildings proposed for the project, would provide a better transition between lower and higher density than the current zoning allows, and the proposed buildings (including building type, density, and massing) would not result in any incompatibilities with adjacent buildings and uses.

Although the TZC provides for a mixture of development types situated within walking distance of many modes of transit, it did not contemplate the precise route of the OC Streetcar at the time of its adoption in 2010. The 4th and Mortimer Mixed-Use project is proposed to be located adjacent to eastbound segments of the streetcar with a stop immediately adjacent at the intersection of Fourth and French streets. As a gateway project along the streetcar line, the proposed zoned change facilitates a design that better reflects a sense of downtown and builds upon allowable planning and architectural intensities, affirmatively addressing the pedestrian orientation and circulation at all street frontages. Furthermore, the zone change acknowledges the density and building envelope opportunities of the TZC to support the recent investment in the streetcar and maximizing development potential along the proposed routes. Thus, substantial evidence supports the addendum's conclusion that no land use impact would occur.

Historic Resources: As explained in the addendum, none of the buildings on either site are a historic resource. Moreover, none of the buildings are considered eligible for listing on either the National Register or the California Register. The building on Site B was considered potentially eligible for local listing in 1980 but due to the building's significant alterations to the brick and stucco façade, the double stepped parapet façade, the removal of the metal cap pieces and double-hung windows, the building is no longer considered eligible for even local listing. There is no evidence available to support a conclusion that the building is historically significant. Therefore, demolition of the building does not constitute a new impact for purposes of CEQA. As such, its demolition does not preclude use of an addendum.

Mitigation Measures from The Transit Zoning Code EIR: Should the City Council approve the project, the City Council would also adopt the Mitigation Monitoring and Reporting Program of the 2010 EIR. All mitigation measures from the 2010 EIR that apply to individual projects within the TZC area would apply to this project. Some

examples of the mitigation measures include, but are not limited to, exceeding current Title 24 requirements by twenty-percent, ensuring that landscaping includes a drought resistant plant palette, ensuring that the project's design features incorporate light-colored roofing materials for energy conservation, and that the building provides proper shielding for all new mechanical systems. Mitigation Measures are made enforceable through the project's conditions of approval.

Further technical review of the appellant's findings regarding the substantial evidence that the project will have several new environmental impacts not analyzed in the 2010 EIR (e.g., significant indoor air quality impacts, significant adverse air quality and greenhouse gas impacts, etc.) has been provided by the City's environmental consultant, Rincon Consultants, Inc. Rincon's detail response letter can be found as Exhibit 3 of this report.

The technical review concluded that building material manufacturer compliance and regulations combined with the characteristics of formaldehyde would limit the potential of human health risks to a less than significant level pursuant to the Southern California Air Quality Management District (SCAQMD) significance threshold of 10 per million. Therefore, health risks related to formaldehyde, including impacts associated with the project, would not result in a new significant impact. Moreover, the review concluded that air quality impacts were adequately assessed according to methodologies recommended by the California Air Resources Board (CARB) and the SCAQMD. Therefore, the project would not result in a significant risk from airborne pollution or result in new or more severe impacts related to air quality or greenhouse gas emissions. As such, the prepared addendum supports the conclusion that changes to the project would not result in new or more severe impacts, including those related to Aesthetics, Air Quality, Cultural Resources, Noise, and Traffic, with implementation of applicable mitigation measures identified in the 2010 FEIR and included in the addendum discussion.

- b. The appellant contends that under *Sierra Club v. County of Sonoma* (1992) 6 Cal.App.4th 1307 and *Sierra Club v. County of San Diego* (2014) 231 Cal.App.4th 1152, the fair argument test applies to the question of whether a subsequent EIR should have been used.

Summary of Appeal Reasoning: The appellant states that CEQA contains a strong presumption in favor of requiring a lead agency to prepare an EIR and that this presumption is reflected in the fair argument standard. The appellant further provides findings that the under the standard, a lead agency must prepare an EIR whenever substantial evidence in the whole record before the agency supports fair argument that project may have a significant effect on the environment.

Staff Response: Courts have held that the fair argument test applies only to "preparation of an EIR in the first instance." Once an EIR has been prepared for a project, CEQA Guidelines Section 21166 prohibits agencies from requiring a subsequent or supplemental EIR unless substantial changes are proposed.

For the subject project, the City has applied Section 21166 (see Section I(a) above) and determined that substantial evidence supports the determination that the changes required for the 4th and Mortimer Mixed-Use project (i.e., the site plan review, zone change, and variance) do not result in new significant impacts and does not require preparation of a Supplemental or Subsequent EIR. This determination is subject to the substantial evidence standard, and not the fair argument test.

- c. The appellant contends that the EIR for the Transit Zoning Code cannot have any informational value relative to the 4th and Mortimer Mixed-Use Development Project, because the 4th and Mortimer Mixed-Use Development Project seeks a zone change and variance.

Summary of Appeal Reasoning: The appellant states that the 2010 EIR has no informational value to the project and since the project exceeds the density and massing analyzed in the 2010 EIR it is a new “project,” and requires that the CEQA process start at the beginning.

Staff Response: The appellant provides no legal citation for this statement, and the statement is untrue. The TZC EIR analyzes and documents the environmental impacts of zoning for the integration of new infill development into existing neighborhoods, the provision of a range of housing options in downtown, and the provision of a transit-supportive, pedestrian-oriented development framework to support the addition of new transit infrastructure. The 4th and Mortimer Mixed-Use project proposes a minor change to the zoning established in the TZC and analyzed in the TZC EIR. The project is consistent with the objectives of the TZC, and, as documented in the addendum, the TZC EIR’s conclusions relating to aesthetics, air quality, biological resources, cultural resources, hazardous materials, hydrology, land use and planning, noise, population and housing, public services, transportation, and utilities remain unchanged, even with implementation of the 4th and Mortimer Mixed-Use project’s zone change and variance. For each of these resource areas, the analysis in the TZC EIR still applies and is still correct for the downtown area. Therefore, the EIR has informational value relative to the 4th and Mortimer Mixed-Use Development project.

- d. The appellant contends that because the Transit Zoning Code EIR identified significant and unavoidable impacts, State CEQA Guidelines section 15152(f) and *Communities for a Better Environment v. California Resources Agency* (2002) 103 Cal.App.4th 98 the City cannot use an addendum.

Summary of Appeal Reasoning: The appellant states that State CEQA Guidelines Section 15152(f) prohibits the use of an addendum when the original EIR identified significant and unavoidable impacts. Furthermore, the appellant provides findings that the *Communities for a Better Environment v. California Resources Agency* (2002) 103 Cal.App.4th 98 holds that an addendum cannot be used where the original EIR has identified significant and unavoidable impacts.

Staff Response: CEQA Guidelines Section 15152(f) does not prohibit use of an addendum where the original EIR identified significant and unavoidable impacts. Instead, this section states, “A later EIR shall be required when the initial study or other analysis finds that the later project may cause significant effects on the environmental that were not adequately addressed in the prior EIR.” As documented in the technical reports prepared for the 4th and Mortimer Mixed-Use project and the addendum, the 4th and Mortimer Mixed-Use project will not cause new significant effects that were not previously disclosed in the TZC EIR.

Moreover, the cited court case does not apply. The case concludes that where a subsequent project would result in new significant impacts (thus requiring a subsequent or supplemental EIR), a statement of overriding considerations specifically tied to that project must be adopted. Again, the subject project has no new significant impacts, therefore no subsequent or supplemental EIR is required.

- II. The appellant states that, “The City failed to comply with the Housing Opportunity Ordinance (“HOO”) by failing to require the developer to include affordable housing units in the project.”

Summary of Appeal Reasoning: The appellant claims that the project failed to comply with the Housing Opportunity Ordinance (HOO) pursuant to the Santa Ana Municipal Code (SAMC). Specifically, the appellant states that the HOO applies to the project because it “exceeds densities allowed by current general plan and zoning” and as such the project “must provide inclusionary housing for low-income residents.” The appellant specifically cites SAMC Sections 41-1902(a) and (b). The appellant further provides findings regarding the project inconsistency with the zoning, massing and density allowed by the current general plan and zoning. The appellant suggests that the project requires amendments to the zoning that will increase the residential density allowed by the general plan and zoning code, thereby triggering the HOO and requiring that the developer provide affordable housing.

Staff Response: The HOO does not apply to the project because the project does not exceed the residential density permitted in the general plan. As recently amended, the HOO only applies when a project requires a general plan amendment. The proposed development does not require a general plan amendment application.

Site A is zoned Transit Zoning Code (TZC) with a downtown subzone designation and has a General Plan designation of District Center (DC). The development intensity in the DC for the downtown area is based on a combination of floor area ratio (FAR) and zoning overlay and/or development standards, but generally allows up to a maximum of 90 dwelling units per acre (du/ac) and a maximum FAR of 3.0 (City of Santa Ana General Plan Land Use Element, pg. A-11).

Site A is approximately 1.423-acres in size and is proposed to be developed as a mixed-use commercial/residential structure with 99 residential units, a 3,847-square-foot eating establishment, and 7,514 square feet of retail space. As proposed, Site A would have a density of 69.6 du/ac and an FAR of 2.4. Therefore, Site A would not exceed the allowable density nor the allowable FAR by the DC general plan designation.

Site B is zoned TZC with an Urban Neighborhood 2 subzone (UN-2) designation and has a General Plan Designation of Urban Neighborhood (UN). The residential density in the UN is based on a combination of FAR and zoning development standards, but allows a maximum FAR of 1.8 in the downtown area. The UN does not have a set maximum allowable density as the DC general plan designation does (i.e., 90 du/ac), but instead relies on the applicable zoning designation for the density.

In the TZC, the recommended residential densities are a range based on the allowable building type, the maximum stories allowed, and the particular building size and massing allowed. Moreover, a residential project can exceed the density range identified if it meets the identified development standards (e.g., setbacks, open space, parking, etc.). The most “intense” density range in the UN-2 zoning designation is based on the Hybrid Courtyard housing type which ranges from 45-50 du/ac. Site B is approximately 1.292-acres in size and is proposed to be developed as residential only with 70 residential units. As proposed, Site B would have a density of 54.2 du/ac and FAR of 1.8. With approval of the variance application and the amendment application, Site B would be in compliance with the development standards in the TZC. Moreover, Site B would not exceed the density nor the allowable FAR by the UN general plan designation.

The appellant’s comment letter cites an outdated and superseded version of Section 41-1902(b)(1). The HOO was amended on September 1, 2020, and the comment letter does not reflect the amended language. The updated HOO was in full force and effect when the Planning Commission took action on the project. While previously, Section 41-1902(b)(1) applied the HOO to any project that exceeded the maximum density permitted by zoning, the recent amendments remove this reference. As amended, the HOO now only applies when a residential project proposes a residential density above the general plan permitted density. As previously noted, the 4th and Mortimer Mixed-Use Development project is consistent with the general plan and no general plan amendment is required for the project. The project seeks only a zone change, on only a portion of the project site. Moreover, no density allowance above that currently permitted by the site’s general plan designation is proposed. Therefore, the HOO does not apply.

- III. The appellant states that, “The Planning Commission abused its discretion by failing to read or consider comments submitted by Supporters Alliance for Environmental Responsibility (SAFER).”

Summary of Appeal Reasoning: The appellant provided no additional information besides that they believed the Planning Commission failed to consider the comments provided by SAFER.

Staff Response: During the public comment section of the public hearing, Richard Drury with Lozeau Drury, LLP, provided public comment on behalf of SAFER stating that they had provided a comment letter the day of the public hearing, approximately an hour before the start of the hearing. Furthermore, they stated their position that they did not think the project

should be approved unless proper CEQA analysis was conducted. Their findings were consistent with the information provided in their appeal letter and attached to this report.

The Planning Commission considered Mr. Drury's public comment and received input from the City Attorney and the City's environmental consultant regarding the whether the addendum prepared for the project was the appropriate document. Both the City Attorney and the consultant stated that no subsequent CEQA analysis was required for the project other than the addendum which was prepared. Again, this was based on the fact that the technical studies evidenced that an EIR Addendum to the previously-certified 2010 EIR was the appropriate CEQA document to evaluate and disclose the project's impacts.

Analysis of the Issues

Section 41-664 of the SAMC requires a public hearing for the proposed amendment application to be reviewed by the Planning Commission and the City Council, with the Planning Commission as a recommending body and the City Council as the approving body. Since the project is seeking approval of an amendment application for a zone change on Site B to change the subzone from UN-2 to the Urban Center (UC), review and approval of an amendment application is required by the City Council.

Amendment Application

The proposed project includes construction of two new Lined Block buildings, one of which would be a maximum of seven stories in height with a floor area ratio (FAR) of 2.4 (Site A) and one that would be five stories in height with an FAR of 1.8 (Site B). However, the UN-2 subzone does not include Lined Block buildings as a permitted building type. Therefore, the project would require an amendment application for a zone change on Site B from the UN-2 subzone to the Urban Center (UC) subzone. The UC subzone was selected in order to maintain aesthetic consistency with the proposed building on Site A. In addition, under the current UN-2 subzone, residential buildings with densities of up to 50 dwelling units per acre (DU/acre) are permitted. The proposed project on Site B involves development of a residential building with a density of approximately 54 DU/acre, which represents a slightly higher intensity use than the UN-2 subzone recommended density range of up to 50 DU/acre. However, Site B is surrounded by properties with higher intensity developments that are zoned DT and UC to the west and south.

In addition, a review of the existing TZC map seen in Exhibit 8 demonstrates that it is was the original intent of the TZC to place UC subzones as both a buffer and a transition between the uses permitted in the high density Downtown (DT) subzone and the less intense UN-2 neighborhoods surrounding it. While the adopted TZC allowed for the integration of new infill development into existing neighborhoods, reuse of existing buildings, and creation of new mixed use and transit-oriented development, it intended to transition the subzones with higher allowable densities to those that permitted lower density ranges. With the exception of Site B, all of the adjacent DT subzone parcels are surrounded by UC subzone parcels. The proposed change to the UC designation would be consistent with the existing adjacent parcels that surround the DT subzone (see Figure 1 below).

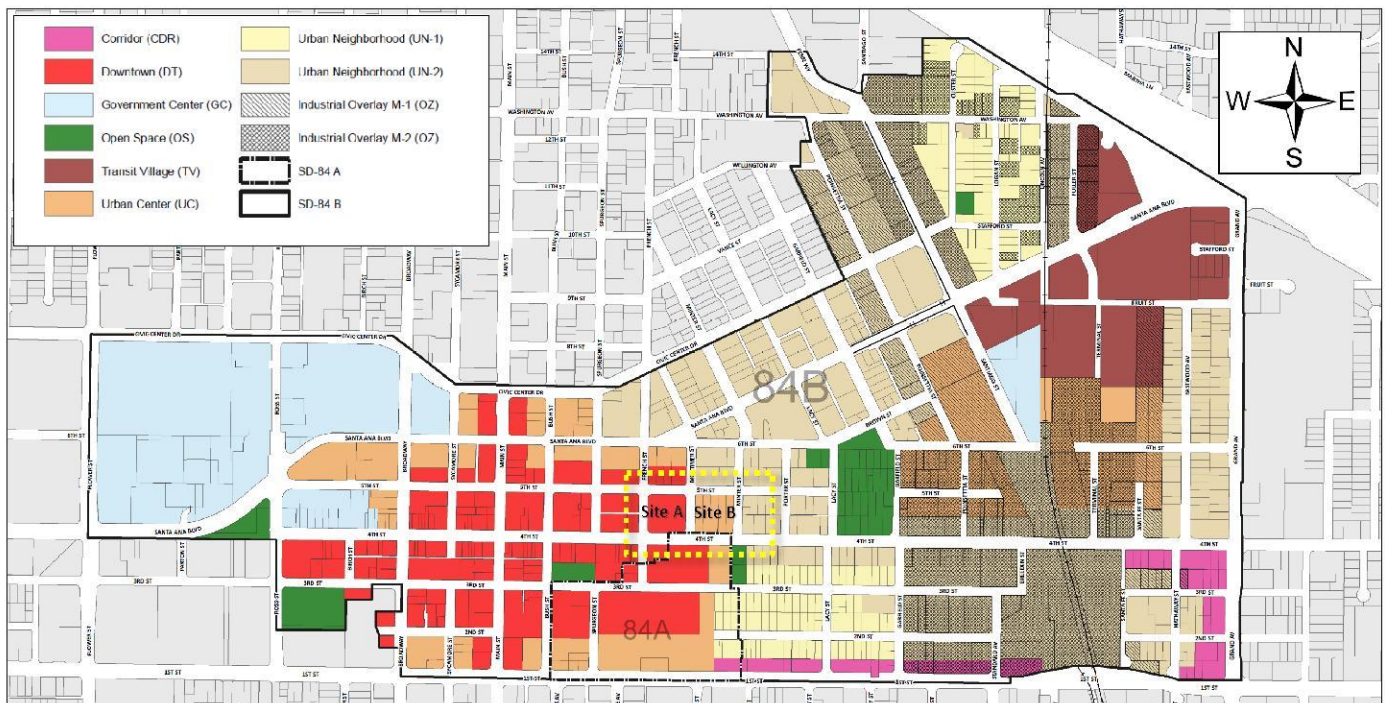


Figure 1. Proposed Transit Zoning Code Map

Although the TZC provides for a mixture of development types situated within walking distance of many modes of transit (e.g., public transit, pedestrian, cyclists and automobiles), it did not contemplate the precise route of the OC Streetcar at the time of its adoption in 2010. An eastbound segment of the streetcar runs along the project site along Fourth Street with a stop immediately adjacent to the project at Fourth and French streets. The Streetcar then proceeds northbound one block to Mortimer Street, bisecting the two project sites and advancing to Santa Ana Boulevard towards the Santa Ana Regional Transportation Center. As a gateway project along the streetcar line, the UC subzone facilitates a design that better reflects a sense of downtown and builds upon allowable planning and architectural intensities, affirmatively addressing the pedestrian orientation at all street frontages, specifically along Fourth and Mortimer streets. Furthermore, the zone change acknowledges the density and building envelope opportunities of the TZC to support the recent investment in the streetcar and maximizing development potential along the proposed routes.

Lastly, the zone change to the UC subzone would provide for a more harmonious design on both sites as they are being developed and managed together as one project. The building types under the UN-2 subzone are limited to lower density and shorter building height developments such as the Hybrid Court, Courtyard Housing, Live-Work, or Tuck-Under building type. Each of the allowable building types under the UN-2 would have resulted in a design on Site B that looked arbitrarily carved down and which presented an incongruous design. The UC subzone allows Site B to be designed in the same building type as Site A while allowing each site to have a unique architectural vernacular that complement the neighborhood and are integrated within the existing development pattern in downtown. Though the proposed project would develop Site B at a slightly higher density use than the existing TZC density range for UN-2, this use would be consistent with adjacent property uses and the intent of the TZC by enhancing the pedestrian environment, creating opportunities for higher-

density transit-oriented residential development in proximity to jobs, and reactivating vacant land uses adjacent to the historical Downtown shopping district.

Conformance to Transit Zoning Code Development Standards

The project has been designed to conform to the development standards in the Transit Zoning Code, with the exception of the proposed building and massing standards on Site B. Furthermore, with approval of the amendment application for the zone change on Site B, the project would comply with the allowable building types. A full description of conformance to development standards is provided in Exhibit 10 to this staff report.

Onsite Parking

The overall parking proposed for the project is 422 spaces, including 394 residential stalls and 28 commercial visitor stalls. The proposed residential parking provides a ratio of 2.3 parking spaces per residential unit, with commercial parking provided at one space per 400 square feet of commercial area, which meets and exceeds the minimum requirements of the TZC (2.15 spaces per unit and one space per 400 square feet). Both parking garages will provide vehicular gates separating available commercial parking spaces (e.g., guest, commercial, employee, etc.) from the residential parking areas. Vehicular access will be provided from Fifth Street by one right-turn only driveway west of Mortimer Street and one full access driveway east of Mortimer Street. The on-site circulation would provide safe access for vehicle-pedestrian traffic and the driveway would provide sufficient throating such that access to parking spaces is not impacted by internal vehicle queuing/stacking.

California Environmental Quality Act (CEQA)

An addendum to a previously certified EIR is prepared when a lead agency is asked to approve modifications to an existing project for which an EIR has already been certified. An addendum evaluates the requested modifications and determines whether subsequent EIR review is required. Since none of the conditions specified in State CEQA Guidelines, section 15162 are present, an Addendum to the previously-certified 2010 EIR was prepared for the 4th and Mortimer project.

Pursuant to State CEQA Guidelines section 15164(b), an addendum to a previously-certified EIR is not circulated for public review. Pursuant to State CEQA Guidelines section 15164(d), the City Council must consider the Addendum together with the TZC's original 2010 EIR before making a decision on the project.

Previous CEQA Documentation

The 2010 EIR (SCH No. 2006071100) was prepared to evaluate the potential impacts associated with the adoption of the Transit Zoning Code, which was anticipated to result in potential development of approximately 4,075 residential units, 387,000 square feet of retail development, and an additional 15.5 acres of open space within the City. Since the adoption of the TZC in 2010 there's been approximately 256 residential units and approximately 19,788 square feet of retail development constructed. The remaining residential capacity is approximately 3,819 units and the remaining retail square footage capacity is approximately 367,212 square feet.

The 2010 EIR considered the environmental impacts relating to aesthetics; air quality; biological resources; cultural resources; hazards and hazardous materials; hydrology and water quality; land use; noise; population, housing, and employment; public services; transportation and traffic;

utilities and service systems; and climate change. A mitigation monitoring and reporting program (MMRP), findings of fact, and a statement of overriding consideration were adopted with the 2010 EIR. As part of the 2020 Addendum to the 2010 EIR, the original MMRP must be readopted by the Planning Commission. All mitigation measures in the original EIR and associated MMRP have been enforced and are carried over within the 2020 Addendum, with exception of mitigation measure MM4.4-1(a) as part of the Cultural Resources which was revised after consultation with California Native American Tribes.

Addendum Conclusions

The Addendum focuses on the potential environmental impacts associated with the project, including the site plan review application, variance, and amendment application that might cause a change in the conclusions of the certified 2010 EIR, including changes in circumstances or new information of substantial importance that would substantially change those conclusions.

To fully evaluate the proposed project's impacts on the site the development required preparation of studies relating to shade and shadow, air quality and greenhouse gas emissions, traffic, parking, hydrology, and sewer capacity, which were extensively peer reviewed by the City's CEQA consultant. In addition, additional studies relating to cultural resources, noise and vibration were prepared by the CEQA consultant (Attachments to the 2020 Addendum). In addition, the project is within a Transit Priority Area. Under Senate Bill 743, aesthetic and parking impacts cannot be considered a significant impact within a Transit Priority Area.

The 4th and Mortimer development project Addendum concludes no new or substantially greater impacts would occur with implementation of the proposed development when compared to those identified in the 2010 EIR and finds that no supplemental or subsequent EIR is required for the proposed development. Therefore, the 2010 EIR's MMRP will continue to mitigate or lessen any impacts already identified by the TZC's original 2010 EIR.

Economic Development

A fiscal and economic impact analysis prepared by RSG, Inc. and The Concord Group was submitted for the project. The analysis estimates that the proposed development will result net positive fiscal impacts to the City, the Business Improvement District, and create over 800 new temporary or permanent jobs. Specifically, the analysis estimates the following impacts:

- \$5.4 million net new General Fund revenues associated with the project over a 25-year period, resulting from:
 - A total of \$7.8 million in additional City General Fund revenue, including \$2.9 million in net new property tax revenues, construction period revenues, recurring site-specific tax, and other Project impacts over a 25-year period, and
 - \$2.4 million in General Fund expenditures associated with the project over a 25-year period
- 806 direct, indirect, or induced new jobs resulting from the project, of which 35 would be permanent
- Approximately \$205,424 in Business Improvement District (BID) funds

Table 3: Public Notification & Community Outreach

Public Notification, and Community Outreach each	
Required Measures	<p>A community meeting was held on August 29, 2018 at 6:00 p.m. at 450 West Fourth Street (Latino Health Access) in accordance with the provisions of the City's Sunshine Ordinance. This meeting was publicly noticed in the OC Register, posted on the City's website, and invitation/notices were mailed to property owners and occupants/tenants in a 500-foot radius from the project site. An estimated 100 members of the public attended, as well as City staff. The applicant provided all the required information to the City after the meeting. Details from the community meeting were posted to the project's webpage at https://www.santa-ana.org/pb/planning-division/major-planning-projects-and-monthly-development-project-reports/4th-and.</p> <p>Notification by mail was mailed to all property owners, occupants, and other interested parties within 500 feet of the project site on November 20, 2020, in accordance with SAMC requirements. In addition, newspaper posting was published in the Orange County Register on November 20, 2020, in accordance with SAMC requirements.</p>
Additional Measures	<p>The applicant met with several interested groups, neighborhoods, and/or individuals between August 2018 and September 2020. Meetings include those with:</p> <ul style="list-style-type: none"> • Neighboring condominium associations and apartment buildings: Spectrum Condominiums (450 E. 4th St.), Garden Court Apartments (300 E. Santa Ana Blvd.) and Parks Apartments (510 Mortimer St.) • Joint Meeting of Neighborhood Associations July 2020: French Park, French Court, Logan, Lacy, Downtown and French Park Plaza • Business organizations and individual leaders associated with the Santa Ana Business Council, Chamber of Commerce, Downtown Inc. • Historic preservation representative Tim Rush • Eight individual property owners as a result of surveying every residence across Fifth Street and Minter Street from development site

CONCLUSION

Based on the analysis provided within this report, staff recommends that the City Council adopt a resolution denying Appeal Application No. 2020-02 and upholding the Planning Commission's approval of a resolution approving Addendum to the Environmental Impact Report for the Transit Zoning Code Project (SCH NO. 2006071100), mitigation monitoring and reporting program, Site Plan Review No. 2020-03, and Variance No. 2020-06. Staff also recommends that the City Council adopt a resolution approving an Addendum to the Environmental Impact Report for the Transit Zoning Code

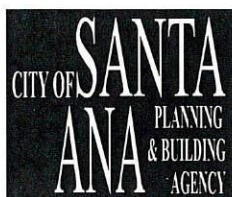
Project (SCH NO. 2006071100) mitigation monitoring and reporting program, and an ordinance approving Amendment Application No. 2020-04 for Specific Development No. 84 (SD84).

FISCAL IMPACT

There is no fiscal impact associated with approval of this action.

Submitted By: Minh Thai, Executive Director - Planning and Building Agency

- Exhibits:
1. Appeal Application No. 2020-02 – Lozeau Drury, LLP
 2. Letter regarding 4th & Mortimer Project Housing Opportunity Ordinance Compliance – Lozeau Drury, LLP
 3. Rincon's Response to Comments
 4. Resolution Denying Appeal Application 2020-02
 5. Resolution Approving 2020 Addendum
 6. Ordinance Approving AA No. 2020-04
 7. Planning Commission Staff Report
 8. Existing TZC Zoning Map
 9. Proposed TZC Zoning Map
 10. Conformance to Development and Parking Standards
 11. 2020 EIR Addendum and Technical Appendices
 12. 2010 Transit Zoning Code EIR
 13. Fiscal and Economic Impact Analysis



Planning and Building Agency
Planning Division
20 Civic Center Plaza
P.O. Box 1988 (M-20)
Santa Ana, CA 92702
(714) 647-5804

APPEAL APPLICATION

I. OWNER/APPLICANT

Applicant Michael Lozeau on behalf of Supporters' Alliance for Environmental Responsibility ("SAFER")

1939 Harrison Street, Suite 150 Oakland, CA 94612 (510) 836-4200

Mailing Address

Area Code

Phone No.

Legal Owner Name: Block/Site A: LOS ALTOS XXI, L.P. / Block/Site: B GON-REY, L.P.

Legal Owner Address: Block/Site A: 1201 N Magnolia Ave. Anaheim, CA 92801

Block/Site B: 1201 N Magnolia Ave. Anaheim, CA 92801

Phone No.: (714) 687-7057 (714) 687-7075 Fax: () _____
(Block/Site A) (Block/Site B)

II. PROPERTY INFORMATION

Northgate Gonzalez Market, parking
Land Use lot & vacant buildings Transit Zoning Code; Downtown subzone; District Center & Urban
Urban Neighborhood Neighborhood

Existing Land Use of Property and/or Building
Location 409 E Fourth St & 509 E Fourth St Zoning District Fourth and French St & 4th & Mortimer Sts
Street Address Name of Nearest Intersecting Street

SEE REVERSE SIDE FOR SUBMITTAL REQUIREMENTS

III. REASON FOR REQUEST

In the following provided space, please clearly specify and explain the error(s) of decision or requirement upon which you are basing this appeal. (If additional space is needed, please attach additional comments to the back of this application.)

Please see attached

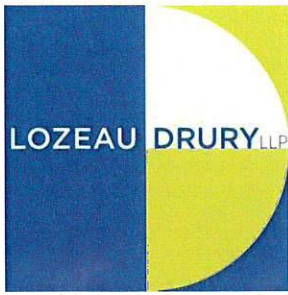
The city failed to comply with the California Environmental Quality Act by
failing to prepare a project-specific EIR for the project. (See details attached).

The city failed to comply with the Housing Opportunity Ordinance by
failing to require the developer to include affordable housing units in the project.

The Planning Commission abused its discretion by failing to read or consider
comments submitted by SAFER.

Applicant's Signature: Michael R. Lozeau Date: 10/21/2020

APPEAL APPLICATION NO. APPL - 2020 - 2 - APC



T 510.836.4200
F 510.836.4205

1939 Harrison Street, Ste. 150
Oakland, CA 94612

www.lozeaudrury.com
michael@lozeaudrury.com

Via Overnight Mail

October 21, 2020

Pedro Gomez, Associate Planner
Planning Division
City of Santa Ana
20 Civic Center Plaza, Ross Annex M-20
Santa Ana, CA 92701

Re: Filing Fee for Appeal of 4th & Mortimer Project

Dear Mr. Gomez:

Enclosed please find check no. 13227 for the amount of \$456.16 dated October 21, 2020. This check is to pay the filing fee associated with the attached Appeal of the decision made by the Planning Commission on October 12, 2020 regarding the 4th & Mortimer Project (Site Plan Review No. 2020-03, Variance No. 2020-06, Amendment Application No. 2020-04, and addendum to the Environmental Impact Report for the Transit Zoning Code Project).

If you could please confirm receipt of this filing fee and appeal packet via email with my paralegal Komal Toor (komal@lozeaudrury.com) it would be greatly appreciated. Thank you very much for your time.

Sincerely,

Michael Lozeau
Lozeau Drury LLP
Attorneys for SAFER



T 510.836.4200
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1939 Harrison Street, Ste. 150
Oakland, CA 94612

www.lozeaudrury.com
richard@lozeaudrury.com

VIA E-MAIL AND US MAIL

October 12, 2020

Chair Mark McLoughlin
and Commissioners
Planning Commission
City of Santa Ana
20 Civic Center Plaza
Santa Ana, CA 92702
eComments@santa-ana.org

Minh Thai, Executive Director
City of Santa Ana
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Ali Pezeshkpour, AICP
Project Manager
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Re: Comment on EIR Addendum for 4th & Mortimer Project (SCH NO. 2006071100)

Chair McLoughlin and Members of the Planning Commission:

I am writing on behalf of the Supporters' Alliance for Environmental Responsibility ("SAFER"), a California non-profit organization with members living in and around the City of Santa Ana, regarding the 4th & Mortimer Project, proposed to be located on two city blocks at 409 East 4th Street (Block A), and 509 East 4th Street (Block B). ("Project"). Staff contends that the potential environmental effects of the Project have been fully addressed by the Transit Zoning Code Environmental Impact Report certified a decade ago in 2010 ("2010 EIR"). Fundamentally, the proposed Project is an entirely different project than was analyzed in 2010 EIR ("2010 Project"). The proposed Project is inconsistent with the zoning, massing and land use analyzed in the 2010 EIR, and therefore requires zone changes. The proposed Project includes greater massing and higher population density than analyzed in the 2010 EIR. Also the Proposed Project fails to incorporate numerous mitigation measures required by the 2010 EIR. The Proposed Project will have several new and different environmental impacts that were not analyzed in the 2010 EIR. Finally, the 2010 EIR recognized that the 2010 Project would have many significant and unmitigated environmental impacts. As such a new draft EIR is required to analyze and mitigate the impacts of the proposed Project.

A number of highly qualified experts have reviewed the proposed Project and its environmental effects. Certified Industrial Hygienist, Francis “Bud” Offermann, PE, CIH, and Dr. Paul Rosenfeld, Ph.D. and Matthew Hagemann, C. Hg. of environmental consulting firm Soil Water Air Protection Enterprise (“SWAPE”) have identified a number of significant impacts from the proposed Project including air quality impacts, as well as omissions and flaws in the documents relied upon by staff. These comments are attached as Exhibits A and B.

By opting to proceed with an Addendum instead of the required EIR or supplemental EIR (“SEIR”), the City of Santa Ana (“City”) has deprived the members of the public of the public review and circulation requirement available for EIRs. SAFER urges the Commission not to adopt the Addendum or approve the Project, and instead to direct staff to prepare a Draft EIR for the Project, and to circulate the Draft EIR for public review and comment prior to Project approval.

PROJECT DESCRIPTION

The Project involves a residential and commercial development that would consist of 169 residential units and 11,361 square feet of commercial retail space on two city blocks, 409 East 4th Street (Block A) and 509 East 4th Street (Block B).

The City attempts to rely on a decade-old EIR certified in 2010 for the Transit Zoning Code (“TZC”). The TZC area covers over 100 blocks and 450 acres in the central core of Santa Ana. Under the TZC, Block A is currently zoned as “District Center-Downtown subzone,” and Block B is zoned as “Urban Neighborhood 2 subzone” (UN-2).

Block B is inconsistent with the zoning, massing and density studied in the 2010 EIR. The UN-2 zoning allows single-family duplexes, triplexes and quadraplexes, courtyard housing and rowhouses. UN-2 does not allow “lined block buildings” such as proposed by the Project. (Addendum 2-11). The Project exceeds the massing allowed in the UN-2 zone and therefore requires a variance from section 41-2023 of the zoning code. In particular, UN-2 requires that floors 3-5 of a building may cover no more than 85% of the ground floor, but the project proposes 100% coverage. (Addendum 2-11). The Project exceeds the density allowed in UN-2. UN-2 allows density of up to 50 dwelling units per acres, but the Project proposes 54 DU/acres. (Addendum 3.6-5). For these reasons, the Project proposes to rezone the property from UN-2 to Urban Center (UC).

LEGAL STANDARD

CEQA contains a strong presumption in favor of requiring a lead agency to prepare an EIR. This presumption is reflected in the fair argument standard. Under that standard, a lead agency must prepare an EIR whenever substantial evidence in the whole record before the agency supports a fair argument that a project may have a significant effect on the environment. Pub. Res. Code § 21082.2; *Laurel Heights Improvement Ass’n v. Regents of the University of California* (1993) (“Laurel Heights II”) 6 Cal. 4th 1112, 1123;

No Oil, Inc. v. City of Los Angeles (1974) 13 Cal.3d 68, 75, 82; *Quail Botanical Gardens v. City of Encinitas* (1994) 29 Cal.App.4th 1597, 1602.

A. Addendum Standard.

The City relies on CEQA Guidelines § 15162 and 15164 to claim that no CEQA review is required. The court of appeal recently stated, “The addendum is the other side of the coin from the supplement to an EIR. This section provides an interpretation with a label and an explanation of the kind of document that does not need additional public review.” “It must be remembered that an addendum is prepared where ‘(2) **Only minor technical changes or additions are necessary to make the EIR under consideration adequate under CEQA; and (3) The changes to the EIR made by the addendum do not raise important new issues about the significant effects on the environment.**’ ([Guideline] 15164, subd. (a).)” *Save Our Heritage Org. v. City of San Diego*, 28 Cal. App. 5th 656, 664–65 (2018) (emphasis added).

Section 15164(a) of the State CEQA Guidelines states that “the lead agency or a responsible agency shall prepare an addendum to a previously certified EIR if some changes or additions are necessary, but none of the conditions described in Section 15162 calling for preparation of a subsequent EIR have occurred.” Pursuant to Section 15162(a) of the State CEQA Guidelines, a subsequent EIR or Negative Declaration is only required when:

- (1) Substantial changes are proposed in the project which will require major revisions of the previous EIR or negative declaration due to the involvement of new significant environmental effects or a substantial increase in the severity of previously identified significant effects;
- (2) Substantial changes occur with respect to the circumstances under which the project is undertaken which will require major revisions of the previous EIR or Negative Declaration due to the involvement of new significant environmental effects or a substantial increase in the severity of previously identified significant effects; or
- (3) New information of substantial importance, which was not known and could not have been known with the exercise of reasonable diligence at the time the previous EIR was certified as complete or the negative declaration was adopted, shows any of the following:
 - (A) The project will have one or more significant effects not discussed in the previous EIR or negative declaration;
 - (B) Significant effects previously examined will be substantially more severe than shown in the previous EIR;
 - (C) Mitigation measures or alternatives previously found not to be feasible would, in fact, be feasible and would substantially reduce one or more significant effects of the project, but the project proponents decline to adopt the mitigation measure or alternative; or
 - (D) Mitigation measures or alternatives which are considerably different from those analyzed in the previous EIR would substantially reduce one or more

significant effects on the environment, but the project proponents decline to adopt the mitigation measure or alternative.

B. Tiering Under CEQA

CEQA permits agencies to ‘tier’ EIRs, in which general matters and environmental effects are considered in an EIR “prepared for a policy, plan, program or ordinance followed by narrower or site-specific [EIRs] which incorporate by reference the discussion in any prior [EIR] and which concentrate on the environmental effects which (a) are capable of being mitigated, or (b) were not analyzed as significant effects on the environment in the prior [EIR].” (Cal. Pub. Res. Code (“PRC”) § 21068.5.) “[T]iering is appropriate when it helps a public agency to focus upon the issues ripe for decision at each level of environmental review and in order to exclude duplicative analysis of environmental effects examined in previous [EIRs].” (PRC § 21093.) The initial general policy-oriented EIR is called a programmatic EIR (“PEIR”) and offers the advantage of allowing “the lead agency to consider broad policy alternatives and program wide mitigation measures at an early time when the agency has greater flexibility to deal with basic problems or cumulative impacts.” (14 CCR §15168.) CEQA regulations strongly promote tiering of EIRs, stating that “[EIRs] shall be tiered whenever feasible, as determined by the lead agency.” (PRC § 21093.)

“Subsequent activities in the program must be examined in light of the program EIR to determine whether an additional environmental document must be prepared.” (14 CCR § 15168(c).) The first consideration is whether the activity proposed is covered by the PEIR. *Id.* If a later project is outside the scope of the program, then it is treated as a separate project and the PEIR may not be relied upon in further review. (*Sierra Club v. County of Sonoma* (1992) 6 Cal.App.4th 1307.) The second consideration is whether the “later activity would have effects that were not examined in the program EIR.” (14 CCR §§ 15168(c)(1).) A PEIR may only serve “to the extent that it contemplates and adequately analyzes the potential environmental impacts of the project.” (*Sierra Nevada Conservation v. County of El Dorado* (2012) 202 Cal.App.4th 1156). If the PEIR does not evaluate the environmental impacts of the project, a tiered EIR must be completed before the project is approved. (*Id.*)

For these inquiries, the “fair argument test” applies. (*Sierra Club*, 6 Cal.App.4th 1307, 1318; see also *Sierra Club v. County of San Diego* (2014) 231 Cal.App.4th 1152, 1164 (“when a prior EIR has been prepared and certified for a program or plan, the question for a court reviewing an agency’s decision not to use a tiered EIR for a later project ‘is one of law, i.e., the sufficiency of the evidence to support a fair argument.’”).) Under the fair argument test, a new EIR must be prepared “whenever it can be fairly argued on the basis of substantial evidence that the project may have significant environmental impact. (*Id.* at 1316 [quotations omitted].) When applying the fair argument test, “deference to the agency’s determination is not appropriate and its decision not to require an EIR can be upheld only when there is no credible evidence to the contrary.” (*Sierra Club*, 6 Cal. App. 4th at 1312.) “[I]f there is substantial evidence in the record that the later project may arguably have a significant adverse effect on the environment which was not examined in the prior program EIR, doubts must be resolved in favor of

environmental review and the agency must prepare a new tiered EIR, notwithstanding the existence of contrary evidence.” (*Sierra Club*, 6 Cal.App.4th at 1319.)

DISCUSSION

A. CEQA REQUIRES THE CITY TO PREPARE A TIERED EIR FOR THE PROJECT INSTEAD OF AN ADDENDUM

The City has incorrectly applied the CEQA criteria for preparing an addendum when, instead, the City should have applied CEQA’s tiering provisions. The City relies on CEQA Guidelines section 15164, which applies to preparing an addendum to an existing EIR for a project. However, the 2010 EIR was not a project-specific EIR, which the CEQA Guidelines define as an “EIR[which] examines the environmental impacts of a specific development project.” (14 CCR § 15161.) Rather, the 2010 EIR was a comprehensive policy and regulatory guidance document for the private use and development of all properties within the TZC area. Tiering is governed by CEQA Guidelines section 15152, not sections 15162 and 15164.

The 2010 EIR made clear that the City was relying on CEQA’s tiering provisions. It states, **“This EIR will be used to tier subsequent environmental analysis for future development included within the Transit Zoning Code boundaries, as allowed by Section 15152 of the CEQA Guidelines.”** (2010 DEIR 2-4). There is no question that the 2010 TZC EIR was intended as a first tier CEQA document, and that second tier CEQA documents would be required for specific project proposals. The 2010 EIR states that it will “provide a basis for the preparation of subsequent environmental documentation for future development within the Transit Zoning Code area.” (2010 DEIR 2-1). Thus the 2010 EIR clearly contemplated that specific projects would be subject to “subsequent environmental documentation.” The 2010 EIR states, “the Transit Zoning Code does not constitute a commitment to any specific project ... Thus, the EIR will analyze these future actions at a programmatic level. **Each future development proposal undertaken within the Transit Zoning Code must be approved individually by the City, as appropriate, in compliance with CEQA.**” (2020 DEIR 2-2). Despite these clear assurances that the 2010 EIR was a programmatic EIR and that project-specific environmental review would be required for individual projects, the City is now attempting to avoid the very project-specific review that is promised the public in 2010.

The 2010 EIR is a Program EIR, which the CEQA Guidelines define as:

An EIR which may be prepared on a series of actions that can be characterized as one large project and are related either:

- (1) Geographically,
- (2) As logical parts in the chain of contemplated actions,
- (3) In connection with issuance of rules, regulations, plans, or other general criteria to govern the conduct of a continuing program, or
- (4) As individual activities carried out under the same authorizing statutory or regulatory authority and having generally similar environmental effects which can be mitigated in similar ways.

(14 CCR § 15168.) Thus, instead of proceeding under the provisions of CEQA Guidelines section 15164, the City should have proceeded under section 15152 provisions for subsequent analysis for a Program EIR rather than an addendum to an existing project-specific EIR.

B. THE 2010 EIR HAS NO INFORMATIONAL VALUE TO THE PROJECT.

As the California Supreme Court explained in *San Mateo Gardens*, subsequent CEQA review provisions “**can apply only if the project has been subject to initial review; they can have no application if the agency has proposed a new project that has not previously been subject to review.**” *Friends of College of San Mateo Gardens v. San Mateo*, 1 Cal.5th 937, 950 (2016) (“*San Mateo Gardens*”); see also, *Martis Camp Cmty. Ass’n v. Cty. of Placer*, 53 Cal. App. 5th 569 (2020). As the Supreme Court explains, “[a] decision to proceed under CEQA’s subsequent review provisions must thus necessarily rest on a determination — whether implicit or explicit — that the **original environmental document** retains some informational value.” *Id.* at 951 (emph. added). Only if the original environmental document retains some informational value despite the proposed changes, changes in circumstances or new substantial information does the agency proceed to decide under CEQA’s subsequent review provisions whether such changes or substantial new information will require major revisions to the original environmental document because of the involvement of new, previously unconsidered significant environmental effects. 1 Cal.5th at 952. Reviewing the 2010 EIR, the City cannot reasonably claim that it addresses the Project that exceeds the density and massing analyzed in the 2010 EIR.

Since the Project exceeds the density and massing analyzed in the 2010, and requires a variance, it has never undergone CEQA review, it is a new project, and the City must start from the beginning of the CEQA process under section 21151, conduct an initial study, and determine whether there is substantial evidence of a fair argument that the project will have a significant environmental impact. *Friends of College of San Mateo Gardens v. San Mateo*, 1 Cal.5th at 951. The City Council should require CEQA review for the Project, and not approve the Project until CEQA review is completed.

C. A TIERED EIR IS REQUIRED TO ANALYZE AND MITIGATE SIGNIFICANT UNAVOIDABLE IMPACTS IDENTIFIED IN THE 2010 EIR.

The 2010 EIR admitted that the program would have significant unavoidable impacts in the areas of:

- Aesthetics: shadows. (2010 DEIR 1-11)
- Air Quality:
 - inconsistency with 2007 Air Quality Management Plan;
 - construction emissions exceed significance thresholds;
 - mobile source emissions of VOC, NOx, CO and PM-10 exceed significance thresholds;

- construction and operation emissions are cumulatively considerable in excess of significance thresholds for VOC, NOx, CO and PM-10. (2010 DEIR 1-11).
- Cultural Resources: The TZC area includes historic buildings and an historic district. "The feasibility of retaining a historic structure/resource is determined on a case-by-case basis." (2010 DEIR 1-12).
- Noise: significant noise and vibration from pile-driving and nearby rail operations. (2010 DEIR 1-12).
- Traffic: Significant traffic impacts, including at the 1-5 northbound off-ramp at Santa Ana Blvd. to an unacceptable level of service. (2010 DEIR 1-12).

Since the overall program will have significant unavoidable impacts, the City must conduct project-level supplemental EIRs for specific projects proposed within the program area. The supplemental EIRs are required to determine whether mitigation measures exist to reduce the significant unavoidable impacts identified in the 2010 EIR.

In the case of *Communities for a Better Environment v. Cal. Resources Agency* (2002) 103 Cal.App.4th 98, 122-125, the court of appeal held that when a "first tier" EIR admits a significant, unavoidable environmental impact, then the agency must prepare second tier EIRs for later phases of the project to ensure that those unmitigated impacts are "mitigated or avoided." (Id. citing CEQA Guidelines §15152(f)) The court reasoned that the unmitigated impacts were not "adequately addressed" in the first tier EIR since they were not "mitigated or avoided." (Id.) Thus, significant effects disclosed in first tier EIRs will trigger second tier EIRs unless such effects have been "adequately addressed," in a way that ensures the effects will be "mitigated or avoided." (Id.) Such a second tier EIR is required, even if the impact still cannot be fully mitigated and a statement of overriding considerations will be required. The court explained, "The requirement of a statement of overriding considerations is central to CEQA's role as a public accountability statute; it requires public officials, in approving environmental detrimental projects, to justify their decisions based on counterbalancing social, economic or other benefits, and to point to substantial evidence in support." (Id. at 124-125) The court specifically rejected a prior version of the CEQA guidelines regarding tiering that would have allowed a statement of overriding considerations for a program-level project to be used for a later specific project within that program. (*Communities for a Better Env't v. California Res. Agency* (2001) 103 Cal.App.4th 98, 124, disapproved on other grounds by *Berkeley Hillside Pres. v. City of Berkeley* (2015) 60 Cal.4th 1086.) Even though "a prior EIR's analysis of environmental effects may be subject to being incorporated in a later EIR for a later, more specific project, the responsible public officials must still go on the record and explain specifically why they are approving the later project despite its significant unavoidable impacts." (Id., pp. 124-25.)

D. THE ADDENDUM'S CONCLUSIONS ARE NOT SUPPORTED BY SUBSTANTIAL EVIDENCE AND THERE IS SUBSTANTIAL EVIDENCE OF A FAIR ARGUMENT THAT THE PROJECT WILL HAVE SIGNIFICANT ENVIRONMENTAL IMPACTS.

Even if the addendum provisions applied to the Project (which they do not), a supplemental EIR would be required to analyze new significant impacts of the Project resulting from changes to the 2010 Project and new impacts that were not analyzed in the 2010 EIR.

1. There is Substantial Evidence that the Project Will Result in Significant Indoor Air Quality Impacts.

Certified Industrial Hygienist, Francis "Bud" Offermann, PE, CIH, has conducted a review of the proposed Project and relevant documents regarding the Project's indoor air emissions. Indoor Environmental Engineering Comments (Exhibit A). Mr. Offerman concludes that it is likely that the Project will expose future residents of the Project's residential units to significant impacts related to indoor air quality, and in particular, emissions of the cancer-causing chemical formaldehyde. Mr. Offermann is one of the world's leading experts on indoor air quality and has published extensively on the topic. See attached CV.

Mr. Offermann explains that many composite wood products typically used in modern home construction contain formaldehyde-based glues which off-gas formaldehyde over a very long time period. He states, "The primary source of formaldehyde indoors is composite wood products manufactured with urea-formaldehyde resins, such as plywood, medium density fiberboard, and particle board. These materials are commonly used in building construction for flooring, cabinetry, baseboards, window shades, interior doors, and window and door trims." Offermann Comment, pp. 2-3.

Mr. Offermann states:

Indoor air quality in homes is particularly important because occupants, on average, spend approximately ninety percent of their time indoors with the majority of this time spent at home (EPA, 2011). Some segments of the population that are most susceptible to the effects of poor IAQ, such as the very young and the elderly, occupy their homes almost continuously. Additionally, an increasing number of adults are working from home at least some of the time during the workweek.

Offermann Comment, p. 1.

Formaldehyde is a known human carcinogen. Mr. Offermann states that there is a fair argument that residents of the Project will be exposed to a cancer risk from formaldehyde of between **112 and 180 per million**. (Offermann Comment, pp. 2-3.) This is far above the South Coast Air Quality Management District (SCAQMD) CEQA

significance threshold for airborne cancer risk of 10 per million. Even if the Project uses modern “CARB-compliant” materials, Mr. Offermann concludes that formaldehyde will create a cancer risk more than ten times above the CEQA significance threshold. Offermann Comment, p. 3. Mr. Offermann concludes that this significant environmental impact should be analyzed in an EIR and mitigation measures should be imposed to reduce the risk of formaldehyde exposure.

Mr. Offermann concludes that this significant environmental impact should be analyzed in an EIR and mitigation measures should be imposed to reduce the risk of formaldehyde exposure. *Id.*, pp. 4. Mr. Offermann identifies mitigation measures that are available to reduce these significant health risks, including the installation of air filters and a requirement that the applicant use only composite wood materials (e.g. hardwood plywood, medium density fiberboard, particleboard) for all interior finish systems that are made with CARB approved no-added formaldehyde (NAF) resins or ultra-low emitting formaldehyde (ULEF) resins in the buildings’ interiors. Offermann Comments, pp. 11-12

The City has a duty to investigate issues relating to a project’s potential environmental impacts, especially those issues raised by an expert’s comments. *See Cty. Sanitation Dist. No. 2 v. Cty. of Kern*, (2005) 127 Cal.App.4th 1544, 1597–98 (“under CEQA, the lead agency bears a burden to investigate potential environmental impacts”). In addition to assessing the Project’s potential health impacts to residents and workers, Mr. Offermann identifies the investigatory path that the City should be following in developing an EIR to more precisely evaluate the Project’s future formaldehyde emissions and establishing mitigation measures that reduce the cancer risk below the SCAQMD level. Offermann Comments, pp. 5-9. Such an analysis would be similar in form to the air quality modeling and traffic modeling typically conducted as part of a CEQA review.

The failure to address the project’s formaldehyde emissions is contrary to the California Supreme Court’s decision in *California Building Industry Ass’n v. Bay Area Air Quality Mgmt. Dist.* (2015) 62 Cal.4th 369, 386 (“*CBIA*”). At issue in *CBIA* was whether the Air District could enact CEQA guidelines that advised lead agencies that they must analyze the impacts of adjacent environmental conditions on a project. The Supreme Court held that CEQA does not generally require lead agencies to consider the environment’s effects on a project. *CBIA*, 62 Cal.4th at 800-801. However, to the extent a project may exacerbate existing adverse environmental conditions at or near a project site, those would still have to be considered pursuant to CEQA. *Id.* at 801 (“CEQA calls upon an agency to evaluate existing conditions in order to assess whether a project could exacerbate hazards that are already present”). In so holding, the Court expressly held that CEQA’s statutory language required lead agencies to disclose and analyze “impacts on **a project’s users or residents** that arise **from the project’s effects** on the environment.” *Id.* at 800 (emphasis added).)

The carcinogenic formaldehyde emissions identified by Mr. Offermann are not an existing environmental condition. Those emissions to the air will be from the Project. Residents will be users of the residential units, and employees will be users of the hotel and offices. Currently, there is presumably little if any formaldehyde emissions at the site.

Once the Project is built, emissions will begin at levels that pose significant health risks. Rather than excusing the City from addressing the impacts of carcinogens emitted into the indoor air from the project, the Supreme Court in *CBIA* expressly finds that this type of effect by the project on the environment and a “project’s users and residents” must be addressed in the CEQA process.

The Supreme Court’s reasoning is well-grounded in CEQA’s statutory language. CEQA expressly includes a project’s effects on human beings as an effect on the environment that must be addressed in an environmental review. “Section 21083(b)(3)’s express language, for example, requires a finding of a ‘significant effect on the environment’ (§ 21083(b)) whenever the ‘environmental effects of a project will cause substantial adverse effects *on human beings*, either directly or indirectly.” *CBIA*, 62 Cal.4th at 800 (emphasis in original). Likewise, “the Legislature has made clear—in declarations accompanying CEQA’s enactment—that public health and safety are of great importance in the statutory scheme.” *Id.*, citing e.g., §§ 21000, subds. (b), (c), (d), (g), 21001, subds. (b), (d). It goes without saying that the thousands of future residents and employees at the Project are human beings and the health and safety of those workers is as important to CEQA’s safeguards as nearby residents currently living near the project site.

The Addendum fails to disclose, analyze, or mitigate these new significant impacts. Because Mr. Offermann’s expert review is substantial evidence of a fair argument of a significant environmental impact to future users of the project, an EIR must be prepared to disclose and mitigate those impacts.

2. The Project Will Have Significant Impacts Due to Inconsistencies with the Planning and Zoning Code.

The proposed Project exceeds massing and density allowed by the zoning code. Urban Neighborhood zone (UN-2) allows single-family, duplexes, triplexes, and quadplexes, courtyard housing and rowhouses. The Project is much more intense than quadplexes. UN-2 does not permit Lined Block buildings, such as the Project. (Addendum 2-11). The Project requires a variance for massing since Zoning Code section 41-2023 requires floors 3-5 may occupy no more than 85% of ground floor, but the Project proposes 100% coverage. (Addendum 2-11). The UN-2 zone allows density up to 50 dwelling units per acre, but this Project has 54 DU/acre. (Addendum 3.6-5).

These inconsistencies with the zoning code and zoning designations are significant impacts under CEQA that must be analyzed and mitigated in a supplemental EIR. Of course, these impacts were not analyzed in the 2010 EIR since that document assumed that future projects would comply with the designated zoning and land use laws.

Where a local or regional policy of general applicability, such as an ordinance, is adopted in order to avoid or mitigate environmental effects, a conflict with that policy in itself indicates a potentially significant impact on the environment. (*Pocket Protectors v. Sacramento* (2005) 124 Cal.App.4th 903.) Indeed, any inconsistencies between a proposed project and applicable plans must be discussed in an EIR. (14 CCR §

15125(d); *City of Long Beach v. Los Angeles Unif. School Dist.* (2009) 176 Cal. App. 4th 889, 918; *Friends of the Eel River v. Sonoma County Water Agency* (2003) 108 Cal. App. 4th 859, 874 (EIR inadequate when Lead Agency failed to identify relationship of project to relevant local plans).) A Project's inconsistencies with local plans and policies constitute significant impacts under CEQA. (*Endangered Habitats League, Inc. v. County of Orange* (2005) 131 Cal.App.4th 777, 783-4, 32 Cal.Rptr.3d 177; see also, *County of El Dorado v. Dept. of Transp.* (2005) 133 Cal.App.4th 1376 (fact that a project may be consistent with a plan, such as an air plan, does not necessarily mean that it does not have significant impacts).) *Californians for Alternatives to Toxics v. Department of Food and Agriculture* (2005) 136 Cal.App.4th 1, 17 (“[c]ompliance with the law is not enough to support a finding of no significant impact under the CEQA.”). The recent *Georgetown Preservation Society v. County of El Dorado* (2018) 30 Cal.App.5th 358 echoes *Pocket Protectors*. These both apply the fair argument standard to a potential inconsistency with a plan adopted for environmental protection. *Protect the Historic Amador Waterways v. Amador Water Agency* (2004) 116 Cal.App.4th 1099 holds that an EIR needs to analyze any topic for which a fair argument of significant impact is raised.

Since the proposed Project is inconsistent with the zoning code, and requires a zone change and variance, it will have significant impacts that must be analyzed in a tiered EIR. These impacts were not analyzed in the 2010 EIR.

3. The Project Will Have Significant Impacts to Historic Resources.

The proposed Project may have significant impacts to historic resources, and the City has failed to implement applicable mitigation measures from the 2010 EIR with respect to this impact. The downtown zone is a National Historic District (2010 DEIR 1-5). The 2010 DEIR required development to be “context-sensitive infill development.” (Id.) The Addendum admits that the Project site includes a historically significant building on the Built Environment Resources Directory (“BERD”) database. (Addendum 3.3-3). The historic building is the Santa Ana Car Salon, located at 509-515 East 4th Street. (Addendum Appendix C, Cultural Resources, p.3, 8). The historic resource is a “rare example of the Western False Front Style in Santa Ana.” (Id.) This historic building will be demolished as part of the Project, and the Project will therefore have adverse impacts on an historic resource.

The 2010 EIR required a case-by-case historic analysis for future projects, and required that for each project an historic resource expert must be retained to conduct an analysis and to suggest measures to minimize impacts. (2010 DEIR 1-24). However, no such historic resource analysis was done for the Project due to “constraints surrounding COVID-19.” (Addendum 3.1-1).

Since the City has failed to implement mitigation measures required by the 2010 EIR, a subsequent EIR is required. If the agency fails to implement mitigation measures required by a prior EIR, this requires CEQA review, even for an otherwise ministerial project. *Katzeff v. Dept. of Forestry* (2010) 181 Cal.App.4th 601, 611, 614; *Lincoln Place Tenants v. City of Los Angeles* (2005) 130 Cal.App.4th 1491, 1507-1508. The purpose of this requirement “is to ensure that feasible mitigation measures will actually be

implemented as a condition of development, and not merely adopted and then neglected or disregarded.” *Federation of Hillside and Canyon Associations v. City of Los Angeles* (2000) 83 Cal.App.4th 1252, 1260-1261. The decision to abandon an adopted mitigation measure is a discretionary decision.

An agency fails proceed in a manner required by law when it fails to comply with adopted CEQA mitigation measures. *Lincoln Place*, 130 Cal.App.4th at 1508, 1510 (“[h]aving placed these conditions . . . the city cannot simply ignore them. Mitigating conditions are not mere expressions of hope . . . [i]n the present case the city failed to proceed according to law . . .”). “[T]his rule is applicable even if one of the smaller parts might require only ministerial, rather than discretionary, approval.” *Katzeff*, 181 Cal.App.4th at 611; *Lincoln Place*, 130 Cal.App.4th 1491, 1507 n22 (“it cannot be argued CEQA does not apply to the . . . demolition on the ground the demolition permits are ministerial acts.”)

Since the Project may have significant impacts to historic resources, and the City has failed to comply with mitigation measures required by the 2010 EIR, a supplemental EIR is required to analyze this impact.

4. The Project Fails to Implement Mitigation Measures Required by the 2010 EIR.

The Project fails to implement several mitigation measures required by the 2010 EIR. As discussed above, the failure to implement mitigation measures set forth in a prior EIR itself requires preparation of a supplemental EIR.

In addition to the historic resources mitigation measure, the Addendum fails to implement energy conservation and greenhouse gas mitigation measure 4.2-22, which requires projects to exceed Title 24 energy standards by 20%. (2010 DEIR 1-18). However, the Addendum fails to implement this measure, since the Project will merely comply with Title 24, not exceed Title 24 by 20%.

The 2010 EIR included numerous air quality mitigation measures that are not required in the Addendum for the Project. (2010 EIR 1-18, MM 4.2-21, 4.2-22). The failure to implement these mitigation measures requires preparation of a supplemental EIR.

5. The Project Will Have Significant Adverse Air Quality and Greenhouse Gas Impacts.

We submit herewith the comments of Dr. Paul Rosenfeld, Ph.D. and Matthew Hagemann, C. Hg, P.G. of the environmental consulting firm SWAPE. They conclude that the Addendum’s air quality analysis is riddled with errors due to unsubstantiated input parameters used to estimate Project emissions. (SWAPE 1). Correcting for these errors, SWAPE concludes that the Project will create a cancer risk from airborne pollution of up to 210 per million. (SWAPE 18). This is over twenty times above the South Coast Air

Quality Management District (SCAQMD) CEQA significance threshold of 10 per million. SWAPE also calculates that the Project will have significant greenhouse gas impacts. (SWAPE 23). SWAPE concludes that the Addendum fails to impose all feasible mitigation measures to reduce the Project's air quality impacts.

Exceedance of Air District thresholds establishes a significant impact under CEQA. Indeed, in many instances, such air quality thresholds are the only criteria reviewed and treated as dispositive in evaluating the significance of a project's air quality impacts. See, e.g. *Schenck v. County of Sonoma* (2011) 198 Cal.App.4th 949, 960 (County applies BAAQMD's "published CEQA quantitative criteria" and "threshold level of cumulative significance"). See also *Communities for a Better Environment v. California Resources Agency* (2002) 103 Cal.App.4th 98, 110-111 ("A 'threshold of significance' for a given environmental effect is simply that level at which the lead agency finds the effects of the project to be significant"). The California Supreme Court recently made clear the substantial importance that a BAAQMD significance threshold plays in providing substantial evidence of a significant adverse impact. *Communities for a Better Environment v. South Coast Air Quality Management Dist.* (2010) 48 Cal.4th 310, 327 ("As the [South Coast Air Quality Management] District's established significance threshold for NO_x is 55 pounds per day, these estimates [of NO_x emissions of 201 to 456 pounds per day] constitute substantial evidence supporting a fair argument for a significant adverse impact").

An EIR is required to analyze and mitigate the Project's significant air quality and greenhouse gas impacts.

E. EVEN IF THE 2010 EIR WERE STILL RELEVANT TO THE PROJECT, A SUPPLEMENTAL OR SUBSEQUENT EIR IS NECESSARY BECAUSE SUBSTANTIAL CHANGES WILL RESULT IN NEW AND MORE SIGNIFICANT ENVIRONMENTAL IMPACTS.

Even assuming that the 2010 EIR had some relevance to evaluating the environmental impacts of this Project, numerous substantial changes in the development plans have occurred such as the increase in massing and density, new information of substantial importance has arisen, and substantial changes in circumstances have taken place that require a wholesale revision of the dated 2010 EIR.

When changes to a project's circumstances or new substantial information comes to light subsequent to the certification of an EIR for a project, the agency must prepare a subsequent or supplemental EIR if the changes are "[s]ubstantial" and require "major revisions" of the previous EIR. *Friends of Coll. of San Mateo Gardens v. San Mateo Cty. Cmty. Coll. Dist.* (2016) 1 Cal.5th 937, 943. "[W]hen there is a change in plans, circumstances, or available information after a project has received initial approval, the agency's environmental review obligations 'turn[] on the value of the new information to

the still pending decisionmaking process.” *Id.*, 1 Cal.5th at 951–52. The agency must “decide under CEQA’s subsequent review provisions whether project changes will require major revisions to the original environmental document because of the involvement of new, previously unconsidered significant environmental effects.” *Id.*, 1 Cal.5th at 952. Section 21166 and CEQA Guidelines § 15162 “do[] not permit agencies to avoid their obligation to prepare subsequent or supplemental EIRs to address new, and previously unstudied, potentially significant environmental effects.” *Id.*, 1 Cal.5th at 958.

The evidence indicates that the project considered by the 2010 EIR has undergone significant changes to the project and its circumstances requiring substantial revisions to that 10-year old EIR.

A. A New EIR is Required Because the Increase in Massing and Density is a Substantial Change from the 2010 Project and there is Substantial Evidence that the Project Will Result in Emissions of Formaldehyde to the Air that Will Have a Significant Health Impact on Future Residents.

Even if the 201 EIR were somehow relevant to the current Project, the City would still be required to prepare an SEIR. The increase in massing and density, the failure to conduct a historic resource analysis, and zoning changes and variances required as part of the Project is a substantial change from the 2010 project. “The purpose behind the requirement of a subsequent or supplemental EIR or negative declaration is to explore environmental impacts not considered in the original environmental document.” *Friends of College of San Mateo Gardens v. San Mateo* (2016) 1 Cal.5th 937, 949 (quoting *Save Our Neighborhood v. Lishman* (2006) 140 Cal.App.4th 1288, 1296). For example, in the case of *Ventura Foothill Neighbors*, a mere increase in the height of a building by 15 feet required a supplemental EIR, not an addendum. *Ventura Foothill Neighbors v. Cty. of Ventura*, 232 Cal. App. 4th 429 (2014).

As discussed above, the expert opinion of Mr. Offermann constitutes substantial evidence that the residential component of the Project will result in a significant air quality impact to residential occupants of the Project. This impact is significant and new. It could not have been known in 2010 because the science in this area did not exist until 2015. Accordingly, the City violated CEQA by not preparing an SEIR to analyze and mitigate this new significant impact.


There is no substantial evidence in the record to support a conclusion that the Project will not have a new significant indoor air quality impact as a result of significant changes to the Project when compared to the project analyzed in the 2010 EIR. Accordingly, the City’s decision to prepare an Addendum rather than an SEIR is not supported by substantial evidence, and approval of the Project based on the Addendum would constitute an abuse of discretion.

CONCLUSION

For the above and other reasons, the Planning Commission should decline to recommend the City Council approve the Addendum, and instead direct Planning Staff to

prepare and circulate an EIR for public review. The City may not rely on the 10-year old 2010 EIR.

Sincerely,



Richard Toshiki Drury
LOZEAU DRURY LLP

ATTACHMENT A



Date: October 12, 2020

To: Richard Drury
Lozeau | Drury LLP
1939 Harrison Street, Suite 150
Oakland, California 94612

From: Francis J. Offermann PE CIH

Subject: Indoor Air Quality: 4th and Mortimer Project, Santa Ana, CA
(IEE File Reference: P-4394)

Pages: 19

Indoor Air Quality Impacts

Indoor air quality (IAQ) directly impacts the comfort and health of building occupants, and the achievement of acceptable IAQ in newly constructed and renovated buildings is a well-recognized design objective. For example, IAQ is addressed by major high-performance building rating systems and building codes (California Building Standards Commission, 2014; USGBC, 2014). Indoor air quality in homes is particularly important because occupants, on average, spend approximately ninety percent of their time indoors with the majority of this time spent at home (EPA, 2011). Some segments of the population that are most susceptible to the effects of poor IAQ, such as the very young and the elderly, occupy their homes almost continuously. Additionally, an increasing number of adults are working from home at least some of the time during the workweek. Indoor air quality also is a serious concern for workers in hotels, offices and other business establishments.

The concentrations of many air pollutants often are elevated in homes and other buildings relative to outdoor air because many of the materials and products used indoors contain and release a variety of pollutants to air (Hodgson et al., 2002; Offermann and Hodgson,

2011). With respect to indoor air contaminants for which inhalation is the primary route of exposure, the critical design and construction parameters are the provision of adequate ventilation and the reduction of indoor sources of the contaminants.

Indoor Formaldehyde Concentrations Impact. In the California New Home Study (CNHS) of 108 new homes in California (Offermann, 2009), 25 air contaminants were measured, and formaldehyde was identified as the indoor air contaminant with the highest cancer risk as determined by the California Proposition 65 Safe Harbor Levels (OEHHA, 2017a), No Significant Risk Levels (NSRL) for carcinogens. The NSRL is the daily intake level calculated to result in one excess case of cancer in an exposed population of 100,000 (i.e., ten in one million cancer risk) and for formaldehyde is 40 µg/day. The NSRL concentration of formaldehyde that represents a daily dose of 40 µg is 2 µg/m³, assuming a continuous 24-hour exposure, a total daily inhaled air volume of 20 m³, and 100% absorption by the respiratory system. All of the CNHS homes exceeded this NSRL concentration of 2 µg/m³. The median indoor formaldehyde concentration was 36 µg/m³, and ranged from 4.8 to 136 µg/m³, which corresponds to a median exceedance of the 2 µg/m³ NSRL concentration of 18 and a range of 2.3 to 68.

Therefore, the cancer risk of a resident living in a California home with the median indoor formaldehyde concentration of 36 µg/m³, is 180 per million as a result of formaldehyde alone. The CEQA significance threshold for airborne cancer risk is 10 per million, as established by the South Coast Air Quality Management District (SCAQMD, 2015).

Besides being a human carcinogen, formaldehyde is also a potent eye and respiratory irritant. In the CNHS, many homes exceeded the non-cancer reference exposure levels (RELs) prescribed by California Office of Environmental Health Hazard Assessment (OEHHA, 2017b). The percentage of homes exceeding the RELs ranged from 98% for the Chronic REL of 9 µg/m³ to 28% for the Acute REL of 55 µg/m³.

The primary source of formaldehyde indoors is composite wood products manufactured with urea-formaldehyde resins, such as plywood, medium density fiberboard, and

particleboard. These materials are commonly used in building construction for flooring, cabinetry, baseboards, window shades, interior doors, and window and door trims.

In January 2009, the California Air Resources Board (CARB) adopted an airborne toxics control measure (ATCM) to reduce formaldehyde emissions from composite wood products, including hardwood plywood, particleboard, medium density fiberboard, and also furniture and other finished products made with these wood products (California Air Resources Board 2009). While this formaldehyde ATCM has resulted in reduced emissions from composite wood products sold in California, they do not preclude that homes built with composite wood products meeting the CARB ATCM will have indoor formaldehyde concentrations below cancer and non-cancer exposure guidelines.

A follow up study to the California New Home Study (CNHS) was conducted in 2016-2018 (Chan et. al., 2019), and found that the median indoor formaldehyde in new homes built after 2009 with CARB Phase 2 Formaldehyde ATCM materials had lower indoor formaldehyde concentrations, with a median indoor concentrations of $22.4 \mu\text{g}/\text{m}^3$ (18.2 ppb) as compared to a median of $36 \mu\text{g}/\text{m}^3$ found in the 2007 CNHS.

Thus, while new homes built after the 2009 CARB formaldehyde ATCM have a 38% lower median indoor formaldehyde concentration and cancer risk, the median lifetime cancer risk is still 112 per million for homes built with CARB compliant composite wood products. This median lifetime cancer risk is more than 11 times the OEHHA 10 in a million cancer risk threshold (OEHHA, 2017a).

With respect to 4th and Mortimer Project in Santa Ana, CA the buildings consist of multi-family residential buildings and commercial buildings.

The employees of the commercial spaces are expected to experience significant indoor exposures (e.g., 40 hours per week, 50 weeks per year). These exposures for employees are anticipated to result in significant cancer risks resulting from exposures to formaldehyde released by the building materials and furnishing commonly found in offices, warehouses, residences and hotels.

Because these commercial spaces will be constructed with CARB Phase 2 Formaldehyde ATCM materials, and be ventilated with the minimum code required amount of outdoor air, the indoor formaldehyde concentrations are likely similar to those concentrations observed in residences built with CARB Phase 2 Formaldehyde ATCM materials, which is a median of 22.4 $\mu\text{g}/\text{m}^3$ (Chan et. al., 2019)

Assuming that the commercial spaces employees work 8 hours per day and inhale 20 m^3 of air per day, the formaldehyde dose per work-day at the offices is 149 $\mu\text{g}/\text{day}$.

Assuming that these employees work 5 days per week and 50 weeks per year for 45 years (start at age 20 and retire at age 65) the average 70-year lifetime formaldehyde daily dose is 65.8 $\mu\text{g}/\text{day}$.

This is 1.64 times the NSRL (OEHHA, 2017a) of 40 $\mu\text{g}/\text{day}$ and represents a cancer risk of 16.4 per million, which exceeds the CEQA cancer risk of 10 per million. This impact should be analyzed in an environmental impact report (“EIR”), and the agency should impose all feasible mitigation measures to reduce this impact. Several feasible mitigation measures are discussed below and these and other measures should be analyzed in an EIR.

The residential occupants will potentially have continuous exposure (e.g., 24 hours per day, 52 weeks per year) to formaldehyde released by the building materials and furnishing commonly found in residential construction. These exposures to formaldehyde are anticipated to result in significant cancer risks.

Because these residences will be constructed with CARB Phase 2 Formaldehyde ATCM materials and ventilated with the minimum code required amount of outdoor air, the indoor residential formaldehyde concentrations are likely similar to those concentrations observed in residences built with CARB Phase 2 Formaldehyde ATCM materials, which is a median of 22.4 $\mu\text{g}/\text{m}^3$ (Chan et. al., 2019)

Assuming that the residential occupants inhale 20 m^3 of air per day, the average 70-year

lifetime formaldehyde daily dose is 448 µg/day for continuous exposure in the residences. This exposure represents a cancer risk of 112 per million, which is more than 11 times SCAQMD's CEQA significance threshold for airborne cancer risk of 10 per million (SCAQMD, 2015). For occupants that do not have continuous exposure, the cancer risk will be proportionally less but still substantially over this CEQA cancer risk of 10 per million (e.g. for 12/hour/day occupancy, more than 5 times the OEHHA cancer risk of 10 per million).

Appendix A, Indoor Formaldehyde Concentrations and the CARB Formaldehyde ATCM, provides analyses that show utilization of CARB Phase 2 Formaldehyde ATCM materials will not ensure acceptable cancer risks with respect to formaldehyde emissions from composite wood products.

Even composite wood products manufactured with CARB certified ultra low emitting formaldehyde (ULEF) resins do not insure that the indoor air will have concentrations of formaldehyde below the CEQA cancer risks. The permissible emission rates for ULEF composite wood products are only 11-15% lower than the CARB Phase 2 emission rates. Only use of composite wood products made with no-added formaldehyde resins (NAF), such as resins made from soy, polyvinyl acetate, or methylene diisocyanate can insure that the CEQA cancer risk of 10 per million is met.

The following describes a method that should be used, prior to construction in the environmental review under CEQA, for determining whether the indoor concentrations resulting from the formaldehyde emissions of specific building materials/furnishings selected exceed cancer and non-cancer guidelines. Such a design analyses can be used to identify those materials/furnishings prior to the completion of the City's CEQA review and project approval, that have formaldehyde emission rates that contribute to indoor concentrations that exceed cancer and non-cancer guidelines, so that alternative lower emitting materials/furnishings may be selected and/or higher minimum outdoor air ventilation rates can be increased to achieve acceptable indoor concentrations and incorporated as mitigation measures for this project.

Pre-Construction Building Material/Furnishing Formaldehyde Emissions Assessment

This formaldehyde emissions assessment should be used in the environmental review under CEQA to assess the indoor formaldehyde concentrations from the proposed loading of building materials/furnishings, the area-specific formaldehyde emission rate data for building materials/furnishings, and the design minimum outdoor air ventilation rates. This assessment allows the applicant (and the City) to determine, before the conclusion of the environmental review process and the building materials/furnishings are specified, purchased, and installed, if the total chemical emissions will exceed cancer and non-cancer guidelines, and if so, allow for changes in the selection of specific material/furnishings and/or the design minimum outdoor air ventilations rates such that cancer and non-cancer guidelines are not exceeded.

1.) Define Indoor Air Quality Zones. Divide the building into separate indoor air quality zones, (IAQ Zones). IAQ Zones are defined as areas of well-mixed air. Thus, each ventilation system with recirculating air is considered a single zone, and each room or group of rooms where air is not recirculated (e.g. 100% outdoor air) is considered a separate zone. For IAQ Zones with the same construction material/furnishings and design minimum outdoor air ventilation rates. (e.g. hotel rooms, apartments, condominiums, etc.) the formaldehyde emission rates need only be assessed for a single IAQ Zone of that type.

2.) Calculate Material/Furnishing Loading. For each IAQ Zone, determine the building material and furnishing loadings (e.g., m² of material/m² floor area, units of furnishings/m² floor area) from an inventory of all potential indoor formaldehyde sources, including flooring, ceiling tiles, furnishings, finishes, insulation, sealants, adhesives, and any products constructed with composite wood products containing urea-formaldehyde resins (e.g., plywood, medium density fiberboard, particleboard).

3.) Calculate the Formaldehyde Emission Rate. For each building material, calculate the formaldehyde emission rate (µg/h) from the product of the area-specific formaldehyde emission rate (µg/m²-h) and the area (m²) of material in the IAQ Zone, and from each furnishing (e.g. chairs, desks, etc.) from the unit-specific formaldehyde emission rate (µg/unit-h) and the number of units in the IAQ Zone.

NOTE: As a result of the high-performance building rating systems and building codes (California Building Standards Commission, 2014; USGBC, 2014), most manufacturers of building materials furnishings sold in the United States conduct chemical emission rate tests using the California Department of Health “Standard Method for the Testing and Evaluation of Volatile Organic Chemical Emissions for Indoor Sources Using Environmental Chambers,” (CDPH, 2017), or other equivalent chemical emission rate testing methods. Most manufacturers of building furnishings sold in the United States conduct chemical emission rate tests using ANSI/BIFMA M7.1 Standard Test Method for Determining VOC Emissions (BIFMA, 2018), or other equivalent chemical emission rate testing methods.

CDPH, BIFMA, and other chemical emission rate testing programs, typically certify that a material or furnishing does not create indoor chemical concentrations in excess of the maximum concentrations permitted by their certification. For instance, the CDPH emission rate testing requires that the measured emission rates when input into an office, school, or residential model do not exceed one-half of the OEHHA Chronic Exposure Guidelines (OEHHA, 2017b) for the 35 specific VOCs, including formaldehyde, listed in Table 4-1 of the CDPH test method (CDPH, 2017). These certifications themselves do not provide the actual area-specific formaldehyde emission rate (i.e., $\mu\text{g}/\text{m}^2\text{-h}$) of the product, but rather provide data that the formaldehyde emission rates do not exceed the maximum rate allowed for the certification. Thus, for example, the data for a certification of a specific type of flooring may be used to calculate that the area-specific emission rate of formaldehyde is less than $31 \mu\text{g}/\text{m}^2\text{-h}$, but not the actual measured specific emission rate, which may be 3, 18, or $30 \mu\text{g}/\text{m}^2\text{-h}$. These area-specific emission rates determined from the product certifications of CDPH, BIFA, and other certification programs can be used as an initial estimate of the formaldehyde emission rate.

If the actual area-specific emission rates of a building material or furnishing is needed (i.e. the initial emission rates estimates from the product certifications are higher than desired), then that data can be acquired by requesting from the manufacturer the complete chemical emission rate test report. For instance if the complete CDPH emission test report is requested for a CDHP certified product, that report will provide the actual area-specific

emission rates for not only the 35 specific VOCs, including formaldehyde, listed in Table 4-1 of the CDPH test method (CDPH, 2017), but also all of the cancer and reproductive/developmental chemicals listed in the California Proposition 65 Safe Harbor Levels (OEHHA, 2017a), all of the toxic air contaminants (TACs) in the California Air Resources Board Toxic Air Contamination List (CARB, 2011), and the 10 chemicals with the greatest emission rates.

Alternatively, a sample of the building material or furnishing can be submitted to a chemical emission rate testing laboratory, such as Berkeley Analytical Laboratory (<https://berkeleyanalytical.com>), to measure the formaldehyde emission rate.

4.) Calculate the Total Formaldehyde Emission Rate. For each IAQ Zone, calculate the total formaldehyde emission rate (i.e. µg/h) from the individual formaldehyde emission rates from each of the building material/furnishings as determined in Step 3.

5.) Calculate the Indoor Formaldehyde Concentration. For each IAQ Zone, calculate the indoor formaldehyde concentration (µg/m³) from Equation 1 by dividing the total formaldehyde emission rates (i.e. µg/h) as determined in Step 4, by the design minimum outdoor air ventilation rate (m³/h) for the IAQ Zone.

$$C_{in} = \frac{E_{total}}{Q_{oa}} \text{ (Equation 1)}$$

where:

C_{in} = indoor formaldehyde concentration (µg/m³)

E_{total} = total formaldehyde emission rate (µg/h) into the IAQ Zone.

Q_{oa} = design minimum outdoor air ventilation rate to the IAQ Zone (m³/h)

The above Equation 1 is based upon mass balance theory, and is referenced in Section 3.10.2 “Calculation of Estimated Building Concentrations” of the California Department of Health “Standard Method for the Testing and Evaluation of Volatile Organic Chemical Emissions for Indoor Sources Using Environmental Chambers”, (CDPH, 2017).

6.) Calculate the Indoor Exposure Cancer and Non-Cancer Health Risks. For each IAQ Zone, calculate the cancer and non-cancer health risks from the indoor formaldehyde concentrations determined in Step 5 and as described in the OEHHA Air Toxics Hot Spots Program Risk Assessment Guidelines; Guidance Manual for Preparation of Health Risk Assessments (OEHHA, 2015).

7.) Mitigate Indoor Formaldehyde Exposures of exceeding the CEQA Cancer and/or Non-Cancer Health Risks. In each IAQ Zone, provide mitigation for any formaldehyde exposure risk as determined in Step 6, that exceeds the CEQA cancer risk of 10 per million or the CEQA non-cancer Hazard Quotient of 1.0.

Provide the source and/or ventilation mitigation required in all IAQ Zones to reduce the health risks of the chemical exposures below the CEQA cancer and non-cancer health risks.

Source mitigation for formaldehyde may include:

- 1.) reducing the amount materials and/or furnishings that emit formaldehyde
- 2.) substituting a different material with a lower area-specific emission rate of formaldehyde

Ventilation mitigation for formaldehyde emitted from building materials and/or furnishings may include:

- 1.) increasing the design minimum outdoor air ventilation rate to the IAQ Zone.

NOTE: Mitigating the formaldehyde emissions through use of less material/furnishings, or use of lower emitting materials/furnishings, is the preferred mitigation option, as mitigation with increased outdoor air ventilation increases initial and operating costs associated with the heating/cooling systems.

Further, we are not asking that the builder “speculate” on what and how much composite materials be used, but rather at the design stage to select composite wood materials based on the formaldehyde emission rates that manufacturers routinely conduct using the California Department of Health “Standard Method for the Testing and Evaluation of

Volatile Organic Chemical Emissions for Indoor Sources Using Environmental Chambers,” (CDPH, 2017), and use the procedure described earlier above (i.e. Pre-Construction Building Material/Furnishing Formaldehyde Emissions Assessment) to insure that the materials selected achieve acceptable cancer risks from material off gassing of formaldehyde.

Outdoor Air Ventilation Impact. Another important finding of the CNHS, was that the outdoor air ventilation rates in the homes were very low. Outdoor air ventilation is a very important factor influencing the indoor concentrations of air contaminants, as it is the primary removal mechanism of all indoor air generated contaminants. Lower outdoor air exchange rates cause indoor generated air contaminants to accumulate to higher indoor air concentrations. Many homeowners rarely open their windows or doors for ventilation as a result of their concerns for security/safety, noise, dust, and odor concerns (Price, 2007). In the CNHS field study, 32% of the homes did not use their windows during the 24-hour Test Day, and 15% of the homes did not use their windows during the entire preceding week. Most of the homes with no window usage were homes in the winter field session. Thus, a substantial percentage of homeowners never open their windows, especially in the winter season. The median 24-hour measurement was 0.26 air changes per hour (ach), with a range of 0.09 ach to 5.3 ach. A total of 67% of the homes had outdoor air exchange rates below the minimum California Building Code (2001) requirement of 0.35 ach. Thus, the relatively tight envelope construction, combined with the fact that many people never open their windows for ventilation, results in homes with low outdoor air exchange rates and higher indoor air contaminant concentrations.

The 4th and Mortimer Project located in Santa Ana, CA is close to roads with moderate to high traffic (e.g., E. 4th Street, E. 5th Street, French Street, Mortimer Street, and N. Minter Street, etc.) as well as close to the AT&SF rail line. As a result of the outdoor vehicle traffic noise, the Project site is likely to be a sound impacted site.

According to the 4th and Mortimer Project – Environmental Impact Report Addendum, SCH #2006071100, (City of Santa Ana, 2020) the ambient noise level exceeds 65 dBA CNEL.

As a result of the high outdoor noise levels, the current project will require a mechanical supply of outdoor air ventilation to allow for a habitable interior environment with closed windows and doors. Such a ventilation system would allow windows and doors to be kept closed at the occupant's discretion to control exterior noise within building interiors.

PM_{2.5} Outdoor Concentrations Impact. An additional impact of the nearby motor vehicle traffic associated with this project, are the outdoor concentrations of PM_{2.5}. According to the 4th and Mortimer Project – Environmental Impact Report Addendum, SCH #2006071100, (City of Santa Ana, 2020), the Project is located in South Coast Air Basin, which is a State and Federal non-attainment area for PM_{2.5}.

An air quality analyses should to be conducted to determine the concentrations of PM_{2.5} in the outdoor and indoor air that people inhale each day. This air quality analyses needs to consider the cumulative impacts of the project related emissions, existing and projected future emissions from local PM_{2.5} sources (e.g. stationary sources, motor vehicles, and airport traffic) upon the outdoor air concentrations at the Project site. If the outdoor concentrations are determined to exceed the California and National annual average PM_{2.5} exceedence concentration of 12 µg/m³, or the National 24-hour average exceedence concentration of 35 µg/m³, then the buildings need to have a mechanical supply of outdoor air that has air filtration with sufficient removal efficiency, such that the indoor concentrations of outdoor PM_{2.5} particles is less than the California and National PM_{2.5} annual and 24-hour standards.

It is my experience that based on the projected high traffic noise levels, the annual average concentration of PM_{2.5} will exceed the California and National PM_{2.5} annual and 24-hour standards and warrant installation of high efficiency air filters (i.e. MERV 13 or higher) in all mechanically supplied outdoor air ventilation systems.

Indoor Air Quality Impact Mitigation Measures

The following are recommended mitigation measures to minimize the impacts upon indoor quality:

Indoor Formaldehyde Concentrations Mitigation. Use only composite wood materials (e.g. hardwood plywood, medium density fiberboard, particleboard) for all interior finish systems that are made with CARB approved no-added formaldehyde (NAF) resins (CARB, 2009). CARB Phase 2 certified composite wood products, or ultra-low emitting formaldehyde (ULEF) resins, do not insure indoor formaldehyde concentrations that are below the CEQA cancer risk of 10 per million. Only composite wood products manufactured with CARB approved no-added formaldehyde (NAF) resins, such as resins made from soy, polyvinyl acetate, or methylene diisocyanate can insure that the OEHHA cancer risk of 10 per million is met.

Alternatively, conduct the previously described Pre-Construction Building Material/Furnishing Chemical Emissions Assessment, to determine that the combination of formaldehyde emissions from building materials and furnishings do not create indoor formaldehyde concentrations that exceed the CEQA cancer and non-cancer health risks.

It is important to note that we are not asking that the builder “speculate” on what and how much composite materials be used, but rather at the design stage to select composite wood materials based on the formaldehyde emission rates that manufacturers routinely conduct using the California Department of Health “Standard Method for the Testing and Evaluation of Volatile Organic Chemical Emissions for Indoor Sources Using Environmental Chambers”, (CDPH, 2017), and use the procedure described above (i.e. Pre-Construction Building Material/Furnishing Formaldehyde Emissions Assessment) to insure that the materials selected achieve acceptable cancer risks from material off gassing of formaldehyde.

Outdoor Air Ventilation Mitigation. Provide each habitable room with a continuous mechanical supply of outdoor air that meets or exceeds the California 2016 Building Energy Efficiency Standards (California Energy Commission, 2015) requirements of the greater of 15 cfm/occupant or 0.15 cfm/ft² of floor area. Following installation of the system conduct testing and balancing to insure that required amount of outdoor air is entering each habitable room and provide a written report documenting the outdoor airflow rates. Do not use

exhaust only mechanical outdoor air systems, use only balanced outdoor air supply and exhaust systems or outdoor air supply only systems. Provide a manual for the occupants or maintenance personnel, that describes the purpose of the mechanical outdoor air system and the operation and maintenance requirements of the system.

PM_{2.5} Outdoor Air Concentration Mitigation. Install air filtration with sufficient PM_{2.5} removal efficiency (e.g. MERV 13 or higher) to filter the outdoor air entering the mechanical outdoor air supply systems, such that the indoor concentrations of outdoor PM_{2.5} particles are less than the California and National PM_{2.5} annual and 24-hour standards. Install the air filters in the system such that they are accessible for replacement by the occupants or maintenance personnel. Include in the mechanical outdoor air ventilation system manual instructions on how to replace the air filters and the estimated frequency of replacement.

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APPENDIX A

INDOOR FORMALDEHYDE CONCENTRATIONS AND THE CARB FORMALDEHYDE ATCM

With respect to formaldehyde emissions from composite wood products, the CARB ATCM regulations of formaldehyde emissions from composite wood products, do not assure healthful indoor air quality. The following is the stated purpose of the CARB ATCM regulation - *The purpose of this airborne toxic control measure is to “reduce formaldehyde emissions from composite wood products, and finished goods that contain composite wood products, that are sold, offered for sale, supplied, used, or manufactured for sale in California”*. In other words, the CARB ATCM regulations do not “assure healthful indoor air quality”, but rather “reduce formaldehyde emissions from composite wood products”.

Just how much protection do the CARB ATCM regulations provide building occupants from the formaldehyde emissions generated by composite wood products? Definitely some, but certainly the regulations do not “*assure healthful indoor air quality*” when CARB Phase 2 products are utilized. As shown in the Chan 2019 study of new California homes, the median indoor formaldehyde concentration was of $22.4 \mu\text{g}/\text{m}^3$ (18.2 ppb), which corresponds to a cancer risk of 112 per million for occupants with continuous exposure, which is more than 11 times the CEQA cancer risk of 10 per million.

Another way of looking at how much protection the CARB ATCM regulations provide building occupants from the formaldehyde emissions generated by composite wood products is to calculate the maximum number of square feet of composite wood product that can be in a residence without exceeding the CEQA cancer risk of 10 per million for occupants with continuous occupancy.

For this calculation I utilized the floor area (2,272 ft^2), the ceiling height (8.5 ft), and the number of bedrooms (4) as defined in Appendix B (New Single-Family Residence Scenario) of the Standard Method for the Testing and Evaluation of Volatile Organic Chemical Emissions for Indoor Sources Using Environmental Chambers, Version 1.1, 2017, California Department of Public Health,

For the outdoor air ventilation rate I used the 2019 Title 24 code required mechanical ventilation rate (ASHRAE 62.2) of 106 cfm (180 m³/h) calculated for this model residence. For the composite wood formaldehyde emission rates I used the CARB ATCM Phase 2 rates.

The calculated maximum number of square feet of composite wood product that can be in a residence, without exceeding the CEQA cancer risk of 10 per million for occupants with continuous occupancy are as follows for the different types of regulated composite wood products.

Medium Density Fiberboard (MDF) – 15 ft² (0.7% of the floor area), or
Particle Board – 30 ft² (1.3% of the floor area), or
Hardwood Plywood – 54 ft² (2.4% of the floor area), or
Thin MDF – 46 ft² (2.0 % of the floor area).

For offices and hotels the calculated maximum amount of composite wood product (% of floor area) that can be used without exceeding the CEQA cancer risk of 10 per million for occupants, assuming 8 hours/day occupancy, and the California Mechanical Code minimum outdoor air ventilation rates are as follows for the different types of regulated composite wood products.

Medium Density Fiberboard (MDF) – 3.6 % (offices) and 4.6% (hotel rooms), or
Particle Board – 7.2 % (offices) and 9.4% (hotel rooms), or
Hardwood Plywood – 13 % (offices) and 17% (hotel rooms), or
Thin MDF – 11 % (offices) and 14 % (hotel rooms)

Clearly the CARB ATCM does not regulate the formaldehyde emissions from composite wood products such that the potentially large areas of these products, such as for flooring, baseboards, interior doors, window and door trims, and kitchen and bathroom cabinetry, could be used without causing indoor formaldehyde concentrations that result in CEQA

cancer risks that substantially exceed 10 per million for occupants with continuous occupancy.

Even composite wood products manufactured with CARB certified ultra low emitting formaldehyde (ULEF) resins do not insure that the indoor air will have concentrations of formaldehyde that meet the OEHHA cancer risks that substantially exceed 10 per million. The permissible emission rates for ULEF composite wood products are only 11-15% lower than the CARB Phase 2 emission rates. Only use of composite wood products made with no-added formaldehyde resins (NAF), such as resins made from soy, polyvinyl acetate, or methylene diisocyanate can insure that the OEHHA cancer risk of 10 per million is met.

If CARB Phase 2 compliant or ULEF composite wood products are utilized in construction, then the resulting indoor formaldehyde concentrations should be determined in the design phase using the specific amounts of each type of composite wood product, the specific formaldehyde emission rates, and the volume and outdoor air ventilation rates of the indoor spaces, and all feasible mitigation measures employed to reduce this impact (e.g. use less formaldehyde containing composite wood products and/or incorporate mechanical systems capable of higher outdoor air ventilation rates). See the procedure described earlier (i.e. Pre-Construction Building Material/Furnishing Formaldehyde Emissions Assessment) to insure that the materials selected achieve acceptable cancer risks from material off gassing of formaldehyde.

Alternatively, and perhaps a simpler approach, is to use only composite wood products (e.g. hardwood plywood, medium density fiberboard, particleboard) for all interior finish systems that are made with CARB approved no-added formaldehyde (NAF) resins.

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Education

M.S. Mechanical Engineering (1985)
Stanford University, Stanford, CA.

Graduate Studies in Air Pollution Monitoring and Control (1980)
University of California, Berkeley, CA.

B.S. in Mechanical Engineering (1976)
Rensselaer Polytechnic Institute, Troy, N.Y.

Professional Experience

President: Indoor Environmental Engineering, San Francisco, CA. December, 1981 - present.

Direct team of environmental scientists, chemists, and mechanical engineers in conducting State and Federal research regarding indoor air quality instrumentation development, building air quality field studies, ventilation and air cleaning performance measurements, and chemical emission rate testing.

Provide design side input to architects regarding selection of building materials and ventilation system components to ensure a high quality indoor environment.

Direct Indoor Air Quality Consulting Team for the winning design proposal for the new State of Washington Ecology Department building.

Develop a full-scale ventilation test facility for measuring the performance of air diffusers; ASHRAE 129, Air Change Effectiveness, and ASHRAE 113, Air Diffusion Performance Index.

Develop a chemical emission rate testing laboratory for measuring the chemical emissions from building materials, furnishings, and equipment.

Principle Investigator of the California New Homes Study (2005-2007). Measured ventilation and indoor air quality in 108 new single family detached homes in northern and southern California.

Develop and teach IAQ professional development workshops to building owners, managers, hygienists, and engineers.

Air Pollution Engineer: Earth Metrics Inc., Burlingame, CA, October, 1985 to March, 1987.

Responsible for development of an air pollution laboratory including installation a forced choice olfactometer, tracer gas electron capture chromatograph, and associated calibration facilities. Field team leader for studies of fugitive odor emissions from sewage treatment plants, entrainment of fume hood exhausts into computer chip fabrication rooms, and indoor air quality investigations.

Staff Scientist: Building Ventilation and Indoor Air Quality Program, Energy and Environment Division, Lawrence Berkeley Laboratory, Berkeley, CA. January, 1980 to August, 1984.

Deputy project leader for the Control Techniques group; responsible for laboratory and field studies aimed at evaluating the performance of indoor air pollutant control strategies (i.e. ventilation, filtration, precipitation, absorption, adsorption, and source control).

Coordinated field and laboratory studies of air-to-air heat exchangers including evaluation of thermal performance, ventilation efficiency, cross-stream contaminant transfer, and the effects of freezing/defrosting.

Developed an *in situ* test protocol for evaluating the performance of air cleaning systems and introduced the concept of effective cleaning rate (ECR) also known as the Clean Air Delivery Rate (CADR).

Coordinated laboratory studies of portable and ducted air cleaning systems and their effect on indoor concentrations of respirable particles and radon progeny.

Co-designed an automated instrument system for measuring residential ventilation rates and radon concentrations.

Designed hardware and software for a multi-channel automated data acquisition system used to evaluate the performance of air-to-air heat transfer equipment.

Assistant Chief Engineer: Alta Bates Hospital, Berkeley, CA, October, 1979 to January, 1980.

Responsible for energy management projects involving installation of power factor correction capacitors on large inductive electrical devices and installation of steam meters on physical plant steam lines. Member of Local 39, International Union of Operating Engineers.

Manufacturing Engineer: American Precision Industries, Buffalo, NY, October, 1977 to October, 1979.

Responsible for reorganizing the manufacturing procedures regarding production of shell and tube heat exchangers. Designed customized automatic assembly, welding, and testing equipment. Designed a large paint spray booth. Prepared economic studies justifying new equipment purchases. Safety Director.

Project Engineer: Arcata Graphics, Buffalo, N.Y. June, 1976 to October, 1977.

Responsible for the design and installation of a bulk ink storage and distribution system and high speed automatic counting and marking equipment. Also coordinated material handling studies which led to the purchase and installation of new equipment.

PROFESSIONAL ORGANIZATION MEMBERSHIP

American Society of Heating, Refrigeration and Air Conditioning Engineers (ASHRAE)

- Chairman of SPC-145P, Standards Project Committee - Test Method for Assessing the Performance of Gas Phase Air Cleaning Equipment (1991-1992)
- Member SPC-129P, Standards Project Committee - Test Method for Ventilation Effectiveness (1986-97)
 - Member of Drafting Committee
- Member Environmental Health Committee (1992-1994, 1997-2001, 2007-2010)
 - Chairman of EHC Research Subcommittee
 - Member of Man Made Mineral Fiber Position Paper Subcommittee
 - Member of the IAQ Position Paper Committee
 - Member of the Legionella Position Paper Committee
 - Member of the Limiting Indoor Mold and Dampness in Buildings Position Paper Committee
- Member SSPC-62, Standing Standards Project Committee - Ventilation for Acceptable Indoor Air Quality (1992 to 2000)
 - Chairman of Source Control and Air Cleaning Subcommittee
- Chairman of TC-4.10, Indoor Environmental Modeling (1988-92)
 - Member of Research Subcommittee
- Chairman of TC-2.3, Gaseous Air Contaminants and Control Equipment (1989-92)
 - Member of Research Subcommittee

American Society for Testing and Materials (ASTM)

- D-22 Sampling and Analysis of Atmospheres
 - Member of Indoor Air Quality Subcommittee
- E-06 Performance of Building Constructions

American Board of Industrial Hygiene (ABIH)

American Conference of Governmental Industrial Hygienists (ACGIH)

- Bioaerosols Committee (2007-2013)

American Industrial Hygiene Association (AIHA)

Cal-OSHA Indoor Air Quality Advisory Committee

International Society of Indoor Air Quality and Climate (ISIAQ)

- Co-Chairman of Task Force on HVAC Hygiene

U. S. Green Building Council (USGBC)

- Member of the IEQ Technical Advisory Group (2007-2009)
- Member of the IAQ Performance Testing Work Group (2010-2012)

Western Construction Consultants (WESTCON)

PROFESSIONAL CREDENTIALS

Licensed Professional Engineer - Mechanical Engineering

Certified Industrial Hygienist - American Board of Industrial Hygienists

SCIENTIFIC MEETINGS AND SYMPOSIA

Biological Contamination, Diagnosis, and Mitigation, Indoor Air'90, Toronto, Canada, August, 1990.

Models for Predicting Air Quality, Indoor Air'90, Toronto, Canada, August, 1990.

Microbes in Building Materials and Systems, Indoor Air '93, Helsinki, Finland, July, 1993.

Microorganisms in Indoor Air Assessment and Evaluation of Health Effects and Probable Causes, Walnut Creek, CA, February 27, 1997.

Controlling Microbial Moisture Problems in Buildings, Walnut Creek, CA, February 27, 1997.

Scientific Advisory Committee, Roomvent 98, 6th International Conference on Air Distribution in Rooms, KTH, Stockholm, Sweden, June 14-17, 1998.

Moisture and Mould, Indoor Air '99, Edinburgh, Scotland, August, 1999.

Ventilation Modeling and Simulation, Indoor Air '99, Edinburgh, Scotland, August, 1999.

Microbial Growth in Materials, Healthy Buildings 2000, Espoo, Finland, August, 2000.

Co-Chair, Bioaerosols X- Exposures in Residences, Indoor Air 2002, Monterey, CA, July 2002.

Healthy Indoor Environments, Anaheim, CA, April 2003.

Chair, Environmental Tobacco Smoke in Multi-Family Homes, Indoor Air 2008, Copenhagen, Denmark, July 2008.

Co-Chair, ISIAQ Task Force Workshop; HVAC Hygiene, Indoor Air 2002, Monterey, CA, July 2002.

Chair, ETS in Multi-Family Housing: Exposures, Controls, and Legalities Forum, Healthy Buildings 2009, Syracuse, CA, September 14, 2009.

Chair, Energy Conservation and IAQ in Residences Workshop, Indoor Air 2011, Austin, TX, June 6, 2011.

Chair, Electronic Cigarettes: Chemical Emissions and Exposures Colloquium, Indoor Air 2016, Ghent, Belgium, July 4, 2016.

SPECIAL CONSULTATION

Provide consultation to the American Home Appliance Manufacturers on the development of a standard for testing portable air cleaners, AHAM Standard AC-1.

Served as an expert witness and special consultant for the U.S. Federal Trade Commission regarding the performance claims found in advertisements of portable air cleaners and residential furnace filters.

Conducted a forensic investigation for a San Mateo, CA pro se defendant, regarding an alleged homicide where the victim was kidnapped in a steamer trunk. Determined the air exchange rate in the steamer trunk and how long the person could survive.

Conducted *in situ* measurement of human exposure to toluene fumes released during nailpolish application for a plaintiffs attorney pursuing a California Proposition 65 product labeling case. June, 1993.

Conducted a forensic *in situ* investigation for the Butte County, CA Sheriff's Department of the emissions of a portable heater used in the bedroom of two twin one year old girls who suffered simultaneous crib death.

Consult with OSHA on the 1995 proposed new regulation regarding indoor air quality and environmental tobacco smoke.

Consult with EPA on the proposed Building Alliance program and with OSHA on the proposed new OSHA IAQ regulation.

Johnson Controls Audit/Certification Expert Review; Milwaukee, WI. May 28-29, 1997.

Winner of the nationally published 1999 Request for Proposals by the State of Washington to conduct a comprehensive indoor air quality investigation of the Washington State Department of Ecology building in Lacey, WA.

Selected by the State of California Attorney General's Office in August, 2000 to conduct a comprehensive indoor air quality investigation of the Tulare County Court House.

Lawrence Berkeley Laboratory IAQ Experts Workshop: "Cause and Prevention of Sick Building Problems in Offices: The Experience of Indoor Environmental Quality Investigators", Berkeley, California, May 26-27, 2004.

Provide consultation and chemical emission rate testing to the State of California Attorney General's Office in 2013-2015 regarding the chemical emissions from e-cigarettes.

PEER-REVIEWED PUBLICATIONS :

F.J. Offermann, C.D. Hollowell, and G.D. Roseme, "Low-Infiltration Housing in Rochester, New York: A Study of Air Exchange Rates and Indoor Air Quality," *Environment International*, 8, pp. 435-445, 1982.

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“Closing Session Summary: ‘Building Investigations’ and ‘Building Design & Construction’”, Healthy Buildings 2000, Espoo, Finland, August 2000.

“Managing Building Air Quality and Energy Efficiency, Meeting the Standard of Care”, BOMA, MidAtlantic Environmental Hygiene Resource Center, Seattle, WA, May 23rd, 2000; San Antonio, TX, September 26-27, 2000.

“Diagnostics & Mitigation in Sick Buildings: When Good Buildings Go Bad,” University of California Berkeley, September 18, 2001.

“Mold Contamination: Recognition and What To Do and Not Do”, Redwood Empire Remodelers Association; Santa Rosa, CA, April 16, 2002.

“Investigative Tools of the IAQ Trade”, Healthy Indoor Environments 2002; Austin, TX; April 22, 2002.

“Finding Hidden Mold: Case Studies in IAQ Investigations”, AIHA Northern California Professionals Symposium; Oakland, CA, May 8, 2002.

“Assessing and Mitigating Fungal Contamination in Buildings”, Cal/OSHA Training; Oakland, CA, February 14, 2003 and West Covina, CA, February 20-21, 2003.

“Use of External Containments During Fungal Mitigation”, Invited Speaker, ACGIH Mold Remediation Symposium, Orlando, FL, November 3-5, 2003.

Building Operator Certification (BOC), 106-IAQ Training Workshops, Northwest Energy Efficiency Council; Stockton, CA, December 3, 2003; San Francisco, CA, December 9, 2003; Irvine, CA, January 13, 2004; San Diego, January 14, 2004; Irwindale, CA, January 27, 2004; Downey, CA, January 28, 2004; Santa Monica, CA, March 16, 2004; Ontario, CA, March 17, 2004; Ontario, CA, November 9, 2004, San Diego, CA, November 10, 2004; San Francisco, CA, November 17, 2004; San Jose, CA, November 18, 2004; Sacramento, CA, March 15, 2005.

“Mold Remediation: The National QUEST for Uniformity Symposium”, Invited Speaker, Orlando, Florida, November 3-5, 2003.

“Mold and Moisture Control”, Indoor Air Quality workshop for The Collaborative for High Performance Schools (CHPS), San Francisco, December 11, 2003.

“Advanced Perspectives In Mold Prevention & Control Symposium”, Invited Speaker, Las Vegas, Nevada, November 7-9, 2004.

“Building Sciences: Understanding and Controlling Moisture in Buildings”, American Industrial Hygiene Association, San Francisco, CA, February 14-16, 2005.

“Indoor Air Quality Diagnostics and Healthy Building Design”, University of California Berkeley, Berkeley, CA, March 2, 2005.

“Improving IAQ = Reduced Tenant Complaints”, Northern California Facilities Exposition, Santa Clara, CA, September 27, 2007.

“Defining Safe Building Air”, Criteria for Safe Air and Water in Buildings, ASHRAE Winter Meeting, Chicago, IL, January 27, 2008.

“Update on USGBC LEED and Air Filtration”, Invited Speaker, NAFA 2008 Convention, San Francisco, CA, September 19, 2008.

“Ventilation and Indoor air Quality in New California Homes”, National Center of Healthy Housing, October 20, 2008.

“Indoor Air Quality in New Homes”, California Energy and Air Quality Conference, October 29, 2008.

“Mechanical Outdoor air Ventilation Systems and IAQ in New Homes”, ACI Home Performance Conference, Kansas City, MO, April 29, 2009.

“Ventilation and IAQ in New Homes with and without Mechanical Outdoor Air Systems”, Healthy Buildings 2009, Syracuse, CA, September 14, 2009.

“Ten Ways to Improve Your Air Quality”, Northern California Facilities Exposition, Santa Clara, CA, September 30, 2009.

“New Developments in Ventilation and Indoor Air Quality in Residential Buildings”, Westcon meeting, Alameda, CA, March 17, 2010.

“Intermittent Residential Mechanical Outdoor Air Ventilation Systems and IAQ”, ASHRAE SSPC 62.2 Meeting, Austin, TX, April 19, 2010.

“Measured IAQ in Homes”, ACI Home Performance Conference, Austin, TX, April 21, 2010.

“Respiration: IEQ and Ventilation”, AIHce 2010, How IH Can LEED in Green buildings, Denver, CO, May 23, 2010.

“IAQ Considerations for Net Zero Energy Buildings (NZEB)”, Northern California Facilities Exposition, Santa Clara, CA, September 22, 2010.

“Energy Conservation and Health in Buildings”, Berkeley High School Green Career Week, Berkeley, CA, April 12, 2011.

“What Pollutants are Really There ?”, ACI Home Performance Conference, San Francisco, CA, March 30, 2011.

“Energy Conservation and Health in Residences Workshop”, Indoor Air 2011, Austin, TX, June 6, 2011.

“Assessing IAQ and Improving Health in Residences”, US EPA Weatherization Plus Health, September 7, 2011.

“Ventilation: What a Long Strange Trip It’s Been”, Westcon, May 21, 2014.

“Chemical Emissions from E-Cigarettes: Direct and Indirect Passive Exposures”, Indoor Air 2014, Hong Kong, July, 2014.

“Infectious Disease Aerosol Exposures With and Without Surge Control Ventilation System Modifications”, Indoor Air 2014, Hong Kong, July, 2014.

“Chemical Emissions from E-Cigarettes”, IMF Health and Welfare Fair, Washington, DC, February 18, 2015.

“Chemical Emissions and Health Hazards Associated with E-Cigarettes”, Roswell Park Cancer Institute, Buffalo, NY, August 15, 2014.

“Formaldehyde Indoor Concentrations, Material Emission Rates, and the CARB ATCM”, Harris Martin’s Lumber Liquidators Flooring Litigation Conference, WQ Minneapolis Hotel, May 27, 2015.

“Chemical Emissions from E-Cigarettes: Direct and Indirect Passive Exposure”, FDA Public Workshop: Electronic Cigarettes and the Public Health, Hyattsville, MD June 2, 2015.

“Creating Healthy Homes, Schools, and Workplaces”, Chautauqua Institution, Athenaeum Hotel, August 24, 2015.

“Diagnosing IAQ Problems and Designing Healthy Buildings”, University of California Berkeley, Berkeley, CA, October 6, 2015.

“Diagnosing Ventilation and IAQ Problems in Commercial Buildings”, BEST Center Annual Institute, Lawrence Berkeley National Laboratory, January 6, 2016.

“A Review of Studies of Ventilation and Indoor Air Quality in New Homes and Impacts of Environmental Factors on Formaldehyde Emission Rates From Composite Wood Products”, AIHce2016, May, 21-26, 2016.

“Admissibility of Scientific Testimony”, Science in the Court, Proposition 65 Clearinghouse Annual Conference, Oakland, CA, September 15, 2016.

“Indoor Air Quality and Ventilation”, ASHRAE Redwood Empire, Napa, CA, December 1, 2016.

ATTACHMENT B



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October 12, 2020

Richard Drury
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Subject: Comments on 4th and Mortimer Project (SCH No. 2006071100)

Dear Mr. Drury,

We have reviewed the October 2020 Environmental Impact Report Addendum (“Addendum”) for the 4th and Mortimer Project (“Project”) located in the City of Santa Ana (“City”). The Project proposes to demolish two existing buildings as well as construct a 93,117-SF mixed-use residential structure containing 99 apartment units, a 74,986-SF multi-family residential structure containing 70 units, 8,075-SF of leasing/amenity areas, 3,847-SF of restaurant space, 7,514-SF of retail space, and 422 parking spaces on the 2.7-acre site.

Our review concludes that the Addendum fails to adequately evaluate the Project’s air quality, health risk, and greenhouse gas impacts. As a result, emissions and health risk impacts associated with construction and operation of the proposed Project are underestimated and inadequately addressed. An EIR should be prepared to adequately assess and mitigate the potential air quality, health risk, and greenhouse gas impacts that the project may have on the surrounding environment.

Air Quality

Unsubstantiated Input Parameters Used to Estimate Project Emissions

The Addendum’s air quality analysis relies on emissions calculated with CalEEMod.2016.3.2.¹ CalEEMod provides recommended default values based on site-specific information, such as land use type, meteorological data, total lot acreage, project type and typical equipment associated with project type. If more specific project information is known, the user can change the default values and input project-

¹ CAPCOA (November 2017) CalEEMod User’s Guide, http://www.aqmd.gov/docs/default-source/caleemod/01_user-39-s-guide2016-3-2_15november2017.pdf?sfvrsn=4.

specific values, but the California Environmental Quality Act (“CEQA”) requires that such changes be justified by substantial evidence.² Once all of the values are inputted into the model, the Project's construction and operational emissions are calculated, and “output files” are generated. These output files disclose to the reader what parameters were utilized in calculating the Project's air pollutant emissions and make known which default values were changed as well as provide justification for the values selected.³

When reviewing the Project's CalEEMod output files, provided in the Air Quality Assessment as Appendix B to the Addendum, we found that several model inputs were not consistent with information disclosed in the Addendum. As a result, the Project's construction and operational emissions are underestimated. A Project-specific EIR should be prepared to include an updated air quality analysis that adequately evaluates the impacts that construction and operation of the Project will have on local and regional air quality.

Use of an Underestimated CO₂ Intensity Factor

Review of the Project's CalEEMod output files demonstrates that the default CO₂ intensity factor was manually reduced from 702.44 pounds per megawatt hour (“lbs/MWh”) to 510.44 lbs/MWh (see excerpt below) (Appendix B, pp. 80, 112, 232).

Table Name	Column Name	Default Value	New Value
tblProjectCharacteristics	CO2IntensityFactor	702.44	510.44

As you can see in the excerpt above, the default CO₂ intensity factor was artificially reduced by approximately 27%. As previously mentioned, the CalEEMod User's Guide requires any changes to model defaults be justified.⁴ According to the “User Entered Comments & Non-Default Data” table, the justification provided for these changes is:

“CO₂ Intensity Factor adjusted per the SCE 2018 CRSR. The report provides intensity factor of CO₂e, The CO₂ intensity factor is calculated as $513 - 25 * 0.029 - 298 * 0.00617 = 510.4363$ to avoid double counting” (Appendix B, pp. 79, 111, 231).

However, review of the Edison International 2018 Sustainability Report demonstrates that the CO₂ intensity factor is 513 lbs/MWh.⁵ As the Project fails to provide an adequate source for the values and calculations utilized in the “User Entered Comments & Non-Default Data” table justification, we cannot verify the revised value of 510.44 lbs/MWh. As such, the Project should have used the 513 lbs/MWh carbon intensity value. This underestimation presents an issue, as CalEEMod uses the CO₂ intensity

² CalEEMod User Guide, available at: <http://www.caleemod.com/>, p. 1, 9.

³ CalEEMod User Guide, available at: <http://www.caleemod.com/>, p. 11, 12 – 13. A key feature of the CalEEMod program is the “remarks” feature, where the user explains why a default setting was replaced by a “user defined” value. These remarks are included in the report.

⁴ CalEEMod User Guide, available at: <http://www.caleemod.com/>, p. 2, 9

⁵ Edison International 2018 Sustainability Report, available at: http://www.agmd.gov/docs/default-source/caleemod/01_user-39-s-guide2016-3-2_15november2017.pdf?sfvrsn=4%20, p. 10

factor to calculate the Project's greenhouse gas ("GHG") emissions associated with electricity use.⁶ Thus, by including an underestimated CO₂ intensity factor, the model underestimates the Project's GHG emissions and should not be relied upon to determine Project significance.

Use of an Underestimated Land Use Size

According to the Addendum, the proposed Project on Block A would include:

"a mixed-use residential structure containing 99 apartment units, with approximately 93,117 square feet of residential space, 8,075 square feet of leasing/amenity areas, a 3,847-square foot restaurant, and 7,514 square feet of retail space. On Block B, a 70-unit multi-family residential structure would be constructed, with 74,986 square feet of residential space, as well as an aboveground parking structure with approximately 192 stalls" (emphasis added) (p. 2-10).

Thus, the Project would include 8,075-SF of leasing/amenity areas, in addition to the proposed 93,117-SF of residential land use space on Block A and 74,986-SF of residential land use space on Block B. As such, the Project's CalEEMod should have a total of 176,178-SF of residential land use space.⁷ However, review of the Project's CalEEMod output files demonstrate that the model includes only 169,000-SF of "Apartments Mid Rise" (see excerpt below) (Appendix B, pp. 78, 110, 230).

Land Uses	Size	Metric	Lot Acreage	Floor Surface Area	Population
Enclosed Parking with Elevator	422.00	Space	1.23	168,800.00	0
High Turnover (Sit Down Restaurant)	3.85	1000sqft	0.09	3,850.00	0
Apartments Mid Rise	169.00	Dwelling Unit	1.23	169,000.00	483
Strip Mall	7.51	1000sqft	0.17	7,510.00	0

As you can see in the excerpt above, the "Apartment Mid Rise" land use was underestimated by 7,178-SF. This underestimation presents an issue, as the land use size feature is used throughout CalEEMod to determine default variable and emission factors that go into the model's calculations. The square footage of a land use is used for certain calculations such as determining the wall space to be painted (i.e., VOC emissions from architectural coatings) and volume that is heated or cooled (i.e., energy impacts). Furthermore, CalEEMod assigns each land use type with its own set of energy usage emission factors.⁸ By underestimating the proposed residential land use size, the model underestimates the Project's construction-related and operational emissions and should not be relied upon to determine Project significance.

Unsubstantiated Changes to Individual Construction Phase Lengths

Review of the Project's CalEEMod output files demonstrates that the model includes unsubstantiated changes to the Project's anticipated individual construction phase lengths (see excerpt below) (Appendix B, pp. 80, 112, 232).

⁶ "CalEEMod User's Guide." CAPCOA, November 2017, available at: <http://www.caleemod.com/>, p. 17.

⁷ (93,117-SF of residential space on Block A) + (8,075-SF of leasing/amenity areas on Block A) + (74,986-SF of residential space on Block B) = 176,178-SF total.

⁸ "CalEEMod User's Guide, Appendix D." CAPCOA, September 2016, available at: http://www.aqmd.gov/docs/default-source/caleemod/upgrades/2016.3/05_appendix-d2016-3-1.pdf?sfvrsn=2

Table Name	Column Name	Default Value	New Value
tblConstructionPhase	NumDays	10.00	53.00
tblConstructionPhase	NumDays	220.00	307.00
tblConstructionPhase	NumDays	20.00	23.00
tblConstructionPhase	NumDays	6.00	44.00
tblConstructionPhase	NumDays	10.00	24.00

As a result of these changes, the model includes a construction schedule as follows (Appendix B, pp. 84, 116, 237):

Phase Number	Phase Name	Phase Type	Start Date	End Date	Num Days Week	Num Days
1	Demolition	Demolition	9/1/2021	10/1/2021	5	23
2	Site Preparation	Site Preparation	10/2/2021	10/6/2021	5	3
3	Grading	Grading	10/7/2021	12/7/2021	5	44
4	Building Construction	Building Construction	12/8/2021	2/9/2023	5	307
5	Paving	Paving	2/10/2023	3/15/2023	5	24
6	Architectural Coating	Architectural Coating	3/16/2023	5/29/2023	5	53

As demonstrated in the excerpts above, the demolition construction phase is increased by 15%, from the default value of 20 to 23 days; the site preparation remains at the default value of 3 days; the grading construction phase is increased by approximately 633%, from the default value of 6 to 44 days; the building construction phase is increased by approximately 40%, from the default value of 220 to 307 days; the paving construction phase is increased by 140%, from the default value of 10 to 24 days; and the architectural coating phase is increased by 430%, from the default value of 10 to 53 days. As previously mentioned, the CalEEMod User's Guide requires any changes to model defaults be justified.⁹ According to the "User Entered Comments & Non-Default Data" table, the justification provided for these changes is: "Estimated construction schedule based on construction questionnaire" (Appendix B, pp. 79, 111, 231). However, the Addendum and associated documents fail to disclose a construction questionnaire.

Furthermore, the Addendum states that "[c]onstruction is anticipated to take 21 to 24 months is expected to begin in September 2021" (p. 2-11). However, while the total construction schedule is approximately 21 months, from 9/1/2021 to 5/29/2023, the Addendum and associated documents fail to provide the specific individual construction phase lengths. As a result, we cannot verify the revised construction schedules and individual construction phase lengths included in the model.

These unsubstantiated changes improperly spread out construction emissions over a longer period of time for some construction phases and not others. According to the CalEEMod User's Guide, each construction phase is associated with different emissions activities (see excerpt below).¹⁰

⁹ CalEEMod User Guide, available at: <http://www.caleemod.com/>, p. 2, 9

¹⁰ "CalEEMod User's Guide." CAPCOA, November 2017, available at: http://www.agmd.gov/docs/default-source/caleemod/01_user-39-s-guide2016-3-2_15november2017.pdf?sfvrsn=4, p. 31.

Demolition involves removing buildings or structures.

Site Preparation involves clearing vegetation (grubbing and tree/stump removal) and removing stones and other unwanted material or debris prior to grading.

Grading involves the cut and fill of land to ensure that the proper base and slope is created for the foundation.

Building Construction involves the construction of the foundation, structures and buildings.

Architectural Coating involves the application of coatings to both the interior and exterior of buildings or structures, the painting of parking lot or parking garage striping, associated signage and curbs, and the painting of the walls or other components such as stair railings inside parking structures.

Paving involves the laying of concrete or asphalt such as in parking lots, roads, driveways, or sidewalks.

As such, by disproportionately altering individual construction phase lengths without proper justification, the model's calculations are altered and underestimate emissions. Thus, by including unsubstantiated changes to the Project's anticipated individual construction phase lengths, the model may underestimate the Project's maximum daily construction-related emissions and should not be relied upon to determine the significance of the Project's air quality impacts.

Unsubstantiated Amount of Demolition

According to the CalEEMod User's Guide, "[h]aul trips are based on the amount of material that is demolished, imported or exported assuming a truck can handle 16 cubic yards of material."¹¹ Therefore, the air model calculates a default number of hauling trips based upon the amount of demolition material inputted into the model.

Regarding the amount of demolition required for Project construction, the Addendum states:

"The proposed project involves demolition of two existing buildings, Northgate Gonzalez Market and Muñoz Auto & Tire Repair" (p. 2-10).

Furthermore, review of the Project's CalEEMod output files demonstrates that the model calculated a default value of 267 hauling truck trips (see excerpt below) (Appendix B, pp. 86, 118, 239).

Phase Name	Offroad Equipment Count	Worker Trip Number	Vendor Trip Number	Hauling Trip Number
Demolition	5	13.00	0.00	267.00
Site Preparation	3	8.00	0.00	0.00
Grading	4	10.00	0.00	0.00
Building Construction	8	197.00	48.00	0.00
Paving	6	15.00	0.00	0.00
Architectural Coating	1	39.00	0.00	0.00

¹¹ http://www.agmd.gov/docs/default-source/caleemod/02_appendix-a2016-3-2.pdf?sfvrsn=6, p. 14

As you can see in the excerpt above, the model calculates 267 hauling truck trips for demolition. According to the “User Entered Comments & Non-Default Data” table, the justification provided for these changes is: “Estimated tons of demolished material based on AQ construction questionnaire” (Appendix B, pp. 79, 111, 231). However, this justification is incorrect for two (2) reasons. First, the Addendum and associated documents fail to provide an AQ construction questionnaire, as referenced. As such, we are unable to verify the inputted amount of demolition material as claimed. Second, the Addendum fails to disclose the specific square footage of facilities to be demolished or the tons of debris resulting from this demolition. Thus, we cannot verify that the hauling trip number calculated in the model is the result of the input of the correct amount of demolition. As such, demolition may be underestimated.

This potential underestimation presents an issue, as the total amount of demolition material is used by CalEEMod to determine emissions associated with this phase of construction. The three primary operations that generate dust emissions during the demolition phase are mechanical or explosive dismemberment, site removal of debris, and on-site truck traffic on paved and unpaved road.¹² Thus, by failing to substantiate the demolition of existing structures and hardscape, emissions associated with fugitive dust, site removal, and exhaust from hauling trucks traveling to and from the site may be underestimated. As a result, the model may underestimate the Project’s construction-related emissions and should not be relied upon to determine the significance of the Project’s air quality impacts.

Use of Underestimated Operational Vehicle Trips

According to the Traffic Impact Analysis (“TIA”), provided by Appendix K to the Addendum, the proposed Project is expected to generate 1,171 daily vehicle trips throughout operation (Appendix K, pp. 314, Table 5-1). However, review of the “Project Traffic Generation Forecast Table” demonstrates that a 5% non-auto trip reduction was applied to the Project’s daily vehicle trip estimate, resulting in a reduction of 59 vehicle trips (see excerpt below) (Appendix K, pp. 314, Table 5-1).

¹² CalEEMod User Guide, Appendix A, p. 11, available at: <http://www.caleemod.com/>

Description	Daily 2-Way
<u>[B] Proposed Project:</u>	
□ 221: Residential (169 DU)	919
Internal Capture ⁷	<u>-120</u>
Residential Subtotal	799
□ 820: Retail Shops (7,514 SF)	284
Internal Capture ⁷	<u>-163</u>
Retail Subtotal	121
□ 932: High-Turnover Restaurant (3,847 SF)	432
Internal Capture ⁷	<u>-181</u>
Retail Subtotal	251
Total Project Trip Generation	1,171
Non-Auto Trip Adjustment (5%)	-59
[B] Net Project Trip Generation	1,112

As the above excerpt demonstrates, the TIA includes a 5% reduction to the trip generation calculations to account for non-vehicle transport. Regarding the 5% non-auto trip reduction, the TIA states:

“Please note that a 5% non-auto trip reduction was applied to the trip generation to account for other modes of transportation within a downtown area (i.e. public transit, walking, biking, etc.). It is our understanding that the City of Santa Ana and Garden Grove have partnered with OCTA to develop the “OC Streetcar” which will further enhance mobility throughout Downtown Santa Ana, beyond the current transit opportunities that are now availability. In addition, the *Santa Ana Renaissance Specific Plan Traffic Study* prepared by KOA dated January 2010 utilized a similar 5% mode adjust” (Appendix K, pp. 312-313).

However, this justification is insufficient for three (3) reasons. First, while the TIA cites to the *Santa Ana Renaissance Specific Plan Traffic Study*, which supposedly includes a similar adjustment, the TIA fails to provide a source for the 5% non-auto trip reduction applied to the proposed Project. Second, simply because a Traffic Study in 2010 includes a similar 5% mode adjustment does not substantiate the inclusion of the reduction for the current Project. Third, the TIA fails to provide sufficient evidence that the development of the “OC Streetcar” would specifically result in a 5% reduction in vehicle trips for the proposed Project. As such, we cannot verify the 5% non-auto trip reduction, and the Addendum’s CalEEMod model should have included 1,171 daily trips instead of 1,112 trips. By including an unsubstantiated reduction to the Project’s daily operational vehicle trips, the model underestimates the Project’s mobile-source operational emissions and should not be relied upon to determine Project significance.

Incorrect Application of Construction-Related Mitigation Measures

Review of the Project's CalEEMod output files demonstrates the model includes the following five (5) construction-related mitigation measures: "Replace Ground Cover," "Water Exposed Area," "Water Unpaved Roads," "Reduce Vehicle Speed on Unpaved Roads," and "Clean Paved Roads" (see excerpt below) (Appendix B, pp. 86, 118, 239).

3.1 Mitigation Measures Construction

Replace Ground Cover
Water Exposed Area
Water Unpaved Roads
Reduce Vehicle Speed on Unpaved Roads
Clean Paved Roads

As a result, the model includes a 6% clean paved road reduction, 12% unpaved road moisture content, and 15 miles per hour ("MPH") vehicle speed (see excerpt below) (Appendix B, pp. 80, 112, 232).

Table Name	Column Name	Default Value	New Value
tblConstDustMitigation	CleanPavedRoadPercentReduction	0	6
tblConstDustMitigation	WaterUnpavedRoadMoistureContent	0	12
tblConstDustMitigation	WaterUnpavedRoadVehicleSpeed	0	15

As previously mentioned, the CalEEMod User's Guide requires any changes to model defaults be justified.¹³ According to the "User Entered Comments & Non-Default Data" table, the justification provided for these changes is: "Per SCAQMD Rules and Regulations" (Appendix B, pp. 79, 111, 231). Furthermore, in regard to the Project's construction emissions, the Addendum states:

"Emission data is pulled from 'mitigated' results, which include SCAQMD regulatory requirements including Rule 403 and Rule 1113. SCAQMD Rule 403 Fugitive Dust applied. The Rule 403 reduction/credits include the following: properly maintain mobile and other construction equipment; replace ground cover in disturbed areas quickly; water exposed surfaces three times daily; cover stockpiles with tarps; water all haul roads twice daily; and limit speeds on unpaved roads to 15 miles per hour" (p. 3.2-4).

However, as the excerpt above demonstrates, while the Addendum indicates which mitigation measures were included in the model, the Addendum does not explicitly *require* the Project to include *any* of the above-mentioned construction-related mitigation measures. Thus, the Addendum fails to demonstrate a commitment to the implementation, monitoring, and enforcement of any construction-related mitigation measures, and we cannot verify their inclusion in the model.

¹³ CalEEMod User Guide, available at: <http://www.caleemod.com/>, p. 2, 9

Furthermore, according to SCAQMD Rule 403, Projects can either water unpaved roads 3 times per day, water unpaved roads 1 time per day and limit vehicle speeds to 15 mph, or apply a chemical stabilizer (see excerpt below) (p. 403-21, Table 2).¹⁴

Table 2 (Continued)

FUGITIVE DUST SOURCE CATEGORY	CONTROL ACTIONS
Unpaved Roads	<p>(4a) Water all roads used for any vehicular traffic at least once per every two hours of active operations [3 times per normal 8 hour work day]; OR</p> <p>(4b) Water all roads used for any vehicular traffic once daily and restrict vehicle speeds to 15 miles per hour; OR</p> <p>(4c) Apply a chemical stabilizer to all unpaved road surfaces in sufficient quantity and frequency to maintain a stabilized surface.</p>

As you can see in the above excerpt, to simply comply with SCAQMD Rule 403, the Project may either water unpaved roads 3 times per day, water unpaved roads 1 time per day and limit vehicle speeds to 15 mph, or apply a chemical stabilizer. Thus, none of the measures included in the CalEEMod model are explicitly required by SCAQMD Rule 403, and we cannot verify their inclusion in the model. By including construction-related mitigation measures without properly committing to their implementation, the model may underestimate the Project's construction-related emissions and should not be relied upon to determine Project significance.

Incorrect Application of Operational Mitigation Measures

Review of the Project's CalEEMod output files demonstrates that the model incorrectly includes several mobile-, area-, and water-related operational mitigation measures. As a result, the Project's operational emissions may be underestimated, and the model should not be relied upon to determine Project significance.

First, the Project's CalEEMod output files reveal that the model included the following two (2) mobile-related operational mitigation measures: "Increase Density" and "Increase Diversity" (see excerpt below) (Appendix B, pp. 102, 134, 255).

4.1 Mitigation Measures Mobile

<p>Increase Density</p> <p>Increase Diversity</p>

Second, the Project's CalEEMod output files reveal that the model included the "Use only Natural Gas Hearths" area-related operational mitigation measure (see excerpt below) (Appendix B, pp. 106, 138 261).

¹⁴ "RULE 403. FUGITIVE DUST." SCAQMD, June 2005, available at: <http://www.agmd.gov/docs/default-source/rule-book/rule-iv/rule-403.pdf>.

6.1 Mitigation Measures Area

Use only Natural Gas Hearths

Third, the Project's CalEEMod output files reveal that the model included the following five (5) water-related operational mitigation measures: "Install Low Flow Bathroom Faucet," "Install Low Flow Kitchen Faucet," "Install Low Flow Toilet," "Install Low Flow Shower," and "Use Water Efficient Irrigation System (see excerpt below) (Appendix B, pp. 108, 140, 263).

7.1 Mitigation Measures Water

Install Low Flow Bathroom Faucet
Install Low Flow Kitchen Faucet
Install Low Flow Toilet
Install Low Flow Shower
Use Water Efficient Irrigation System

However, the inclusion of the above-mentioned energy-, mobile-, and water-related operational mitigation measures is unsubstantiated according to the relevant guidance. According to the CalEEMod User's Guide:

"The mitigation measures included in CalEEMod are largely based on the CAPCOA Quantifying Greenhouse Gas Mitigation Measures (<http://www.capcoa.org/wp-content/uploads/downloads/2010/09/CAPCOA-Quantification-Report-9-14-Final.pdf>) document. The CAPCOA measure numbers are provided next to the mitigation measures in CalEEMod to assist the user in understanding each measure by referencing back to the CAPCOA document."¹⁵

However, review of CAPCOA's *Quantifying Greenhouse Gas Mitigation Measures* document demonstrates that the Addendum fails to substantiate several of the mitigation measures included in the model (see table below).

Measure	Consistency
CAPCOA's Quantifying Greenhouse Gas Mitigation Measures ¹⁶	
Mobile Measures	

¹⁵ "CalEEMod User's Guide." CAPCOA, November 2017, available at: <http://www.caleemod.com/>, p. 53.

¹⁶ "Quantifying Greenhouse Gas Mitigation Measures." CAPCOA, August 2010, available at: <http://www.capcoa.org/wp-content/uploads/2010/11/CAPCOA-Quantification-Report-9-14-Final.pdf>.

<p>Measure LUT-1 Increase Density</p> <p><i>“The reductions in GHG emissions are quantified based on reductions to VMT. The relationship between density and VMT is described by its elasticity.”</i></p> <p>% VMT Reduction = A * B, where: A = % increase in housing units or jobs/acre B = Elasticity of VMT with respect to density</p> <p>The following information needs to be provided by the Project Applicant:</p> <ul style="list-style-type: none"> • Number of housing units per acre or jobs per job acre 	<p>As previously mentioned, the CalEEMod User’s Guide requires any changes to model defaults be justified. Here, however, the “User Entered Comments & Non-Default Data” table fails to mention or substantiate the inclusion of this measure whatsoever (Appendix B, pp. 79, 111, 231). While the Greenhouse Gas (“GHG”) Emissions Assessment, provided by Appendix D to the Addendum, states that the Project “would result in 169 dwelling units per acre over the 2.715-acre site,” the Addendum and associated documents completely fail to mention or evaluate the increased density “based on reductions to VMT,” as stated in the measure (Appendix D, pp. 217). The Addendum and associated documents also fail to mention the % increase in housing units or jobs/acre or the elasticity of VMT with respect to density, as stated in the measure. Finally, the Addendum and associated documents fail to provide any calculations or assumptions used to include this measure in the model. As such, this measure is unsubstantiated, and the model should not be relied upon to determine Project significance.</p>
<p>Measure LUT-3 Increase Diversity</p> <p><i>“Having different types of land uses near one another can decrease VMT since trips between land use types are shorter and may be accommodated by non-auto modes of transport.”</i></p> <p>The following information needs to be provided by the Project Applicant:</p> <ul style="list-style-type: none"> • Percentage of each land use type in the project (to calculate land use index) 	<p>As previously mentioned, the CalEEMod User’s Guide requires any changes to model defaults be justified. Here, however, the “User Entered Comments & Non-Default Data” table fails to mention or substantiate the inclusion of this measure whatsoever (Appendix B, pp. 79, 111, 231). In regard to Measure LUT-3, the GHG Emissions Assessment states: “The measure requires at least three different land uses within 0.25 mile. The Project proposes residential, retail, and restaurant uses, and there are also residential, retail, and office land uses within this distance from the Project” (Appendix D, pp. 217). However, the Addendum and associated documents fail to provide the percentage of each land use type in</p>

	the project to calculate the land use index, as stated in the measure. As such, this measure is unsubstantiated, and the model should not be relied upon to determine Project significance.
Water Measures	
<p>Measure WUW-1 Install Low-Flow Water Fixtures</p> <p><i>“Installing low-flow or high-efficiency water fixtures in buildings reduces water demand, energy demand, and associated indirect GHG emissions.”</i></p> <p>The following information needs to be provided by the Project Applicant:</p> <ul style="list-style-type: none"> • Total expected indoor water demand, without installation of low-flow or high-efficiency fixtures (million gallons), AND • Total expected indoor water demand, after installation of low-flow or high-efficiency fixtures (million gallons), OR • Commitment to low-flow or high-efficiency water fixtures (toilets, showerheads, sink faucets, dishwashers, clothes washers, or all of the above) 	<p>As previously mentioned, the CalEEMod User’s Guide requires any changes to model defaults be justified. Here, however, the “User Entered Comments & Non-Default Data” table fails to mention or substantiate the inclusion of this measure whatsoever (Appendix B, pp. 79, 111, 231). While the Addendum states that the proposed Project’s water demand is 29.6 acre-feet per year (“AFY”), the Addendum fails to specify whether this total expected water demand is after the installation of low-flow or high-efficiency fixtures. Thus, the Addendum and associated documents fail to provide the total expected indoor water demand, without installation of low-flow or high-efficiency fixtures, <u>and</u> total expected indoor water demand, after installation of low-flow or high-efficiency fixtures, or a commitment to low-flow or high-efficiency water fixtures, as is required in the measure. Furthermore, the Addendum states that “[t]he project would utilize energy-efficient LED lighting, a drought tolerant plant palette, and <u>low-flow water fixtures</u> to increase building sustainability” (p. 3.5-3). However, the Addendum only states this to demonstrate consistency with the City’s Climate Action Plan (“CAP”). Thus, the Project fails to include low-flow water fixtures as a product design feature or mitigation measure, and the Addendum fails to demonstrate that the Project actually intends to install low-flow water fixtures at the Project site. As such, this measure is unsubstantiated, and the model</p>

	should not be relied upon to determine Project significance.
<p>Measure WUW-4 Use Water-Efficient Landscape Irrigation Systems</p> <p><i>“Using water-efficient landscape irrigation techniques such as “smart” irrigation technology reduces outdoor water demand, energy demand, and the associated GHG emissions.”</i></p> <p>The following information needs to be provided by the Project Applicant:</p> <ul style="list-style-type: none"> • Total expected outdoor water demand, without installation of smart landscape irrigation controller (million gallons). • (Optional) Project-specific percent reduction in outdoor water demand, after installation of smart landscape irrigation controller. Percent reduction must be verifiable. Otherwise, use the default value of 6.1%. 	<p>As previously mentioned, the CalEEMod User’s Guide requires any changes to model defaults be justified. Here, however, the “User Entered Comments & Non-Default Data” table fails to mention or substantiate the inclusion of this measure whatsoever (Appendix B, pp. 79, 111, 231). Furthermore, the Addendum states that “water-efficient irrigation systems would be used” (p. 3.5-4). However, the Addendum only states this to demonstrate consistency with CARB’s 2017 Scoping Plan. Thus, the Project fails to include water-efficient landscape irrigation systems as a product design feature or mitigation measure, and the Addendum fails to demonstrate that the Project actually intends to implement water-efficient landscape irrigation techniques at the Project site. Furthermore, the Addendum and associated documents fail to provide the total expected outdoor water demand, without installation of smart landscape irrigation controller and/or the Project-specific percent reduction in outdoor water demand, after installation of smart landscape irrigation controller, as required in the measure. As such, this measure is unsubstantiated, and the model should not be relied upon to determine Project significance.</p>

As shown above, the Addendum fails to justify several of the mitigation measures utilized in the Project’s CalEEMod model according to the relevant guidance. As a result, the inclusion of these measures in the model is unsubstantiated and the model should not be relied upon to determine the significance of GHG impacts from the Project.

Diesel Particulate Matter Health Risk Emissions Inadequately Evaluated

The Addendum concludes that the Project’s health risk impacts would be less than significant without conducting a quantified construction or operational health risk assessment (“HRA”) (p. 6). Specifically, regarding the health risk impacts associated with Project construction, the Addendum states:

“California Office of Environmental Health Hazard Assessment has not identified short-term health effects from DPM. Construction activities would be temporary and transient throughout the site (i.e., move from location to location), and would not generate emissions in a fixed location for extended periods of time. Construction activities would also be subject to and would comply with California regulations limiting the idling of heavy-duty construction equipment to no more than five minutes to further reduce nearby sensitive receptors’ exposure to temporary and variable DPM emissions. As such, project construction DPM impacts to sensitive receptors would be less than significant and would not result in a substantial increase in the severity of DMP impacts beyond those analyzed in the 2010 FEIR” (p. 3.2-7).

However, the Addendum’s evaluation of the Project’s health risk impacts, as well as the subsequent less than significant impact conclusion, is incorrect for four (4) reasons.

First, the Addendum’s claims that the health risk impacts associated with Project construction would be less than significant because Project construction “would be temporary and transient,” “would not generate emissions in a fixed location for extended periods of time,” and “would also be subject to and would comply with California regulations limiting the idling of heavy-duty construction equipment” are unsupported. As the Addendum fails to provide substantial evidence, including sources or calculations, to substantiate these claims, we are unable to verify that they are correct. Without providing a quantified construction HRA, the Addendum lacks substantial evidence to demonstrate that health risk impacts associated with Project construction would be less than significant.

Second, by failing to prepare a construction HRA, the Project is inconsistent with the most recent guidance published by the Office of Environmental Health Hazard Assessment (“OEHHA”), the organization responsible for providing guidance on conducting HRAs in California. OEHHA released its most recent *Risk Assessment Guidelines: Guidance Manual for Preparation of Health Risk Assessments* in February 2015.¹⁷ This guidance document describes the types of projects that warrant the preparation of an HRA. Construction of the Project will produce emissions of diesel particulate matter (“DPM”), a human carcinogen, through the exhaust stacks of construction equipment over a construction period of approximately 21- to 24-months (p. 2-11). The OEHHA document recommends that all short-term projects lasting at least two months be evaluated for cancer risks to nearby sensitive receptors.¹⁸ As the Project’s proposed 21- to 24-month construction duration vastly exceeds the 2-month requirement set forth by OEHHA, it is clear that the Project meets the threshold requiring a quantified HRA under OEHHA guidance (p. 2-11). Thus, we recommend that health risk impacts from Project construction be evaluated in an EIR, per OEHHA guidelines, in order to determine the nature and extent of the Project’s health risk impacts.

Third, the Addendum fails to mention or evaluate the potential health risk impacts associated with Project operation whatsoever. As previously stated, the TIA indicates that Project operation would

¹⁷ “Risk Assessment Guidelines Guidance Manual for Preparation of Health Risk Assessments.” OEHHA, February 2015, available at: http://oehha.ca.gov/air/hot_spots/hotspots2015.html

¹⁸ “Risk Assessment Guidelines Guidance Manual for Preparation of Health Risk Assessments.” OEHHA, February 2015, available at: http://oehha.ca.gov/air/hot_spots/2015/2015GuidanceManual.pdf, p. 8-18

generate 1,112 daily vehicle trips, or 1,171 daily vehicle trips without the non-auto trip adjustment, which will generate additional exhaust emissions and continue to expose nearby sensitive receptors to DPM emissions (Appendix F, p. 10, Table 5-1). Furthermore, the omission of a quantified operational HRA is inconsistent with the most recent guidance published by the Office of Environmental Health Hazard Assessment ("OEHHA"). The OEHHA document recommends that exposure from projects lasting more than 6 months be evaluated for the duration of the project, and recommends that an exposure duration of 30 years be used to estimate individual cancer risk for the maximally exposed individual resident ("MEIR").¹⁹ Even though we were not provided with the expected lifetime of the Project, we can reasonably assume that the Project will operate for at least 30 years, if not more. Therefore, we recommend that health risk impacts from Project operation also be evaluated, as a 30-year exposure duration vastly exceeds the 6-month requirement set forth by OEHHA. These recommendations reflect the most recent state health risk policies, and as such, we recommend that an updated assessment of health risk impacts posed to nearby sensitive receptors from Project operation be included in an EIR for the Project.

Fourth, by claiming a less than significant impact without conducting a quantified HRA to disclose the exposure levels to nearby, existing sensitive receptors as a result of Project construction and operation, the Addendum fails to compare the excess health risk to the SCAQMD's specific numeric threshold of 10 in one million.²⁰ Thus, the Addendum cannot conclude less than significant health risk impacts resulting from Project construction and operation without quantifying emissions to compare to the proper threshold.

Screening-Level Analysis Demonstrates Significant Impacts

In an effort to accurately estimate the emissions associated with the Project, we prepared an updated CalEEMod model, using the Project-specific information provided by the Addendum. In our updated model, we corrected the CO₂ intensity factor, residential land use size, and operational vehicle trip rates; proportionally revised the construction phase lengths to achieve an overall construction period of 21 months; and omitted the unsubstantiated construction-related and operational mitigation measures. Utilizing our updated model, and in an effort to demonstrate the potential health risk posed by Project construction and operation to nearby, existing sensitive receptors utilizing a site-specific emissions estimates, we prepared a simple screening-level HRA. The results of our assessment as described below, demonstrate that the proposed Project may result in a significant impact not previously identified or addressed in the Addendum.

In order to conduct our screening-level risk assessment we relied upon AERSCREEN, which is a screening level air quality dispersion model.²¹ The model replaced SCREEN3, and AERSCREEN is included in the

¹⁹ "Risk Assessment Guidelines Guidance Manual for Preparation of Health Risk Assessments." OEHHA, February 2015, available at: http://oehha.ca.gov/air/hot_spots/2015/2015GuidanceManual.pdf, p. 8-6, 8-15

²⁰ "South Coast AQMD Air Quality Significance Thresholds." SCAQMD, April 2019, available at: <http://www.aqmd.gov/docs/default-source/ceqa/handbook/scaqmd-air-quality-significance-thresholds.pdf>.

²¹ U.S. EPA (April 2011) AERSCREEN Released as the EPA Recommended Screening Model, http://www.epa.gov/ttn/scram/guidance/clarification/20110411_AERSCREEN_Release_Memo.pdf

OEHHA²² and the California Air Pollution Control Officers Associated (“CAPCOA”)²³ guidance as the appropriate air dispersion model for Level 2 health risk screening assessments (“HRSAs”). A Level 2 HRSA utilizes a limited amount of site-specific information to generate maximum reasonable downwind concentrations of air contaminants to which nearby sensitive receptors may be exposed. If an unacceptable air quality hazard is determined to be possible using AERSCREEN, a more refined modeling approach is required prior to approval of the Project.

We prepared a preliminary HRA of the Project’s construction and operational health-related impact to residential sensitive receptors using the annual PM₁₀ exhaust estimates from the SWAPE CalEEMod output files. Consistent with recommendations set forth by OEHHA, we assumed residential exposure begins during the third trimester stage of life. SWAPE’s CalEEMod model indicates that construction activities will generate approximately 188 pounds of DPM over the 636-day construction period. The AERSCREEN model relies on a continuous average emission rate to simulate maximum downward concentrations from point, area, and volume emission sources. To account for the variability in equipment usage and truck trips over Project construction, we calculated an average DPM emission rate by the following equation:

$$\text{Emission Rate} \left(\frac{\text{grams}}{\text{second}} \right) = \frac{188.4 \text{ lbs}}{636 \text{ days}} \times \frac{453.6 \text{ grams}}{\text{lbs}} \times \frac{1 \text{ day}}{24 \text{ hours}} \times \frac{1 \text{ hour}}{3,600 \text{ seconds}} = 0.001556 \text{ g/s}$$

Using this equation, we estimated a construction emission rate of 0.001556 grams per second (“g/s”). Subtracting the 636-day construction period from the total residential duration of 30 years, we assumed that after Project construction, the sensitive receptor would be exposed to the Project’s operational DPM for an additional 28.26 years, approximately. The Project’s operational CalEEMod emissions indicate that operational activities will generate approximately 64 pounds of DPM per year throughout operation. Applying the same equation used to estimate the construction DPM rate, we estimated the following emission rate for Project operation:

$$\text{Emission Rate} \left(\frac{\text{grams}}{\text{second}} \right) = \frac{64.4 \text{ lbs}}{365 \text{ days}} \times \frac{453.6 \text{ grams}}{\text{lbs}} \times \frac{1 \text{ day}}{24 \text{ hours}} \times \frac{1 \text{ hour}}{3,600 \text{ seconds}} = 0.000926 \text{ g/s}$$

Using this equation, we estimated an operational emission rate of 0.000926 g/s. Construction and operational activity was simulated as a 2.72-acre rectangular area source in AERSCREEN with dimensions of 157 by 70 meters. A release height of three meters was selected to represent the height of exhaust stacks on operational equipment and other heavy-duty vehicles, and an initial vertical dimension of one and a half meters was used to simulate instantaneous plume dispersion upon release. An urban meteorological setting was selected with model-default inputs for wind speed and direction distribution.

The AERSCREEN model generates maximum reasonable estimates of single-hour DPM concentrations from the Project site. EPA guidance suggests that in screening procedures, the annualized average

²² “Risk Assessment Guidelines Guidance Manual for Preparation of Health Risk Assessments.” OEHHA, February 2015, available at: http://oehha.ca.gov/air/hot_spots/2015/2015GuidanceManual.pdf

²³ CAPCOA (July 2009) Health Risk Assessments for Proposed Land Use Projects, http://www.capcoa.org/wp-content/uploads/2012/03/CAPCOA_HRA_LU_Guidelines_8-6-09.pdf.

concentration of an air pollutant be estimated by multiplying the single-hour concentration by 10%.²⁴ According to the Addendum, the nearest sensitive receptors are located approximately 55 feet, or 17 meters, from the Project boundary (p. 3.2-6). However, review of the AERSCREEN output files demonstrates that the MEIR is located approximately 75 meters from the Project site. Thus, the single-hour concentration estimated by AERSCREEN for Project construction is approximately 3.914 $\mu\text{g}/\text{m}^3$ DPM at approximately 75 meters downwind. Multiplying this single-hour concentration by 10%, we get an annualized average concentration of 0.3914 $\mu\text{g}/\text{m}^3$ for Project construction at the MEIR. For Project operation, the single-hour concentration estimated by AERSCREEN is 2.332 $\mu\text{g}/\text{m}^3$ DPM at approximately 75 meters downwind. Multiplying this single-hour concentration by 10%, we get an annualized average concentration of 0.2332 $\mu\text{g}/\text{m}^3$ for Project operation at the MEIR.

We calculated the excess cancer risk to the MEIR using applicable HRA methodologies prescribed by OEHHA. Consistent with the Addendum's proposed 21- to 24-month construction schedule, the annualized average concentration for Project construction was used for the entire third trimester of pregnancy (0.25 years) and the first 1.49 years of the infantile stage of life (0 – 2 years) (p. 2-11). The annualized averaged concentration for operation was used for the remainder of the 30-year exposure period, which makes up the remaining 0.51 years of the infantile stage of life, the entire child stage of life (2 – 16 years), and the entire the adult stage of life (16 – 30 years).

Consistent with OEHHA, as recommended by the SCAQMD, BAAQMD, and SJVAPCD guidance, we used Age Sensitivity Factors ("ASF") to account for the heightened susceptibility of young children to the carcinogenic toxicity of air pollution.^{25, 26, 27} According to this guidance, the quantified cancer risk should be multiplied by a factor of ten during the third trimester of pregnancy and during the first two years of life (infant) as well as multiplied by a factor of three during the child stage of life (2 – 16 years). We also included the quantified cancer risk without adjusting for the heightened susceptibility of young children to the carcinogenic toxicity of air pollution in accordance with older OEHHA guidance from 2003. This guidance utilizes a less health protective scenario than what is currently recommended by SCAQMD, the air quality district with jurisdiction over the City, and several other air districts in the state. Furthermore, in accordance with the guidance set forth by OEHHA, we used the 95th percentile breathing rates for

²⁴ "Screening Procedures for Estimating the Air Quality Impact of Stationary Sources Revised." EPA, 1992, *available at*: http://www.epa.gov/ttn/scram/guidance/guide/EPA-454R-92-019_OCR.pdf; *see also* "Risk Assessment Guidelines Guidance Manual for Preparation of Health Risk Assessments." OEHHA, February 2015, *available at*: <https://oehha.ca.gov/media/downloads/cnr/2015guidancemanual.pdf> p. 4-36.

²⁵ "Draft Environmental Impact Report (DEIR) for the Proposed The Exchange (SCH No. 2018071058)." SCAQMD, March 2019, *available at*: <http://www.aqmd.gov/docs/default-source/ceqa/comment-letters/2019/march/RVC190115-03.pdf?sfvrsn=8>, p. 4.

²⁶ "California Environmental Quality Act Air Quality Guidelines." BAAQMD, May 2017, *available at*: http://www.baaqmd.gov/~media/files/planning-and-research/ceqa/ceqa_guidelines_may2017-pdf.pdf?la=en, p. 56; *see also* "Recommended Methods for Screening and Modeling Local Risks and Hazards." BAAQMD, May 2011, *available at*: <http://www.baaqmd.gov/~media/Files/Planning%20and%20Research/CEQA/BAAQMD%20Modeling%20Approach.ashx>, p. 65, 86.

²⁷ "Update to District's Risk Management Policy to Address OEHHA's Revised Risk Assessment Guidance Document." SJVAPCD, May 2015, *available at*: <https://www.valleyair.org/busind/pto/staff-report-5-28-15.pdf>, p. 8, 20, 24.

infants.²⁸ Finally, according to SCAQMD guidance, we used a Fraction of Time At Home (“FAH”) Value of 1 for the 3rd trimester and infant receptors.²⁹ We used a cancer potency factor of 1.1 (mg/kg-day)⁻¹ and an averaging time of 25,550 days. The results of our calculations are shown below.

The Maximum Exposed Individual at an Existing Residential Receptor (MEIR)						
Activity	Duration (years)	Concentration (ug/m3)	Breathing Rate (L/kg-day)	Cancer Risk without ASFs*	ASF	Cancer Risk with ASFs*
Construction	0.25	0.3914	361	5.3E-07	10	5.3E-06
3rd Trimester Duration	0.25			5.3E-07	3rd Trimester Exposure	5.3E-06
Construction	1.49	0.3914	1090	9.6E-06	10	9.6E-05
Operation	0.51	0.2332	1090	1.9E-06	10	1.9E-05
Infant Exposure Duration	2.00			1.2E-05	Infant Exposure	1.2E-04
Operation	14.00	0.2332	572	2.8E-05	3	8.4E-05
Child Exposure Duration	14.00			2.8E-05	Child Exposure	8.4E-05
Operation	14.00	0.2332	261	9.4E-06	1	9.4E-06
Adult Exposure Duration	14.00			9.4E-06	Adult Exposure	9.4E-06
Lifetime Exposure Duration	30.00			5.0E-05	Lifetime Exposure	2.1E-04

* We, along with CARB and SCAQMD, recommend using the more updated and health protective 2015 OEHHA guidance, which includes ASFs.

As demonstrated in the table above, the excess cancer risk to adults, children, infants, and during the 3rd trimester of pregnancy at the MEIR located approximately 75 meters away, over the course of Project construction and operation, utilizing age sensitivity factors, are approximately 9.4, 84, 120, and 5.3 in one million, respectively. The excess cancer risk over the course of a residential lifetime (30 years), utilizing age sensitivity factors, is approximately 210 in one million. The infant, child, and lifetime cancer risks all exceed the SCAQMD threshold of 10 in one million, thus resulting in a potentially significant impact not previously addressed or identified by the Addendum. Utilizing age sensitivity factors is the most conservative, health-protective analysis according to the most recent guidance by OEHHA and reflects recommendations from the air district. Results without age sensitivity factors are presented in

²⁸ “Supplemental Guidelines for Preparing Risk Assessments for the Air Toxics ‘Hot Spots’ Information and Assessment Act,” July 2018, available at: <http://www.aqmd.gov/docs/default-source/planning/risk-assessment/ab2588supplementalguidelines.pdf>, p. 16.

“Risk Assessment Guidelines Guidance Manual for Preparation of Health Risk Assessments.” OEHHA, February 2015, available at: <https://oehha.ca.gov/media/downloads/cnr/2015guidancemanual.pdf>

²⁹ “Risk Assessment Procedures for Rules 1401, 1401.1, and 212.” SCAQMD, August 2017, available at: http://www.aqmd.gov/docs/default-source/rule-book/Proposed-Rules/1401/riskassessmentprocedures_2017_080717.pdf, p. 7.

the table above, although we **do not** recommend utilizing these values for health risk analysis. Regardless, the excess cancer risk to adults, children, infants, and during the 3rd trimester of pregnancy at the MEIR located approximately 75 meters away, over the course of Project construction and operation, without age sensitivity factors, are approximately 9.4, 28, 12, and 0.53 in one million, respectively. The excess cancer risk over the course of a residential lifetime (30 years), without age sensitivity factors, is approximately 50 in one million. The infant, child, and lifetime cancer risks, without age sensitivity factors, all exceed the SCAQMD threshold of 10 in one million, thus resulting in a potentially significant impact not previously addressed or identified by the Addendum. While we recommend the use of age sensitivity factors, health risk impacts exceed the SCAQMD threshold regardless.

An agency must include an analysis of health risks that connects the Project's air emissions with the health risk posed by those emissions. Our analysis represents a screening-level HRA, which is known to be conservative and tends to err on the side of health protection.³⁰ The purpose of the screening-level construction and operational HRA shown above is to demonstrate the link between the proposed Project's emissions and the potential health risk. Our screening-level HRA demonstrates that construction and operation of the Project could result in a potentially significant health risk impact, when correct exposure assumptions and up-to-date, applicable guidance are used. Therefore, since our screening-level HRA indicates a potentially significant impact, the City should prepare a Project-specific EIR with an HRA which makes a reasonable effort to connect the Project's air quality emissions and the potential health risks posed to nearby receptors. Thus, the City should prepare an updated, quantified air pollution model as well as an updated, quantified refined health risk assessment which adequately and accurately evaluates health risk impacts associated with both Project construction and operation.

Greenhouse Gas

Failure to Adequately Evaluate Greenhouse Gas Impacts

The Addendum estimates that the Project would generate net annual GHG emissions of 1,493 metric tons of CO₂ equivalents per year ("MT CO₂e/year") (p. 3.5-2, Table 3.5-1). As a result, the Addendum concludes that the Project would result in a service population efficiency value of 2.0 metric tons of CO₂ equivalents per service population per year ("MT CO₂e/SP/year"), based on a service population value of 747 people (see excerpt below) (p. 3.5-2, Table 3.5-1).

³⁰ "Risk Assessment Guidelines Guidance Manual for Preparation of Health Risk Assessments." OEHHA, February 2015, available at: <https://oehha.ca.gov/media/downloads/cnr/2015guidancemanual.pdf>, p. 1-5

Table 3.5-1 Construction and Operational GHG Emissions

Source	Pollutant Emissions (MT/yr)
	CO ₂ e
Construction Emissions Amortized over 30 Years	29 ¹
Operational Emissions	
Area	38
Energy	597
Waste	33
Water	29
Mobile	733
Total Project Emissions	1,493
Project Service Population (Residents and Employees)	747
Total Project Emissions per service population (MTCO₂e per service population per year)	2.0
2010 FEIR Emissions	98,414
2010 FEIR Service Population	11,794
Total 2010 FEIR Emissions per service population (MTCO₂e per service population per year)	8.3

However, the Addendum fails to compare the Project's emissions to the relevant SCAQMD quantitative GHG threshold, instead relying upon the City of Santa Ana's 2010 Programmatic Final EIR ("FEIR"), stating:

"[T]he project would not result in any new or substantially more severe GHG emissions impacts than what was analyzed in the 2010 FEIR and project GHG emissions would not be significant" (p. 3.5-2).

Finally, the Addendum relies upon the Project's consistency with the City of Santa Ana's Climate Action Plan ("CAP") and CARB's 2017 *Scoping Plan* in order to conclude that the Project would result in a less than significant GHG impact (p. 3.5-3 - 3.5-4). However, the Addendum's quantitative and qualitative GHG analyses, as well as the subsequent less than significant impact conclusion, are incorrect for seven (7) reasons.

- (1) The Addendum's quantitative GHG analysis relies upon an incorrect and unsubstantiated air model;
- (2) The Addendum's quantitative GHG analysis relies upon an overestimated service population;
- (3) The Addendum fails to apply the relevant SCAQMD quantitative GHG threshold;
- (4) The Addendum fails to identify a potentially significant GHG impact;
- (5) The Addendum incorrectly relies upon the City's CAP;
- (6) The Addendum fails to demonstrate that the Project would be consistent with CARB's *Scoping Plan*; and
- (7) SWAPE's updated analysis indicates a potentially significant GHG impact.

(1) Incorrect and Unsubstantiated Quantitative GHG Analysis

As discussed above, the Addendum estimates that the Project would generate net annual GHG emissions of 1,493 MT CO₂e/year, resulting in a service population efficiency of 2.0 MT CO₂e/SP/year (p. 3.5-2, Table 3.5-1). However, the Addendum's quantitative GHG analysis should not be relied upon, as it relies upon an unsubstantiated air model. As previously discussed, when we reviewed the Project's CalEEMod output files, provided in the Air Quality Assessment as Appendix B to the Addendum, we found that several of the values inputted into the model are not consistent with information disclosed in the Addendum and associated documents. As a result, the model underestimates the Project's GHG emissions, and the Addendum's quantitative GHG analysis should not be relied upon to determine Project significance. An EIR should be prepared that adequately assesses the potential GHG impacts that construction and operation of the proposed Project may have on the surrounding environment.

(2) Reliance Upon an Overestimated Service Population

As discussed above, the Addendum concludes that the Project would result in a service population efficiency value of 2.0 MT CO₂e/SP/year, based on a service population value of 747 people (p. 3.5-2, Table 3.5-1). However, the Addendum's quantitative GHG analysis is unsubstantiated, as it relies upon an unsupported service population of 747 people. According to CAPCOA's *CEQA & Climate Change* report, service population is defined as "the sum of the number of residents and the number of jobs supported by the project."³¹ The Addendum indicates that the proposed Project would provide housing for up to 507 residents, "[u]sing the household size ratio from the 2010 FEIR of 3.0 persons per household" (p. 3.9-5). However, this household size ratio should not be relied upon as it is from approximately 10 years ago and fails to take into account the type of housing development or number of units per structure. Rather, the more recent 2020 Draft Program Environmental Impact Report ("DPEIR") for the City of Santa Ana General Plan Update reveals that this value is incorrect. Rather, the DPEIR indicates that structures including over 50 units would have household size ratios of 2.77- and 2.45-persons per household 2018 and 2045, respectively (see excerpt below).³²

Table 4: Persons per Household Assumptions

Units in Structure	2000	2010	2011	2012	2013	2014	2015	2016	2017	2018	2045
Citywide	4.37	4.30	4.26	4.41	4.14	3.97	4.33	4.20	4.11	3.97	3.62
Single family ¹	5.01	4.92	4.98	4.94	4.84	4.81	5.00	4.85	4.73	4.59 ³	4.30 ⁴
Multi-family ²	4.07	4.01	3.86	4.15	3.82	3.51	4.01	3.86	3.74	3.58 ³	3.12 ⁴
2 to 4	4.40	4.84	4.09	4.77	3.90	3.56	4.48	4.37	4.01	4.03	3.43
5 to 19	3.93	3.78	3.75	4.31	3.69	3.55	4.01	3.85	3.53	3.99	3.60
20 to 49	4.67	4.20	4.35	4.49	4.31	3.81	4.10	4.20	3.92	2.95	2.05
50 or more	3.71	3.58	3.67	3.55	3.71	3.19	3.43	3.18	3.74	2.77	2.41

³¹ CAPCOA (Jan. 2008) *CEQA & Climate Change*, p. 71-72, <http://www.capcoa.org/wp-content/uploads/2012/03/CAPCOA-White-Paper.pdf>.

³² "Santa Ana General Plan Update Draft Program Environmental Impact Report." August 2020, *available at*: <https://www.santa-ana.org/sites/default/files/pb/general-plan/documents/Draft%20EIR/Complete%20Draft%20PEIR.pdf>, p. B-b-11, Table 4.

Thus, the Addendum should have relied upon a household size ratio of 2.45-persons per household, in order to maintain consistency with the City's General Plan and conduct the most conservative analysis. As such, the Addendum should have estimated a residential service population value of 407 people.³³

Furthermore, the Addendum fails to disclose any calculations used to estimate the number of jobs supported by the Project. However, the DPEIR indicates that commercial land uses generate approximately 1 employee per 500-SF of building space (see excerpt below).³⁴

Table 3: Employment Factors

Employment Generation Factors		
Land Use	Existing Factor	Buildout Factor
Commercial	500 sq. ft. / emp.	500 sq. ft. / emp.
Office / Office Park	286 sq. ft. / emp.	364 sq. ft. / emp.
Business Park / R&D	300 sq. ft. / emp.	333 sq. ft. / emp.
Light Industrial	400 sq. ft. / emp.	500 sq. ft. / emp.
Heavy Industrial	500 sq. ft. / emp.	500 sq. ft. / emp.
Warehouse	800 sq. ft. / emp.	800 sq. ft. / emp.
Medical	400 sq. ft. / emp.	222 sq. ft. / emp.
Government Office	286 sq. ft. / emp.	286 sq. ft. / emp.
Hospital	400 sq. ft. / emp.	364 sq. ft. / emp.
Religious Institution	800 sq. ft. / emp.	800 sq. ft. / emp.
Hotel / Motel	0.9 / room	0.9 / room
School	0.1 / student	0.1 / student
Park	0.75 / acre	0.75 / acre

As such, we estimate that the Project would create approximately 23 new employees.³⁵ Thus, we estimate that the Project's total service population would be approximately 430 people.³⁶ As a result, the Addendum's service population is overestimated, and the subsequent quantitative GHG analysis should not be relied upon.

(3) Failure to Apply the Relevant SCAQMD Threshold

As discussed above, the Addendum estimates that the Project would generate net annual GHG emissions of 1,493 MT CO₂e/year, resulting in a service population efficiency value of 2.0 MT CO₂e/SP/year (p. 3.5-2, Table 3.5-1). However, while the Addendum quantifies the Project's GHG emissions, the Addendum fails to mention or compare the Project's annual GHG emissions to the applicable SCAQMD thresholds. This is incorrect, as the SCAQMD provides GHG thresholds that can be used to determine a project's significance.

In September 2016, Governor Brown signed Senate Bill 32, enacting HEALTH & SAFETY CODE § 38566.³⁷ This statute ("SB 32") requires California to achieve a new, more aggressive 40% reduction in GHG

³³ Calculated: 169 units * 2.41 persons per household = 407 residents.

³⁴ "Santa Ana General Plan Update Draft Program Environmental Impact Report." August 2020, *available at*: <https://www.santa-ana.org/sites/default/files/pb/general-plan/documents/Draft%20EIR/Complete%20Draft%20PEIR.pdf>, p. B-b-11, Table 3.

³⁵ Calculated: (3,850-SF of "High Turnover (Sit Down Restaurant)" + 7,510-SF of "Strip Mall") / (500 SF/Employee) = 23 employees.

³⁶ Calculated: 23 employees + 407 residents = 430 people.

³⁷ HEALTH & SAFETY CODE 38566, *available at*: https://leginfo.ca.gov/faces/codes_displaySection.xhtml?lawCode=HSC§ionNum=38566.

emissions over the 1990 level by the end of 2030. As a result, the Project should comply with SB 32, which requires a more aggressive GHG threshold. Thus, we recommend that the Project rely upon the SCAQMD efficiency threshold of 3.0 MT CO₂e/year for the year 2035, which was calculated based on a 40% reduction from the 2020 GHG efficient target.³⁸ By failing to compare the Project's estimated GHG emissions to the SCAQMD GHG threshold, the Addendum leaves a gap in its quantitative GHG analysis.

(4) Failure to Identify a Potentially Significant GHG Impact

The Addendum's incorrect and unsubstantiated air model indicates a potentially significant GHG impact, when applying the relevant SCAQMD efficiency threshold of 3.0 MT CO₂e/year for the year 2035.³⁹ Specifically, the Addendum estimates that the Project would generate net annual GHG emissions of 1,493 MT CO₂e/year (p. 3.5-2, Table 3.5-1). Furthermore, as described above, we estimate that the Project's service population would be 430 people. Dividing the Project's GHG emissions, as estimated by the Addendum, by a service population value of 430 people, we find that the Project would emit approximately 3.47 MT CO₂e/SP/year (see table below).⁴⁰

Addendum Service Population Efficiency	
Project Phase	Proposed Project (MT CO₂e/year)
Total	1493.00
Service Population	430
Service Population Efficiency	3.47
Threshold	3.0
Exceed?	Yes

When we compare the Project's per service population GHG emissions to the SCAQMD 2035 efficiency target of 3.0 MT CO₂e/SP/year, we find that the Project would result in a significant GHG impact not previously identified or addressed by the Addendum. According to CEQA Guidelines § 15064.4(b), if there is substantial evidence that the possible effects of a particular project are still cumulatively considerable notwithstanding compliance with the adopted regulations or requirements, a full CEQA analysis must be prepared for the project. Therefore, a Project-specific EIR should be prepared and recirculated for the Project, and mitigation should be implemented where necessary, per CEQA Guidelines.

(5) Incorrect Reliance on the City's CAP

As previously mentioned, the Addendum relies upon the Project's consistency with the City's CAP in order to conclude that the Project would result in a less than significant GHG impact (p. 3.5-3 - 3.5-3).

³⁸ "Minutes for the GHG CEQA Significance Threshold Stakeholder Working Group #15." SCAQMD, September 2010, available at: [http://www.aqmd.gov/docs/default-source/ceqa/handbook/greenhouse-gases-\(ghg\)-ceqa-significance-thresholds/year-2008-2009/ghg-meeting-15/ghg-meeting-15-minutes.pdf](http://www.aqmd.gov/docs/default-source/ceqa/handbook/greenhouse-gases-(ghg)-ceqa-significance-thresholds/year-2008-2009/ghg-meeting-15/ghg-meeting-15-minutes.pdf), p. 2.

³⁹ "Minutes for the GHG CEQA Significance Threshold Stakeholder Working Group #15." SCAQMD, September 2010, available at: [http://www.aqmd.gov/docs/default-source/ceqa/handbook/greenhouse-gases-\(ghg\)-ceqa-significance-thresholds/year-2008-2009/ghg-meeting-15/ghg-meeting-15-minutes.pdf](http://www.aqmd.gov/docs/default-source/ceqa/handbook/greenhouse-gases-(ghg)-ceqa-significance-thresholds/year-2008-2009/ghg-meeting-15/ghg-meeting-15-minutes.pdf), p. 2.

⁴⁰ Calculated: (1,493 MT CO₂e/year) / (430 service population) = (3.47 MT CO₂e/SP/year).

However, review of the City’s CAP demonstrates that the CAP is designed to help the City achieve it’s 2020 GHG reduction goal and needs to be updated in order to meet the City’s 2035 GHG reduction goal.⁴¹ Specifically, the CAP states:

“The measures in this CAP are projected to accomplish the goal of a 15% reduction in community-wide emissions by 2020, and to nearly reach the 30% reduction by 2035 goal, as shown in Figure 3.1. It is anticipated that new policy and technology options for reducing emissions may become available before 2035; the CAP will need to be updated and additional measures may need to be added to meet the 2035 goal. The CAP measures affecting municipal operations are projected to accomplish both the 30% reduction by 2020 goal and the 40% reduction by 2035 goal” (emphasis added).⁴²

As such, the City of Santa Ana’s CAP is only applicable to projects that will be fully operational by 2020, as the CAP should be updated to meet the City’s 2035 GHG reduction goal. Given that it is already October 2020 and the Project has yet to be approved, we know that the Project will not become operational by 2020. Thus, the City’s CAP is inapplicable to the proposed Project, and the Addendum’s reliance upon the City’s CAP is incorrect. As a result, the Addendum’s less than significant impact conclusion regarding the City’s CAP should not be relied upon.

(6) Failure to Demonstrate Consistency with CARB’s 2017 Scoping Plan

As discussed above, the Addendum relies upon the Project’s consistency with the CARB’s 2017 *Scoping Plan* in order to conclude that the Project would result in a less than significant GHG impact (p. 3.5-3 - 3.5-4). However, review of CARB’s 2017 *Scoping Plan* reveals that the proposed Project is inconsistent with numerous measures, including but not limited to the analysis below:

CARB 2017 Scoping Plan ⁴³	
Measures – Construction	
Require construction vehicles to operate with the highest tier engines commercially available	Here, the Addendum states that “[a]pplicable construction mitigation measures include all diesel fuel construction equipment classified U.S. Environmental Protection Agency (U.S. EPA) Tier II or better” (p. 3.5-1). However, Tier 4 <i>Final</i> engines are the highest tier commercially available. As such, the Project fails to require construction vehicles to operate with the highest tier engines commercially available, as the measure requires. Furthermore, the Addendum fails to evaluate the

⁴¹ “Santa Ana Climate Action Plan.” ICLEI-USA, December 2015, available at: https://www.santa-ana.org/sites/default/files/Documents/climate_action_plan.pdf, p. 26.

⁴² “Santa Ana Climate Action Plan.” ICLEI-USA, December 2015, available at: https://www.santa-ana.org/sites/default/files/Documents/climate_action_plan.pdf, p. 26.

⁴³ California Air Resources Board (“CARB”) (Jan. 2017) 2017 Scoping Plan, Appendix B-Local Action, available at: https://ww3.arb.ca.gov/cc/scopingplan/2030sp_appb_localaction_final.pdf, p. 8-10.

	feasibility of implementing this measure. As such, we are unable to verify that it will actually be implemented, monitored, and enforced on the Project site. As such, the proposed Project is not consistent with this measure and the Addendum lacks substantial evidence to support its consistency determination.
Divert and recycle construction and demolition waste, and use locally-sourced building materials with a high recycled material content to the greatest extent feasible	Here, while the Addendum briefly discusses Mitigation Measure 4.13-6 which incorporates the “reuse and recycling of construction and demolition waste,” the Addendum and associated documents fail to demonstrate that the Project will implement, monitor, and enforce this measure on the Project site (p. 3.5-1). Furthermore, the Addendum also fails to mention or discuss the feasibility of using locally-sourced building materials with a high recycled material content, as the measure indicates. As such, the proposed Project is not consistent with this measure and the Addendum lacks substantial evidence to support its consistency determination.
Minimize tree removal, and mitigate indirect GHG emissions increases that occur due to vegetation removal, loss of sequestration, and soil disturbance	Here, the Addendum and associated documents fail to mention or minimize tree removal whatsoever. Furthermore, the Addendum and associated documents fail to mitigate the indirect GHG emissions increases that occur due to vegetation removal, loss of sequestration, and soil disturbance, as the measure discusses. As such, the proposed Project is not consistent with this measure and the Addendum lacks substantial evidence to support its consistency determination.
Increase use of electric and renewable fuel powered construction equipment and require renewable diesel fuel where commercially available	Here, while the Addendum mentions using electric construction equipment, the Addendum fails to mention or require renewable diesel fuel where commercially available, as the measure states (p. 3.4-2). As such, the proposed Project is not consistent with this measure and the Addendum lacks substantial evidence to support its consistency determination.
Require diesel equipment fleets to be lower emitting than any current emission standard	Here, the Addendum fails to evaluate the feasibility of or require diesel equipment fleets to be lower emitting, as the measure discusses. As such, the proposed Project is not consistent with this measure and the Addendum lacks substantial evidence to support its consistency determination.
Measures – Operation	

Require on-site EV charging capabilities for parking spaces serving the project to meet jurisdiction-wide EV proliferation goals.	Here, the GHG Assessment states that “[t]he Project includes energy efficient features in compliance with the 2019 Title 24 Energy Efficiency Standards such as energy efficient appliances and electric vehicle charging stations” (Appendix D, pp. 225). However, the GHG Assessment states this only to demonstrate consistency with the City’s CAP, and does not include EV charging spaces in the Project description or design features. Furthermore, the Addendum fails to demonstrate that the Project will actually implement, monitor, and enforce this measure at the Project site. As such, the proposed Project is not consistent with this measure and the Addendum lacks substantial evidence to support its consistency determination.
Allow for new construction to install fewer on-site parking spaces than required by local municipal building code, if appropriate	Here, the Addendum and associated documents fail to mention or allow the Project to install fewer on-site parking spaces than required by local municipal building code. As such, the proposed Project is not consistent with this measure and the Addendum lacks substantial evidence to support its consistency determination.
Dedicate on-site parking for shared vehicles	Here, the Addendum and associated documents fail to mention or require on-site parking for shared vehicles. As such, the proposed Project is not consistent with this measure and the Addendum lacks substantial evidence to support its consistency determination.
Require on-site renewable energy generation	Here, the Addendum and associated documents fail to mention or require on-site renewable energy generation. As such, the proposed Project is not consistent with this measure and the Addendum lacks substantial evidence to support its consistency determination.
Require cool roofs and “cool parking” that promotes cool surface treatment for new parking facilities as well as existing surface lots undergoing resurfacing	Here, while the Mitigation Monitoring and Reporting Program, provided as Appendix K to the Addendum, states that the Project will exceed current Cool Roof Coatings performance standards, the Addendum and associated documents fail to mention or require “cool parking,” as the measure states (Appendix K, pp. 1,734). Furthermore, the Addendum and associated documents fail to mention or require that existing surface lots undergo resurfacing. As such, the proposed Project is not consistent with this measure and the Addendum lacks substantial evidence to support its consistency determination.

Require organic collection in new developments	Here, the Addendum and associated documents fail to mention or require organic collection in new developments whatsoever. As such, the proposed Project is not consistent with this measure and the Addendum lacks substantial evidence to support its consistency determination.
Achieve Zero Net Energy performance building standards prior to dates required by the Energy Code	Here, the Addendum and associated documents fail to demonstrate that the Project would achieve Zero Net Energy performance building standards prior to dates required by the Energy Code, as the measure describes. As such, the proposed Project is not consistent with this measure and the Addendum lacks substantial evidence to support its consistency determination.
Require preferential parking spaces for park and ride to incentivize carpooling, vanpooling, commuter bus, electric vehicles, and rail service use	Here, the Addendum and associated documents fail to mention or require preferential parking spaces for park and ride to incentivize carpooling, vanpooling, commuter bus, electric vehicles, and rail service use, as the measure states. As such, the proposed Project is not consistent with this measure and the Addendum lacks substantial evidence to support its consistency determination.
Require a transportation management plan for specific plans which establishes a numeric target for non-SOV travel and overall VMT	Here, the Addendum and associated documents fail to mention or require a transportation management plan for specific plans which establishes a numeric target for non-SOV travel and overall VMT, as the measure indicates. As such, the proposed Project is not consistent with this measure and the Addendum lacks substantial evidence to support its consistency determination.
Develop a rideshare program targeting commuters to major employment centers	Here, the Addendum and associated documents fail to mention or require the development of a rideshare program targeting commuters to major employment centers. As such, the proposed Project is not consistent with this measure and the Addendum lacks substantial evidence to support its consistency determination.
Require the design of bus stops/shelters/express lanes in new developments to promote the usage of mass-transit	Here, while Addendum states that “[t]he location of the project site is in close proximity to several bus stops,” the Addendum and associated documents fail to mention or require the <u>design</u> of bus stops/shelters/express lanes in new developments to promote the usage of mass-transit (p. 3.4-6). As such, the proposed Project is not consistent with this measure and the Addendum lacks substantial evidence to support its consistency determination.

Require gas outlets in residential backyards for use with outdoor cooking appliances such as gas barbeques if natural gas service is available	Here, the Addendum and associated documents fail to mention or require gas outlets in residential backyards for use with outdoor cooking appliances such as gas barbeques if natural gas service is available. As such, the proposed Project is not consistent with this measure and the Addendum lacks substantial evidence to support its consistency determination.
Require the installation of electrical outlets on the exterior walls of both the front and back of residences to promote the use of electric landscape maintenance equipment	Here, the Addendum and associated documents fail to mention or require electrical outlets on the exterior walls of both the front and back of residences to promote the use of electric landscape maintenance equipment. As such, the proposed Project is not consistent with this measure and the Addendum lacks substantial evidence to support its consistency determination.
Require the design of the electric outlets and/or wiring in new residential unit garages to promote electric vehicle usage	Here, the Addendum and associated documents fail to mention or require the design of the electric outlets and/or wiring in new residential unit garages to promote electric vehicle usage. As such, the proposed Project is not consistent with this measure and the Addendum lacks substantial evidence to support its consistency determination.
Require the installation of energy conserving appliances such as on-demand tank-less water heaters and whole-house fans	Here, the Addendum and associated documents fail to mention or require the installation of energy conserving appliances such as on-demand tank-less water heaters and whole-house fans, as the measure indicates. As such, the proposed Project is not consistent with this measure and the Addendum lacks substantial evidence to support its consistency determination.
Require each residential and commercial building equip buildings with energy efficient AC units and heating systems with programmable thermostats/timers	Here, the Addendum states that “[n]atural gas and electricity would be used for heating and cooling systems” (p. 3.4-3). As such, the Addendum fails to mention or specify that such heating and cooling systems are <u>energy efficient</u> , as the measure specifies. Furthermore, the Addendum and associated documents fail to mention or require that each residential or commercial building have AC units and heating systems with programmable thermostats/timers. As such, the proposed Project is not consistent with this measure and the Addendum lacks substantial evidence to support its consistency determination.
Require large-scale residential developments and commercial buildings to report energy use, and set specific targets for per-capita energy use	Here, the Addendum and associated documents fail to mention or require that the Project report energy use, or set specific targets for per-capita

	energy use. As such, the proposed Project is not consistent with this measure and the Addendum lacks substantial evidence to support its consistency determination.
Require the use of energy-efficient lighting for all street, parking, and area lighting	Here, the while the Addendum states that the “project would use utilize energy-efficient LED lighting,” the Addendum and associated documents fail to mention or specify that energy-efficient lighting would be require for <u>all street, parking, and area lighting</u> , as the measure states (p. 3.5-3). As such, the proposed Project is not consistent with this measure and the Addendum lacks substantial evidence to support its consistency determination.
Require the landscaping design for parking lots to utilize tree cover and compost/mulch	Here, the Addendum and associated documents fail to mention or require the landscaping design for parking lots to utilize tree cover and compost/mulch, as the measure indicates. As such, the proposed Project is not consistent with this measure and the Addendum lacks substantial evidence to support its consistency determination.
Incorporate water retention in the design of parking lots and landscaping, including using compost/mulch	Here, the Addendum and associated documents fail to mention or incorporate water retention in the design of parking lots and landscaping, including using compost/mulch. As such, the proposed Project is not consistent with this measure and the Addendum lacks substantial evidence to support its consistency determination.
Require the development project to propose an off-site mitigation project which should generate carbon credits equivalent to the anticipated GHG emission reductions. This would be implemented via an approved protocol for carbon credits from California Air Pollution Control Officers Association (CAPCOA), the California Air Resources Board, or other similar entities determined acceptable by the local air district	Here, the Addendum and associated documents fail to mention or require the Project to propose an off-site mitigation project to generate carbon credits, as required. As such, the proposed Project is not consistent with this measure and the Addendum lacks substantial evidence to support its consistency determination.
Require the project to purchase carbon credits from the CAPCOA GHG Reduction Exchange Program, American Carbon Registry (ACR), Climate Action Reserve (CAR) or other similar carbon credit registry determined to be acceptable by the local air district	Here, the Addendum and associated documents fail to require the Project to purchase carbon credits whatsoever. In addition, the Addendum and associated documents fail to mention the CAPCOA GHG Reduction Exchange Program, ACR, CAR, or other similar carbon credit registries. As such, the proposed Project is not consistent with this measure and the Addendum lacks substantial evidence to support its consistency determination.

Consider generating or purchasing local and California-only carbon credits as the preferred mechanism to implement its offsite mitigation measure for GHG emissions and that will facilitate the State's efforts in achieving the GHG emission reduction goal	Here, the Addendum and associated documents fail to consider or indicate that the proposed Project will generate or purchase any local or California-only carbon credits. As such, the proposed Project is not consistent with this measure and the Addendum lacks substantial evidence to support its consistency determination.
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As the above table indicates, the Addendum and associated documents fail to provide sufficient information and analysis to determine Project consistency with various measures under CARB's 2017 *Scoping Plan*. Thus, we cannot verify that the Project would be consistent with CARB's 2017 *Scoping Plan*, as stated in the Addendum. As a result, we recommend that an EIR be prepared to include further information and analysis demonstrating the Project's consistency.

(7) Updated Analysis Indicates a Potentially Significant GHG Impact

Applicable thresholds and site-specific modeling demonstrate that the proposed Project would result in a significant GHG impact not previously mitigated by the Addendum. The CalEEMod output files, modeled by SWAPE with Project-specific information, disclose the Project's mitigated emissions, which include approximately 996 MT CO₂e of total construction emissions (sum of 2021, 2022, and 2023) and approximately 1,993 MT CO₂e/year of net annual operational emissions (sum of area, energy, mobile, waste, and water-related emissions), for a net annual GHG emissions of 2,026 MT CO₂e/year. Furthermore, as described above, we estimate that the Project's total service population would be approximately 430 people. When dividing the Project's GHG emissions (amortized construction + operational) by a service population value of 430 people, we find that the Project would emit approximately 4.71 MT CO₂e/SP/year.⁴⁴ As demonstrated in the table below, the service population efficiency value of 4.71 MT CO₂e/SP/year exceeds the SCAQMD 2035 efficiency target of 3.0 MT CO₂e/SP/year (see table below).⁴⁵

SWAPE Service Population Efficiency	
Project Phase	Proposed Project (MT CO ₂ e/year)
Construction (amortized over 30 years)	33.21
Area	37.63
Energy	599.40
Mobile	1,214.56
Waste	66.11
Water	75.23

⁴⁴ Calculated: (2,026.12 MT CO₂e/year) / (430 service population) = (4.71 MT CO₂e/SP/year).

⁴⁵ "Minutes for the GHG CEQA Significance Threshold Stakeholder Working Group #15." SCAQMD, September 2010, available at: [http://www.aqmd.gov/docs/default-source/ceqa/handbook/greenhouse-gases-\(ghg\)-ceqa-significance-thresholds/year-2008-2009/ghg-meeting-15/ghg-meeting-15-minutes.pdf](http://www.aqmd.gov/docs/default-source/ceqa/handbook/greenhouse-gases-(ghg)-ceqa-significance-thresholds/year-2008-2009/ghg-meeting-15/ghg-meeting-15-minutes.pdf), p. 2.

Total	2,026.12
Service Population	430
Service Population Efficiency	4.71
Threshold	3
<i>Exceed?</i>	<i>Yes</i>

As the above table indicates, the Project's service population efficiency exceeds the SCAQMD 2035 efficiency target of 3.0 MT CO₂e/SP/year, respectively, thus resulting in a significant impact not previously mitigated in the Addendum. As previously stated, according to CEQA Guidelines § 15064.4(b), if there is substantial evidence that the possible effects of a particular project are still cumulatively considerable notwithstanding compliance with the adopted regulations or requirements, a full CEQA analysis must be prepared for the project. Therefore, a Project-specific EIR should be prepared and recirculated for the Project, and mitigation should be implemented where necessary, per CEQA Guidelines.

SWAPE has received limited discovery regarding this project. Additional information may become available in the future; thus, we retain the right to revise or amend this report when additional information becomes available. Our professional services have been performed using that degree of care and skill ordinarily exercised, under similar circumstances, by reputable environmental consultants practicing in this or similar localities at the time of service. No other warranty, expressed or implied, is made as to the scope of work, work methodologies and protocols, site conditions, analytical testing results, and findings presented. This report reflects efforts which were limited to information that was reasonably accessible at the time of the work, and may contain informational gaps, inconsistencies, or otherwise be incomplete due to the unavailability or uncertainty of information obtained or provided by third parties.

Sincerely,



Matt Hagemann, P.G., C.Hg.



Paul E. Rosenfeld, Ph.D.

4th and Mortimer Mixed-Use Project - Orange County, Annual

4th and Mortimer Mixed-Use Project
Orange County, Annual

1.0 Project Characteristics

1.1 Land Usage

Land Uses	Size	Metric	Lot Acreage	Floor Surface Area	Population
Enclosed Parking with Elevator	422.00	Space	1.23	168,800.00	0
High Turnover (Sit Down Restaurant)	3.85	1000sqft	0.09	3,850.00	0
Apartments Mid Rise	169.00	Dwelling Unit	1.23	176,178.00	483
Strip Mall	7.51	1000sqft	0.17	7,510.00	0

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Other Project Characteristics

Urbanization	Urban	Wind Speed (m/s)	2.2	Precipitation Freq (Days)	30
Climate Zone	8			Operational Year	2023

Utility Company Southern California Edison

CO2 Intensity (lb/MW/hr)	513	CH4 Intensity (lb/MW/hr)	0.029	N2O Intensity (lb/MW/hr)	0.006
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1.3 User Entered Comments & Non-Default Data

4th and Mortimer Mixed-Use Project - Orange County, Annual

Project Characteristics - See SWAPE comment about CO2 intensity factor.

Land Use - See SWAPE comment about residential land use size. 93,117-SF residential space (Block A) + 8,075-SF leasing/amenity space (Block A) + 74,986-SF residential space (Block B) = 176,178-SF total residential land use space modeled as "Apartments Mid Rise."

Construction Phase - See SWAPE comment about construction schedule.

Grading -

Vehicle Trips - See SWAPE comment about trip rates.

Woodstoves - Consistent with Addendum's model.

Construction Off-road Equipment Mitigation - See SWAPE comment about construction-related mitigation measures.

Mobile Land Use Mitigation - See SWAPE comment about operational mitigation measures.

Area Mitigation - Consistent with Addendum's model.

Water Mitigation - See SWAPE comment about operational mitigation measures.

Waste Mitigation - See SWAPE comment about operational mitigation measures.

4th and Mortimer Mixed-Use Project - Orange County, Annual

Table Name	Column Name	Default Value	New Value
tbiConstructionPhase	NumDays	10.00	17.00
tbiConstructionPhase	NumDays	220.00	372.00
tbiConstructionPhase	NumDays	20.00	34.00
tbiConstructionPhase	NumDays	6.00	10.00
tbiConstructionPhase	NumDays	10.00	17.00
tbiConstructionPhase	NumDays	3.00	5.00
tbiFireplaces	NumberWood	8.45	0.00
tbiLandUse	LandUseSquareFeet	169,000.00	176,178.00
tbiLandUse	LotAcreage	3.80	1.23
tbiLandUse	LotAcreage	4.45	1.23
tbiProjectCharacteristics	CO2IntensityFactor	702.44	513
tbiVehicleTrips	ST_TR	6.39	4.73
tbiVehicleTrips	ST_TR	158.37	65.25
tbiVehicleTrips	ST_TR	42.04	16.11
tbiVehicleTrips	SU_TR	5.86	4.73
tbiVehicleTrips	SU_TR	131.84	65.25
tbiVehicleTrips	SU_TR	20.43	16.11
tbiVehicleTrips	WD_TR	6.65	4.73
tbiVehicleTrips	WD_TR	127.15	65.25
tbiVehicleTrips	WD_TR	44.32	16.11
tbiWoodstoves	NumberCatalytic	8.45	0.00
tbiWoodstoves	NumberNoncatalytic	8.45	0.00

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2.0 Emissions Summary

4th and Mortimer Mixed-Use Project - Orange County, Annual

2.1 Overall Construction**Unmitigated Construction**

Year	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
tons/yr											MT/yr					
2021	0.1045	0.8935	0.7497	1.7100e-003	0.0880	0.0405	0.1285	0.0310	0.0381	0.0691	0.0000	151.2375	151.2375	0.0244	0.0000	151.8484
2022	0.3460	2.5175	2.7126	7.2600e-003	0.3204	0.0942	0.4146	0.0860	0.0902	0.1762	0.0000	645.2843	645.2843	0.0685	0.0000	646.9956
2023	0.7275	0.7155	0.8662	2.2200e-003	0.0950	0.0274	0.1224	0.0255	0.0261	0.0516	0.0000	196.7344	196.7344	0.0230	0.0000	197.3082
Maximum	0.7275	2.5175	2.7126	7.2600e-003	0.3204	0.0942	0.4146	0.0860	0.0902	0.1762	0.0000	645.2843	645.2843	0.0685	0.0000	646.9956

75C-110**Mitigated Construction**

Year	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
tons/yr											MT/yr					
2021	0.1045	0.8935	0.7497	1.7100e-003	0.0880	0.0405	0.1285	0.0310	0.0381	0.0691	0.0000	151.2374	151.2374	0.0244	0.0000	151.8483
2022	0.3460	2.5175	2.7126	7.2600e-003	0.3204	0.0942	0.4146	0.0860	0.0902	0.1762	0.0000	645.2840	645.2840	0.0685	0.0000	646.9953
2023	0.7275	0.7155	0.8662	2.2200e-003	0.0950	0.0274	0.1224	0.0255	0.0261	0.0516	0.0000	196.7343	196.7343	0.0230	0.0000	197.3081
Maximum	0.7275	2.5175	2.7126	7.2600e-003	0.3204	0.0942	0.4146	0.0860	0.0902	0.1762	0.0000	645.2840	645.2840	0.0685	0.0000	646.9953

4th and Mortimer Mixed-Use Project - Orange County, Annual

	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio-CO2	Total CO2	CH4	N2O	CO2e
Percent Reduction	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Quarter	Start Date	End Date	Maximum Unmitigated ROG + NOX (tons/quarter)					Maximum Mitigated ROG + NOX (tons/quarter)				
1	9-1-2021	11-30-2021	0.7218					0.7218				
2	12-1-2021	2-28-2022	0.7295					0.7295				
3	3-1-2022	5-31-2022	0.7210					0.7210				
4	6-1-2022	8-31-2022	0.7196					0.7196				
5	9-1-2022	11-30-2022	0.7145					0.7145				
6	12-1-2022	2-28-2023	0.6599					0.6599				
7	3-1-2023	5-31-2023	1.0554					1.0554				
		Highest	1.0554					1.0554				

75C-111

4th and Mortimer Mixed-Use Project - Orange County, Annual

2.2 Overall Operational**Unmitigated Operational**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
	tons/yr										MT/yr					
Area	0.8078	0.0499	1.7614	2.8000e-004		0.0121	0.0121		0.0121	0.0121	0.0000	37.3534	37.3534	3.4300e-003	6.3000e-004	37.6275
Energy	0.0159	0.1387	0.0796	8.7000e-004		0.0110	0.0110		0.0110	0.0110	0.0000	596.3117	596.3117	0.0278	8.0200e-003	599.3968
Mobile	0.2587	0.9624	3.3577	0.0132	1.2533	9.1600e-003	1.2624	0.3356	8.5000e-003	0.3441	0.0000	1,213.3326	1,213.3326	0.0490	0.0000	1,214.5567
Waste						0.0000	0.0000		0.0000	0.0000	26.6832	0.0000	26.6832	1.5769	0.0000	66.1064
Water						0.0000	0.0000		0.0000	0.0000	4.0405	57.6087	61.6492	0.4183	0.0105	75.2265
Total	1.0824	1.1510	5.1987	0.0143	1.2533	0.0322	1.2855	0.3356	0.0316	0.3672	30.7237	1,904.6063	1,935.3300	2.0754	0.0191	1,992.9139

75C-112

4th and Mortimer Mixed-Use Project - Orange County, Annual

2.2 Overall Operational**Mitigated Operational**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
	tons/yr										MT/yr					
Area	0.8078	0.0499	1.7614	2.8000e-004		0.0121	0.0121		0.0121	0.0121	0.0000	37.3534	37.3534	3.4300e-003	6.3000e-004	37.6275
Energy	0.0159	0.1387	0.0796	8.7000e-004		0.0110	0.0110		0.0110	0.0110	0.0000	596.3117	596.3117	0.0278	8.0200e-003	599.3968
Mobile	0.2587	0.9624	3.3577	0.0132	1.2533	9.1600e-003	1.2624	0.3356	8.5000e-003	0.3441	0.0000	1,213.3326	1,213.3326	0.0490	0.0000	1,214.5567
Waste						0.0000	0.0000		0.0000	0.0000	26.6832	0.0000	26.6832	1.5769	0.0000	66.1064
Water						0.0000	0.0000		0.0000	0.0000	4.0405	57.6087	61.6492	0.4183	0.0105	75.2265
Total	1.0824	1.1510	5.1987	0.0143	1.2533	0.0322	1.2855	0.3356	0.0316	0.3672	30.7237	1,904.6063	1,935.3300	2.0754	0.0191	1,992.9139

75C-113

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
Percent Reduction	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

3.0 Construction Detail**Construction Phase**

4th and Mortimer Mixed-Use Project - Orange County, Annual

Phase Number	Phase Name	Phase Type	Start Date	End Date	Num Days Week	Num Days	Phase Description
1	Demolition	Demolition	9/1/2021	10/18/2021	5	34	
2	Site Preparation	Site Preparation	10/19/2021	10/25/2021	5	5	
3	Grading	Grading	10/26/2021	11/8/2021	5	10	
4	Building Construction	Building Construction	11/9/2021	4/12/2023	5	372	
5	Paving	Paving	4/13/2023	5/5/2023	5	17	
6	Architectural Coating	Architectural Coating	5/6/2023	5/30/2023	5	17	

Acres of Grading (Site Preparation Phase): 7.5

Acres of Grading (Grading Phase): 5

Acres of Paving: 1.23

Residential Indoor: 356,760; Residential Outdoor: 118,920; Non-Residential Indoor: 17,040; Non-Residential Outdoor: 5,680; Striped Parking Area: 10,128 (Architectural Coating – sqft)

OffRoad Equipment

4th and Mortimer Mixed-Use Project - Orange County, Annual

Phase Name	Offroad Equipment Type	Amount	Usage Hours	Horse Power	Load Factor
Demolition	Concrete/Industrial Saws	1	8.00	81	0.73
Demolition	Rubber Tired Dozers	1	8.00	247	0.40
Demolition	Tractors/Loaders/Backhoes	3	8.00	97	0.37
Site Preparation	Graders	1	8.00	187	0.41
Site Preparation	Scrapers	1	8.00	367	0.48
Site Preparation	Tractors/Loaders/Backhoes	1	7.00	97	0.37
Grading	Graders	1	8.00	187	0.41
Grading	Rubber Tired Dozers	1	8.00	247	0.40
Grading	Tractors/Loaders/Backhoes	2	7.00	97	0.37
Building Construction	Cranes	1	8.00	231	0.29
Building Construction	Forklifts	2	7.00	89	0.20
Building Construction	Generator Sets	1	8.00	84	0.74
Building Construction	Tractors/Loaders/Backhoes	1	6.00	97	0.37
Building Construction	Welders	3	8.00	46	0.45
Paving	Cement and Mortar Mixers	1	8.00	9	0.56
Paving	Pavers	1	8.00	130	0.42
Paving	Paving Equipment	1	8.00	132	0.36
Paving	Rollers	2	8.00	80	0.38
Paving	Tractors/Loaders/Backhoes	1	8.00	97	0.37
Architectural Coating	Air Compressors	1	6.00	78	0.48

Trips and VMT

4th and Mortimer Mixed-Use Project - Orange County, Annual

Phase Name	Offroad Equipment Count	Worker Trip Number	Vendor Trip Number	Hauling Trip Number	Worker Trip Length	Vendor Trip Length	Hauling Trip Length	Worker Vehicle Class	Vendor Vehicle Class	Hauling Vehicle Class
Demolition	5	13.00	0.00	0.00	14.70	6.90	20.00	LD_Mix	HDT_Mix	HHDT
Site Preparation	3	8.00	0.00	0.00	14.70	6.90	20.00	LD_Mix	HDT_Mix	HHDT
Grading	4	10.00	0.00	0.00	14.70	6.90	20.00	LD_Mix	HDT_Mix	HHDT
Building Construction	8	197.00	48.00	0.00	14.70	6.90	20.00	LD_Mix	HDT_Mix	HHDT
Paving	6	15.00	0.00	0.00	14.70	6.90	20.00	LD_Mix	HDT_Mix	HHDT
Architectural Coating	1	39.00	0.00	0.00	14.70	6.90	20.00	LD_Mix	HDT_Mix	HHDT

3.1 Mitigation Measures Construction

750116
 50116
 Demolition - 2021

Unmitigated Construction On-Site

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
tons/yr																
Off-Road	0.0339	0.3348	0.2464	4.1000e-004		0.0177	0.0177	0.0165	0.0165	0.0165	0.0000	35.8213	35.8213	9.1600e-003	0.0000	36.0503
Total	0.0339	0.3348	0.2464	4.1000e-004		0.0177	0.0177	0.0165	0.0165	0.0165	0.0000	35.8213	35.8213	9.1600e-003	0.0000	36.0503

4th and Mortimer Mixed-Use Project - Orange County, Annual

3.2 Demolition - 2021**Unmitigated Construction Off-Site**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
	tons/yr										MT/yr					
Hauling	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Vendor	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Worker	8.1000e-004	5.4000e-004	6.3500e-003	2.0000e-005	2.4300e-003	2.0000e-005	2.4400e-003	6.4000e-004	1.0000e-005	6.6000e-004	0.0000	2.0270	2.0270	4.0000e-005	0.0000	2.0281
Total	8.1000e-004	5.4000e-004	6.3500e-003	2.0000e-005	2.4300e-003	2.0000e-005	2.4400e-003	6.4000e-004	1.0000e-005	6.6000e-004	0.0000	2.0270	2.0270	4.0000e-005	0.0000	2.0281

75C-117**Mitigated Construction On-Site**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
	tons/yr										MT/yr					
Off-Road	0.0339	0.3348	0.2464	4.1000e-004		0.0177	0.0177		0.0165	0.0165	0.0000	35.8212	35.8212	9.1600e-003	0.0000	36.0502
Total	0.0339	0.3348	0.2464	4.1000e-004		0.0177	0.0177		0.0165	0.0165	0.0000	35.8212	35.8212	9.1600e-003	0.0000	36.0502

4th and Mortimer Mixed-Use Project - Orange County, Annual

3.2 Demolition - 2021**Mitigated Construction Off-Site**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
	tons/yr										MT/yr					
Hauling	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Vendor	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Worker	8.1000e-004	5.4000e-004	6.3500e-003	2.0000e-005	2.4300e-003	2.0000e-005	2.4400e-003	6.4000e-004	1.0000e-005	6.6000e-004	0.0000	2.0270	2.0270	4.0000e-005	0.0000	2.0281
Total	8.1000e-004	5.4000e-004	6.3500e-003	2.0000e-005	2.4300e-003	2.0000e-005	2.4400e-003	6.4000e-004	1.0000e-005	6.6000e-004	0.0000	2.0270	2.0270	4.0000e-005	0.0000	2.0281

3.3 Site Preparation - 2021**Unmitigated Construction On-Site**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
	tons/yr										MT/yr					
Fugitive Dust					3.9800e-003	0.0000	3.9800e-003	4.3000e-004	0.0000	4.3000e-004	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Off-Road	3.8700e-003	0.0457	0.0269	6.0000e-005		1.7500e-003	1.7500e-003	1.6100e-003	1.6100e-003	1.6100e-003	0.0000	5.3816	5.3816	1.7400e-003	0.0000	5.4251
Total	3.8700e-003	0.0457	0.0269	6.0000e-005	3.9800e-003	1.7500e-003	5.7300e-003	4.3000e-004	1.6100e-003	2.0400e-003	0.0000	5.3816	5.3816	1.7400e-003	0.0000	5.4251

4th and Mortimer Mixed-Use Project - Orange County, Annual

3.3 Site Preparation - 2021**Unmitigated Construction Off-Site**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
	tons/yr										MT/yr					
Hauling	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Vendor	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Worker	7.0000e-005	5.0000e-005	5.7000e-004	0.0000	2.2000e-004	0.0000	2.2000e-004	6.0000e-005	0.0000	6.0000e-005	0.0000	0.1834	0.1834	0.0000	0.0000	0.1835
Total	7.0000e-005	5.0000e-005	5.7000e-004	0.0000	2.2000e-004	0.0000	2.2000e-004	6.0000e-005	0.0000	6.0000e-005	0.0000	0.1834	0.1834	0.0000	0.0000	0.1835

Mitigated Construction On-Site

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
	tons/yr										MT/yr					
Fugitive Dust					3.9800e-003	0.0000	3.9800e-003	4.3000e-004	0.0000	4.3000e-004	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Off-Road	3.8700e-003	0.0457	0.0269	6.0000e-005		1.7500e-003	1.7500e-003	1.6100e-003	1.6100e-003	1.6100e-003	0.0000	5.3816	5.3816	1.7400e-003	0.0000	5.4251
Total	3.8700e-003	0.0457	0.0269	6.0000e-005	3.9800e-003	1.7500e-003	5.7300e-003	4.3000e-004	1.6100e-003	2.0400e-003	0.0000	5.3816	5.3816	1.7400e-003	0.0000	5.4251

4th and Mortimer Mixed-Use Project - Orange County, Annual

3.3 Site Preparation - 2021**Mitigated Construction Off-Site**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
	tons/yr										MT/yr					
Hauling	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Vendor	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Worker	7.0000e-005	5.0000e-005	5.7000e-004	0.0000	2.2000e-004	0.0000	2.2000e-004	6.0000e-005	0.0000	6.0000e-005	0.0000	0.1834	0.1834	0.0000	0.0000	0.1835
Total	7.0000e-005	5.0000e-005	5.7000e-004	0.0000	2.2000e-004	0.0000	2.2000e-004	6.0000e-005	0.0000	6.0000e-005	0.0000	0.1834	0.1834	0.0000	0.0000	0.1835

Grading - 2021**Unmitigated Construction On-Site**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
	tons/yr										MT/yr					
Fugitive Dust					0.0328	0.0000	0.0328	0.0168	0.0000	0.0168	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Off-Road	9.1400e-003	0.1011	0.0488	1.0000e-004		4.5800e-003	4.5800e-003		4.2100e-003	4.2100e-003	0.0000	9.0519	9.0519	2.9300e-003	0.0000	9.1251
Total	9.1400e-003	0.1011	0.0488	1.0000e-004	0.0328	4.5800e-003	0.0373	0.0168	4.2100e-003	0.0211	0.0000	9.0519	9.0519	2.9300e-003	0.0000	9.1251

4th and Mortimer Mixed-Use Project - Orange County, Annual

3.4 Grading - 2021**Unmitigated Construction Off-Site**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
	tons/yr										MT/yr					
Hauling	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Vendor	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Worker	1.8000e-004	1.2000e-004	1.4400e-003	1.0000e-005	5.5000e-004	0.0000	5.5000e-004	1.5000e-004	0.0000	1.5000e-004	0.0000	0.4586	0.4586	1.0000e-005	0.0000	0.4588
Total	1.8000e-004	1.2000e-004	1.4400e-003	1.0000e-005	5.5000e-004	0.0000	5.5000e-004	1.5000e-004	0.0000	1.5000e-004	0.0000	0.4586	0.4586	1.0000e-005	0.0000	0.4588

75C-121**Mitigated Construction On-Site**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
	tons/yr										MT/yr					
Fugitive Dust					0.0328	0.0000	0.0328	0.0168	0.0000	0.0168	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Off-Road	9.1400e-003	0.1011	0.0488	1.0000e-004		4.5800e-003	4.5800e-003		4.2100e-003	4.2100e-003	0.0000	9.0519	9.0519	2.9300e-003	0.0000	9.1251
Total	9.1400e-003	0.1011	0.0488	1.0000e-004	0.0328	4.5800e-003	0.0373	0.0168	4.2100e-003	0.0211	0.0000	9.0519	9.0519	2.9300e-003	0.0000	9.1251

4th and Mortimer Mixed-Use Project - Orange County, Annual

3.4 Grading - 2021**Mitigated Construction Off-Site**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
	tons/yr										MT/yr					
Hauling	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Vendor	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Worker	1.8000e-004	1.2000e-004	1.4400e-003	1.0000e-005	5.5000e-004	0.0000	5.5000e-004	1.5000e-004	0.0000	1.5000e-004	0.0000	0.4586	0.4586	1.0000e-005	0.0000	0.4588
Total	1.8000e-004	1.2000e-004	1.4400e-003	1.0000e-005	5.5000e-004	0.0000	5.5000e-004	1.5000e-004	0.0000	1.5000e-004	0.0000	0.4586	0.4586	1.0000e-005	0.0000	0.4588

4.2 Building Construction - 2021**Unmitigated Construction On-Site**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
	tons/yr										MT/yr					
Off-Road	0.0399	0.3125	0.2840	4.9000e-004		0.0159	0.0159		0.0153	0.0153	0.0000	40.4915	40.4915	7.9700e-003	0.0000	40.6907
Total	0.0399	0.3125	0.2840	4.9000e-004		0.0159	0.0159		0.0153	0.0153	0.0000	40.4915	40.4915	7.9700e-003	0.0000	40.6907

4th and Mortimer Mixed-Use Project - Orange County, Annual

3.5 Building Construction - 2021**Unmitigated Construction Off-Site**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
	tons/yr										MT/yr					
Hauling	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Vendor	2.5500e-003	0.0892	0.0250	2.3000e-004	5.8900e-003	1.9000e-004	6.0800e-003	1.7000e-003	1.8000e-004	1.8800e-003	0.0000	22.5883	22.5883	1.8300e-003	0.0000	22.6341
Worker	0.0141	9.4600e-003	0.1104	3.9000e-004	0.0422	2.8000e-004	0.0425	0.0112	2.6000e-004	0.0115	0.0000	35.2338	35.2338	7.5000e-004	0.0000	35.2527
Total	0.0166	0.0986	0.1354	6.2000e-004	0.0481	4.7000e-004	0.0485	0.0129	4.4000e-004	0.0133	0.0000	57.8222	57.8222	2.5800e-003	0.0000	57.8868

75C-123**Mitigated Construction On-Site**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
	tons/yr										MT/yr					
Off-Road	0.0399	0.3125	0.2840	4.9000e-004		0.0159	0.0159		0.0153	0.0153	0.0000	40.4915	40.4915	7.9700e-003	0.0000	40.6906
Total	0.0399	0.3125	0.2840	4.9000e-004		0.0159	0.0159		0.0153	0.0153	0.0000	40.4915	40.4915	7.9700e-003	0.0000	40.6906

4th and Mortimer Mixed-Use Project - Orange County, Annual

3.5 Building Construction - 2021**Mitigated Construction Off-Site**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
	tons/yr										MT/yr					
Hauling	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Vendor	2.5500e-003	0.0892	0.0250	2.3000e-004	5.8900e-003	1.9000e-004	6.0800e-003	1.7000e-003	1.8000e-004	1.8800e-003	0.0000	22.5883	22.5883	1.8300e-003	0.0000	22.6341
Worker	0.0141	9.4600e-003	0.1104	3.9000e-004	0.0422	2.8000e-004	0.0425	0.0112	2.6000e-004	0.0115	0.0000	35.2338	35.2338	7.5000e-004	0.0000	35.2527
Total	0.0166	0.0986	0.1354	6.2000e-004	0.0481	4.7000e-004	0.0485	0.0129	4.4000e-004	0.0133	0.0000	57.8222	57.8222	2.5800e-003	0.0000	57.8868

3.5 Building Construction - 2022**Unmitigated Construction On-Site**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
	tons/yr										MT/yr					
Off-Road	0.2412	1.8985	1.8659	3.2500e-003		0.0913	0.0913		0.0875	0.0875	0.0000	269.9841	269.9841	0.0521	0.0000	271.2863
Total	0.2412	1.8985	1.8659	3.2500e-003		0.0913	0.0913		0.0875	0.0875	0.0000	269.9841	269.9841	0.0521	0.0000	271.2863

4th and Mortimer Mixed-Use Project - Orange County, Annual

3.5 Building Construction - 2022**Unmitigated Construction Off-Site**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
	tons/yr										MT/yr					
Hauling	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Vendor	0.0160	0.5619	0.1608	1.5100e-003	0.0393	1.0700e-003	0.0404	0.0113	1.0300e-003	0.0124	0.0000	149.1041	149.1041	0.0118	0.0000	149.3991
Worker	0.0888	0.0572	0.6859	2.5000e-003	0.2812	1.8200e-003	0.2830	0.0747	1.6700e-003	0.0763	0.0000	226.1961	226.1961	4.5700e-003	0.0000	226.3102
Total	0.1048	0.6190	0.8466	4.0100e-003	0.3204	2.8900e-003	0.3233	0.0860	2.7000e-003	0.0887	0.0000	375.3002	375.3002	0.0164	0.0000	375.7093

75C-125**Mitigated Construction On-Site**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
	tons/yr										MT/yr					
Off-Road	0.2412	1.8985	1.8659	3.2500e-003		0.0913	0.0913		0.0875	0.0875	0.0000	269.9838	269.9838	0.0521	0.0000	271.2860
Total	0.2412	1.8985	1.8659	3.2500e-003		0.0913	0.0913		0.0875	0.0875	0.0000	269.9838	269.9838	0.0521	0.0000	271.2860

4th and Mortimer Mixed-Use Project - Orange County, Annual

3.5 Building Construction - 2022**Mitigated Construction Off-Site**

	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
Category	tons/yr										MT/yr					
Hauling	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Vendor	0.0160	0.5619	0.1608	1.5100e-003	0.0393	1.0700e-003	0.0404	0.0113	1.0300e-003	0.0124	0.0000	149.1041	149.1041	0.0118	0.0000	149.3991
Worker	0.0888	0.0572	0.6859	2.5000e-003	0.2812	1.8200e-003	0.2830	0.0747	1.6700e-003	0.0763	0.0000	226.1961	226.1961	4.5700e-003	0.0000	226.3102
Total	0.1048	0.6190	0.8466	4.0100e-003	0.3204	2.8900e-003	0.3233	0.0860	2.7000e-003	0.0887	0.0000	375.3002	375.3002	0.0164	0.0000	375.7093

3.5 Building Construction - 2023**Unmitigated Construction On-Site**

	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
Category	tons/yr										MT/yr					
Off-Road	0.0626	0.4973	0.5188	9.1000e-004		0.0224	0.0224		0.0215	0.0215	0.0000	75.8113	75.8113	0.0143	0.0000	76.1697
Total	0.0626	0.4973	0.5188	9.1000e-004		0.0224	0.0224		0.0215	0.0215	0.0000	75.8113	75.8113	0.0143	0.0000	76.1697

4th and Mortimer Mixed-Use Project - Orange County, Annual

3.5 Building Construction - 2023**Unmitigated Construction Off-Site**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
	tons/yr										MT/yr					
Hauling	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Vendor	3.4200e-003	0.1185	0.0419	4.1000e-004	0.0110	1.4000e-004	0.0112	3.1800e-003	1.4000e-004	3.3200e-003	0.0000	40.5991	40.5991	3.0700e-003	0.0000	40.6759
Worker	0.0236	0.0146	0.1794	6.7000e-004	0.0789	5.0000e-004	0.0794	0.0210	4.6000e-004	0.0214	0.0000	61.0696	61.0696	1.1600e-003	0.0000	61.0986
Total	0.0271	0.1330	0.2212	1.0800e-003	0.0900	6.4000e-004	0.0906	0.0241	6.0000e-004	0.0247	0.0000	101.6687	101.6687	4.2300e-003	0.0000	101.7745

75C-127**Mitigated Construction On-Site**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
	tons/yr										MT/yr					
Off-Road	0.0626	0.4973	0.5188	9.1000e-004		0.0224	0.0224		0.0215	0.0215	0.0000	75.8112	75.8112	0.0143	0.0000	76.1696
Total	0.0626	0.4973	0.5188	9.1000e-004		0.0224	0.0224		0.0215	0.0215	0.0000	75.8112	75.8112	0.0143	0.0000	76.1696

4th and Mortimer Mixed-Use Project - Orange County, Annual

3.5 Building Construction - 2023**Mitigated Construction Off-Site**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
	tons/yr										MT/yr					
Hauling	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Vendor	3.4200e-003	0.1185	0.0419	4.1000e-004	0.0110	1.4000e-004	0.0112	3.1800e-003	1.4000e-004	3.3200e-003	0.0000	40.5991	40.5991	3.0700e-003	0.0000	40.6759
Worker	0.0236	0.0146	0.1794	6.7000e-004	0.0789	5.0000e-004	0.0794	0.0210	4.6000e-004	0.0214	0.0000	61.0696	61.0696	1.1600e-003	0.0000	61.0986
Total	0.0271	0.1330	0.2212	1.0800e-003	0.0900	6.4000e-004	0.0906	0.0241	6.0000e-004	0.0247	0.0000	101.6687	101.6687	4.2300e-003	0.0000	101.7745

3.5 Paving - 2023**Unmitigated Construction On-Site**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
	tons/yr										MT/yr					
Off-Road	7.4800e-003	0.0732	0.0993	1.5000e-004		3.6900e-003	3.6900e-003		3.4000e-003	3.4000e-003	0.0000	13.1859	13.1859	4.1800e-003	0.0000	13.2904
Paving	0.0000					0.0000	0.0000		0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Total	7.4800e-003	0.0732	0.0993	1.5000e-004		3.6900e-003	3.6900e-003		3.4000e-003	3.4000e-003	0.0000	13.1859	13.1859	4.1800e-003	0.0000	13.2904

4th and Mortimer Mixed-Use Project - Orange County, Annual

3.6 Paving - 2023**Unmitigated Construction Off-Site**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
	tons/yr										MT/yr					
Hauling	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Vendor	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Worker	4.2000e-004	2.6000e-004	3.1800e-003	1.0000e-005	1.4000e-003	1.0000e-005	1.4100e-003	3.7000e-004	1.0000e-005	3.8000e-004	0.0000	1.0829	1.0829	2.0000e-005	0.0000	1.0834
Total	4.2000e-004	2.6000e-004	3.1800e-003	1.0000e-005	1.4000e-003	1.0000e-005	1.4100e-003	3.7000e-004	1.0000e-005	3.8000e-004	0.0000	1.0829	1.0829	2.0000e-005	0.0000	1.0834

75C-129**Mitigated Construction On-Site**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
	tons/yr										MT/yr					
Off-Road	7.4800e-003	0.0732	0.0993	1.5000e-004		3.6900e-003	3.6900e-003		3.4000e-003	3.4000e-003	0.0000	13.1859	13.1859	4.1800e-003	0.0000	13.2903
Paving	0.0000					0.0000	0.0000		0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Total	7.4800e-003	0.0732	0.0993	1.5000e-004		3.6900e-003	3.6900e-003		3.4000e-003	3.4000e-003	0.0000	13.1859	13.1859	4.1800e-003	0.0000	13.2903

4th and Mortimer Mixed-Use Project - Orange County, Annual

3.6 Paving - 2023**Mitigated Construction Off-Site**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
	tons/yr										MT/yr					
Hauling	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Vendor	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Worker	4.2000e-004	2.6000e-004	3.1800e-003	1.0000e-005	1.4000e-003	1.0000e-005	1.4100e-003	3.7000e-004	1.0000e-005	3.8000e-004	0.0000	1.0829	1.0829	2.0000e-005	0.0000	1.0834
Total	4.2000e-004	2.6000e-004	3.1800e-003	1.0000e-005	1.4000e-003	1.0000e-005	1.4100e-003	3.7000e-004	1.0000e-005	3.8000e-004	0.0000	1.0829	1.0829	2.0000e-005	0.0000	1.0834

3.6 Architectural Coating - 2023**Unmitigated Construction On-Site**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
	tons/yr										MT/yr					
Archit. Coating	0.6273					0.0000	0.0000		0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Off-Road	1.6300e-003	0.0111	0.0154	3.0000e-005		6.0000e-004	6.0000e-004		6.0000e-004	6.0000e-004	0.0000	2.1703	2.1703	1.3000e-004	0.0000	2.1735
Total	0.6290	0.0111	0.0154	3.0000e-005		6.0000e-004	6.0000e-004		6.0000e-004	6.0000e-004	0.0000	2.1703	2.1703	1.3000e-004	0.0000	2.1735

4th and Mortimer Mixed-Use Project - Orange County, Annual

3.7 Architectural Coating - 2023**Unmitigated Construction Off-Site**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
	tons/yr										MT/yr					
Hauling	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Vendor	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Worker	1.0900e-003	6.7000e-004	8.2700e-003	3.0000e-005	3.6400e-003	2.0000e-005	3.6600e-003	9.7000e-004	2.0000e-005	9.9000e-004	0.0000	2.8155	2.8155	5.0000e-005	0.0000	2.8168
Total	1.0900e-003	6.7000e-004	8.2700e-003	3.0000e-005	3.6400e-003	2.0000e-005	3.6600e-003	9.7000e-004	2.0000e-005	9.9000e-004	0.0000	2.8155	2.8155	5.0000e-005	0.0000	2.8168

75C-131**Mitigated Construction On-Site**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
	tons/yr										MT/yr					
Archit. Coating	0.6273					0.0000	0.0000		0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Off-Road	1.6300e-003	0.0111	0.0154	3.0000e-005		6.0000e-004	6.0000e-004		6.0000e-004	6.0000e-004	0.0000	2.1703	2.1703	1.3000e-004	0.0000	2.1735
Total	0.6290	0.0111	0.0154	3.0000e-005		6.0000e-004	6.0000e-004		6.0000e-004	6.0000e-004	0.0000	2.1703	2.1703	1.3000e-004	0.0000	2.1735

4th and Mortimer Mixed-Use Project - Orange County, Annual

3.7 Architectural Coating - 2023**Mitigated Construction Off-Site**

	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
Category	tons/yr										MT/yr					
Hauling	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Vendor	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Worker	1.0900e-003	6.7000e-004	8.2700e-003	3.0000e-005	3.6400e-003	2.0000e-005	3.6600e-003	9.7000e-004	2.0000e-005	9.9000e-004	0.0000	2.8155	2.8155	5.0000e-005	0.0000	2.8168
Total	1.0900e-003	6.7000e-004	8.2700e-003	3.0000e-005	3.6400e-003	2.0000e-005	3.6600e-003	9.7000e-004	2.0000e-005	9.9000e-004	0.0000	2.8155	2.8155	5.0000e-005	0.0000	2.8168

4.0 Operational Detail - Mobile**4.1 Mitigation Measures Mobile**

4th and Mortimer Mixed-Use Project - Orange County, Annual

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
	tons/yr										MT/yr					
Mitigated	0.2587	0.9624	3.3577	0.0132	1.2533	9.1600e-003	1.2624	0.3356	8.5000e-003	0.3441	0.0000	1,213.332	1,213.332	0.0490	0.0000	1,214.556
Unmitigated	0.2587	0.9624	3.3577	0.0132	1.2533	9.1600e-003	1.2624	0.3356	8.5000e-003	0.3441	0.0000	1,213.332	1,213.332	0.0490	0.0000	1,214.556

750-133 Trip Summary Information

Land Use	Average Daily Trip Rate			Unmitigated		Mitigated	
	Weekday	Saturday	Sunday	Annual VMT	Annual VMT	Annual VMT	Annual VMT
Apartment Mid Rise	799.37	799.37	799.37	2,731,569	2,731,569	2,731,569	2,731,569
Enclosed Parking with Elevator	0.00	0.00	0.00				
High Turnover (Sit Down Restaurant)	251.21	251.21	251.21	342,360	342,360	342,360	342,360
Strip Mall	120.99	120.99	120.99	230,188	230,188	230,188	230,188
Total	1,171.57	1,171.57	1,171.57	3,304,116	3,304,116	3,304,116	3,304,116

4.3 Trip Type Information

Land Use	Miles			Trip %			Trip Purpose %		
	H-W or C-W	H-S or C-C	H-O or C-NW	H-W or C-W	H-S or C-C	H-O or C-NW	Primary	Diverted	Pass-by
Apartment Mid Rise	14.70	5.90	8.70	40.20	19.20	40.60	86	11	3
Enclosed Parking with Elevator	16.60	8.40	6.90	0.00	0.00	0.00	0	0	0
High Turnover (Sit Down Restaurant)	16.60	8.40	6.90	8.50	72.50	19.00	37	20	43
Strip Mall	16.60	8.40	6.90	16.60	64.40	19.00	45	40	15

4.4 Fleet Mix

4th and Mortimer Mixed-Use Project - Orange County, Annual

Land Use	LDA	LDT1	LDT2	MDV	LHD1	LHD2	MHD	HHD	OBUS	UBUS	MCY	SBUS	MH
Apartments Mid Rise	0.563406	0.043070	0.209298	0.109958	0.015015	0.005784	0.026182	0.017546	0.001775	0.001524	0.004941	0.000598	0.000904
Enclosed Parking with Elevator	0.563406	0.043070	0.209298	0.109958	0.015015	0.005784	0.026182	0.017546	0.001775	0.001524	0.004941	0.000598	0.000904
High Turnover (Sit Down Restaurant)	0.563406	0.043070	0.209298	0.109958	0.015015	0.005784	0.026182	0.017546	0.001775	0.001524	0.004941	0.000598	0.000904
Strip Mall	0.563406	0.043070	0.209298	0.109958	0.015015	0.005784	0.026182	0.017546	0.001775	0.001524	0.004941	0.000598	0.000904

5.0 Energy Detail

Historical Energy Use: N

5.1 Mitigation Measures Energy

750-134

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
Electricity Mitigated						0.0000	0.0000		0.0000	0.0000	0.0000	439.1743	439.1743	0.0248	5.1400e-003	441.3257
Electricity Unmitigated						0.0000	0.0000		0.0000	0.0000	0.0000	439.1743	439.1743	0.0248	5.1400e-003	441.3257
Natural Gas Mitigated	0.0159	0.1387	0.0796	8.7000e-004		0.0110	0.0110		0.0110	0.0110	0.0000	157.1374	157.1374	3.0100e-003	2.8800e-003	158.0711
Natural Gas Unmitigated	0.0159	0.1387	0.0796	8.7000e-004		0.0110	0.0110		0.0110	0.0110	0.0000	157.1374	157.1374	3.0100e-003	2.8800e-003	158.0711

4th and Mortimer Mixed-Use Project - Orange County, Annual

5.2 Energy by Land Use - Natural Gas**Unmitigated**

	Natural Gas Use	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e	
Land Use	kBTU/yr	tons/yr										MT/yr						
Apartment's Mid Rise	1.93124e+006	0.0104	0.0890	0.0379	5.7000e-004		7.1900e-003	7.1900e-003		7.1900e-003	7.1900e-003	0.0000	103.0584	103.0584	1.9800e-003	1.8900e-003	103.6708	
Enclosed Parking with Elevator	0	0.0000	0.0000	0.0000	0.0000		0.0000	0.0000		0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	
High Turnover (Sit Down Restaurant)	998382	5.3800e-003	0.0489	0.0411	2.9000e-004		3.7200e-003	3.7200e-003		3.7200e-003	3.7200e-003	0.0000	53.2775	53.2775	1.0200e-003	9.8000e-004	53.5941	
Strip Mall	15020	8.0000e-005	7.4000e-004	6.2000e-004	0.0000		6.0000e-005	6.0000e-005		6.0000e-005	6.0000e-005	0.0000	0.8015	0.8015	2.0000e-005	1.0000e-005	0.8063	
Total		0.0159	0.1387	0.0796	8.6000e-004		0.0110	0.0110		0.0110	0.0110	0.0000	157.1374	157.1374	3.0200e-003	2.8800e-003	158.0711	

45C-135

4th and Mortimer Mixed-Use Project - Orange County, Annual

5.2 Energy by Land Use - Natural Gas**Mitigated**

	Natural Gas Use	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
Land Use	kBTU/yr	tons/yr										MT/yr					
Apartment's Mid Rise	1.93124e+006	0.0104	0.0890	0.0379	5.7000e-004		7.1900e-003	7.1900e-003		7.1900e-003	7.1900e-003	0.0000	103.0584	103.0584	1.9800e-003	1.8900e-003	103.6708
Enclosed Parking with Elevator	0	0.0000	0.0000	0.0000	0.0000		0.0000	0.0000		0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Hotel Turnover (Sit Down Restaurant)	998382	5.3800e-003	0.0489	0.0411	2.9000e-004		3.7200e-003	3.7200e-003		3.7200e-003	3.7200e-003	0.0000	53.2775	53.2775	1.0200e-003	9.8000e-004	53.5941
Strip Mall	15020	8.0000e-005	7.4000e-004	6.2000e-004	0.0000		6.0000e-005	6.0000e-005		6.0000e-005	6.0000e-005	0.0000	0.8015	0.8015	2.0000e-005	1.0000e-005	0.8063
Total		0.0159	0.1387	0.0796	8.6000e-004		0.0110	0.0110		0.0110	0.0110	0.0000	157.1374	157.1374	3.0200e-003	2.8800e-003	158.0711

45C-136

4th and Mortimer Mixed-Use Project - Orange County, Annual

5.3 Energy by Land Use - Electricity**Unmitigated**

Land Use	Electricity Use kWh/yr	Total CO2	CH4	N2O	CO2e
MT/yr					
Apartments Mid Rise	671826	156.3291	8.8400e-003	1.8300e-003	157.0949
Enclosed Parking with Elevator	989168	230.1724	0.0130	2.6900e-003	231.2999
High Turnover (Sit Down Restaurant)	140448	32.6813	1.8500e-003	3.8000e-004	32.8413
Trip Mall	85914.4	19.9917	1.1300e-003	2.3000e-004	20.0896
Total		439.1743	0.0248	5.1300e-003	441.3257

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4th and Mortimer Mixed-Use Project - Orange County, Annual

5.3 Energy by Land Use - Electricity**Mitigated**

Land Use	Electricity Use kWh/yr	Total CO2	CH4	N2O	CO2e
MT/yr					
Apartments Mid Rise	671826	156.3291	8.8400e-003	1.8300e-003	157.0949
Enclosed Parking with Elevator	989168	230.1724	0.0130	2.6900e-003	231.2999
Hand Turnover (Sit Down Restaurant)	140448	32.6613	1.8500e-003	3.8000e-004	32.8413
Trip Mall	85914.4	19.9917	1.1300e-003	2.3000e-004	20.0896
Total		439.1743	0.0248	5.1300e-003	441.3257

6.0 Area Detail**6.1 Mitigation Measures Area**

Use only Natural Gas Hearths

4th and Mortimer Mixed-Use Project - Orange County, Annual

	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
Category	tons/yr										MT/yr					
Mitigated	0.8078	0.0499	1.7614	2.8000e-004		0.0121	0.0121		0.0121	0.0121	0.0000	37.3534	37.3534	3.4300e-003	6.3000e-004	37.6275
Unmitigated	0.8078	0.0499	1.7614	2.8000e-004		0.0121	0.0121		0.0121	0.0121	0.0000	37.3534	37.3534	3.4300e-003	6.3000e-004	37.6275

7502 - Unmitigated

	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
SubCategory	tons/yr										MT/yr					
Architectural Coating	0.0627					0.0000	0.0000		0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Consumer Products	0.6886					0.0000	0.0000		0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Hearth	3.4900e-003	0.0298	0.0127	1.9000e-004		2.4100e-003	2.4100e-003		2.4100e-003	2.4100e-003	0.0000	34.4957	34.4957	6.6000e-004	6.3000e-004	34.7007
Landscaping	0.0530	0.0201	1.7488	9.0000e-005		9.6700e-003	9.6700e-003		9.6700e-003	9.6700e-003	0.0000	2.8577	2.8577	2.7700e-003	0.0000	2.9268
Total	0.8078	0.0499	1.7614	2.8000e-004		0.0121	0.0121		0.0121	0.0121	0.0000	37.3534	37.3534	3.4300e-003	6.3000e-004	37.6275

4th and Mortimer Mixed-Use Project - Orange County, Annual

6.2 Area by SubCategory

Mitigated

	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
SubCategory	tons/yr										MT/yr					
Architectural Coating	0.0627					0.0000	0.0000		0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Consumer Products	0.6886					0.0000	0.0000		0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
Hearth	3.4900e-003	0.0298	0.0127	1.9000e-004		2.4100e-003	2.4100e-003		2.4100e-003	2.4100e-003	0.0000	34.4957	34.4957	6.6000e-004	6.3000e-004	34.7007
Landscaping	0.0530	0.0201	1.7488	9.0000e-005		9.6700e-003	9.6700e-003		9.6700e-003	9.6700e-003	0.0000	2.8577	2.8577	2.7700e-003	0.0000	2.9268
Total	0.8078	0.0499	1.7614	2.8000e-004		0.0121	0.0121		0.0121	0.0121	0.0000	37.3534	37.3534	3.4300e-003	6.3000e-004	37.6275

7.0 Water Detail

7.1 Mitigation Measures Water

4th and Mortimer Mixed-Use Project - Orange County, Annual

	Total CO2	CH4	N2O	CO2e
Category	MT/yr			
Mitigated	61.6492	0.4183	0.0105	75.2265
Unmitigated	61.6492	0.4183	0.0105	75.2265

75.2265 Water by Land Use
0.4183 CH₄
0.0105 N₂O
75.2265 CO₂e

	Indoor/Outdoor Use	Total CO2	CH4	N2O	CO2e
Land Use	Mgal	MT/yr			
Apartments Mid Rise	11.011 / 6.94174	54.8015	0.3617	9.0700e-003	66.5473
Enclosed Parking with Elevator	0 / 0	0.0000	0.0000	0.0000	0.0000
High Turnover (Sit Down Restaurant)	1.1686 / 0.0745918	4.1043	0.0383	9.4000e-004	5.3425
Strip Mall	0.556285 / 0.340949	2.7434	0.0183	4.6000e-004	3.3367
Total		61.6492	0.4183	0.0105	75.2265

4th and Mortimer Mixed-Use Project - Orange County, Annual

7.2 Water by Land Use**Mitigated**

	Indoor/Outdoor Use	Total CO ₂	CH ₄	N ₂ O	CO ₂ e
Land Use	Mgal	MT/yr			
Apartments Mid Rise	11.011 / 6.94174	54.8015	0.3617	9.0700e-003	66.5473
Enclosed Parking with Elevator	0 / 0	0.0000	0.0000	0.0000	0.0000
Strip Mall	0.556285 / 0.340949	4.1043	0.0383	9.4000e-004	5.3425
Strip Mall	0.556285 / 0.340949	2.7434	0.0183	4.6000e-004	3.3367
Total		61.6492	0.4183	0.0105	75.2265

8.0 Waste Detail**8.1 Mitigation Measures Waste**

4th and Mortimer Mixed-Use Project - Orange County, Annual

Category/Year

	Total CO2	CH4	N2O	CO2e
	MT/yr			
Mitigated	26.6832	1.5769	0.0000	66.1064
Unmitigated	26.6832	1.5769	0.0000	66.1064

750
62 Waste by Land Use
43 ~~Unmitigated~~

	Waste Disposed	Total CO2	CH4	N2O	CO2e
Land Use	tons	MT/yr			
Apartments Mid Rise	77.74	15.7805	0.9326	0.0000	39.0956
Enclosed Parking with Elevator	0	0.0000	0.0000	0.0000	0.0000
High Turnover (Sit Down Restaurant)	45.82	9.3011	0.5497	0.0000	23.0430
Strip Mall	7.89	1.6016	0.0947	0.0000	3.9679
Total		26.6832	1.5769	0.0000	66.1064

4th and Mortimer Mixed-Use Project - Orange County, Annual

8.2 Waste by Land Use**Mitigated**

Land Use	Waste Disposed tons	Total CO2	CH4	N2O	CO2e
MT/yr					
Apartment Mid Rise	77.74	15.7805	0.9326	0.0000	39.0956
Enclosed Parking with Elevator	0	0.0000	0.0000	0.0000	0.0000
Office Turnover (Sit Down Restaurant)	45.82	9.3011	0.5497	0.0000	23.0430
Strip Mall	7.89	1.6016	0.0947	0.0000	3.9679
Total		26.6832	1.5769	0.0000	66.1064

75C-144**9.0 Operational Offroad**

Equipment Type	Number	Hours/Day	Days/Year	Horse Power	Load Factor	Fuel Type
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10.0 Stationary Equipment**Fire Pumps and Emergency Generators**

Equipment Type	Number	Hours/Day	Hours/Year	Horse Power	Load Factor	Fuel Type
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Boilers

Equipment Type	Number	Heat Input/Day	Heat Input/Year	Boiler Rating	Fuel Type
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User Defined Equipment

4th and Mortimer Mixed-Use Project - Orange County, Annual

Equipment Type	Number
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11.0 Vegetation

4th and Mortimer Mixed-Use Project - Orange County, Summer

4th and Mortimer Mixed-Use Project
Orange County, Summer

1.0 Project Characteristics

1.1 Land Usage

Land Uses	Size	Metric	Lot Acreage	Floor Surface Area	Population
Enclosed Parking with Elevator	422.00	Space	1.23	168,800.00	0
High Turnover (Sit Down Restaurant)	3.85	1000sqft	0.09	3,850.00	0
Apartments Mid Rise	169.00	Dwelling Unit	1.23	176,178.00	483
Strip Mall	7.51	1000sqft	0.17	7,510.00	0

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Other Project Characteristics

Urbanization	Urban	Wind Speed (m/s)	2.2	Precipitation Freq (Days)	30
Climate Zone	8			Operational Year	2023

Utility Company Southern California Edison

CO2 Intensity (lb/MW/hr)	513	CH4 Intensity (lb/MW/hr)	0.029	N2O Intensity (lb/MW/hr)	0.006
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1.3 User Entered Comments & Non-Default Data

4th and Mortimer Mixed-Use Project - Orange County, Summer

Project Characteristics - See SWAPE comment about CO2 intensity factor.

Land Use - See SWAPE comment about residential land use size. 93,117-SF residential space (Block A) + 8,075-SF leasing/amenity space (Block A) + 74,986-SF residential space (Block B) = 176,178-SF total residential land use space modeled as "Apartments Mid Rise."

Construction Phase - See SWAPE comment about construction schedule.

Grading -

Vehicle Trips - See SWAPE comment about trip rates.

Woodstoves - Consistent with Addendum's model.

Construction Off-road Equipment Mitigation - See SWAPE comment about construction-related mitigation measures.

Mobile Land Use Mitigation - See SWAPE comment about operational mitigation measures.

Area Mitigation - Consistent with Addendum's model.

Water Mitigation - See SWAPE comment about operational mitigation measures.

Waste Mitigation - See SWAPE comment about operational mitigation measures.

4th and Mortimer Mixed-Use Project - Orange County, Summer

Table Name	Column Name	Default Value	New Value
tblConstructionPhase	NumDays	10.00	17.00
tblConstructionPhase	NumDays	220.00	372.00
tblConstructionPhase	NumDays	20.00	34.00
tblConstructionPhase	NumDays	6.00	10.00
tblConstructionPhase	NumDays	10.00	17.00
tblConstructionPhase	NumDays	3.00	5.00
tblFireplaces	NumberWood	8.45	0.00
tblLandUse	LandUseSquareFeet	169,000.00	176,178.00
tblLandUse	LotAcreage	3.80	1.23
tblLandUse	LotAcreage	4.45	1.23
tblProjectCharacteristics	CO2IntensityFactor	702.44	513
tblVehicleTrips	ST_TR	6.39	4.73
tblVehicleTrips	ST_TR	158.37	65.25
tblVehicleTrips	ST_TR	42.04	16.11
tblVehicleTrips	SU_TR	5.86	4.73
tblVehicleTrips	SU_TR	131.84	65.25
tblVehicleTrips	SU_TR	20.43	16.11
tblVehicleTrips	WD_TR	6.65	4.73
tblVehicleTrips	WD_TR	127.15	65.25
tblVehicleTrips	WD_TR	44.32	16.11
tblWoodstoves	NumberCatalytic	8.45	0.00
tblWoodstoves	NumberNoncatalytic	8.45	0.00

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2.0 Emissions Summary

4th and Mortimer Mixed-Use Project - Orange County, Summer

2.1 Overall Construction (Maximum Daily Emission)**Unmitigated Construction**

Year	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
lb/day																
2021	2.8842	20.9608	21.7677	0.0577	6.6641	1.0418	7.5806	3.3971	0.9723	4.2403	0.0000	5,651.962 ₀	5,651.962 ₀	0.7692	0.0000	5,666.859 ₀
2022	2.6479	19.2540	21.1170	0.0567	2.5087	0.7243	3.2330	0.6722	0.6938	1.3660	0.0000	5,562.820 ₂	5,562.820 ₂	0.5800	0.0000	5,577.319 ₈
2023	74.1199	17.1933	20.5231	0.0556	2.5087	0.6312	3.1399	0.6722	0.6044	1.2766	0.0000	5,447.514 ₂	5,447.514 ₂	0.5607	0.0000	5,461.530 ₆
Maximum	74.1199	20.9608	21.7677	0.0577	6.6641	1.0418	7.5806	3.3971	0.9723	4.2403	0.0000	5,651.962 ₀	5,651.962 ₀	0.7692	0.0000	5,666.859 ₀

75C-149**Mitigated Construction**

Year	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
lb/day																
2021	2.8842	20.9608	21.7677	0.0577	6.6641	1.0418	7.5806	3.3971	0.9723	4.2403	0.0000	5,651.962 ₀	5,651.962 ₀	0.7692	0.0000	5,666.859 ₀
2022	2.6479	19.2540	21.1170	0.0567	2.5087	0.7243	3.2330	0.6722	0.6938	1.3660	0.0000	5,562.820 ₂	5,562.820 ₂	0.5800	0.0000	5,577.319 ₈
2023	74.1199	17.1933	20.5231	0.0556	2.5087	0.6312	3.1399	0.6722	0.6044	1.2766	0.0000	5,447.514 ₂	5,447.514 ₂	0.5607	0.0000	5,461.530 ₆
Maximum	74.1199	20.9608	21.7677	0.0577	6.6641	1.0418	7.5806	3.3971	0.9723	4.2403	0.0000	5,651.962 ₀	5,651.962 ₀	0.7692	0.0000	5,666.859 ₀

4th and Mortimer Mixed-Use Project - Orange County, Summer

	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio-CO2	Total CO2	CH4	N20	CO2e
Percent Reduction	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

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4th and Mortimer Mixed-Use Project - Orange County, Summer

2.2 Overall Operational**Unmitigated Operational**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
lb/day																
Area	4.8199	2.5441	15.0041	0.0160		0.2700	0.2700		0.2700	0.2700	0.0000	3,067.200 ₂	3,067.200 ₂	0.0827	0.0558	3,085.887 ₀
Energy	0.0870	0.7598	0.4361	4.7500e-003		0.0601	0.0601		0.0601	0.0601		949.1193	949.1193	0.0182	0.0174	954.7595
Mobile	1.4943	5.0753	18.9920	0.0747	7.0094	0.0503	7.0597	1.8744	0.0467	1.9211		7,593.568 ₇	7,593.568 ₇	0.2979		7,601.016 ₈
Total	6.4012	8.3792	34.4322	0.0954	7.0094	0.3804	7.3898	1.8744	0.3768	2.2512	0.0000	11,609.88₈₂	11,609.88₈₂	0.3988	0.0732	11,641.66₃₃

75C-151**Mitigated Operational**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
lb/day																
Area	4.8199	2.5441	15.0041	0.0160		0.2700	0.2700		0.2700	0.2700	0.0000	3,067.200 ₂	3,067.200 ₂	0.0827	0.0558	3,085.887 ₀
Energy	0.0870	0.7598	0.4361	4.7500e-003		0.0601	0.0601		0.0601	0.0601		949.1193	949.1193	0.0182	0.0174	954.7595
Mobile	1.4943	5.0753	18.9920	0.0747	7.0094	0.0503	7.0597	1.8744	0.0467	1.9211		7,593.568 ₇	7,593.568 ₇	0.2979		7,601.016 ₈
Total	6.4012	8.3792	34.4322	0.0954	7.0094	0.3804	7.3898	1.8744	0.3768	2.2512	0.0000	11,609.88₈₂	11,609.88₈₂	0.3988	0.0732	11,641.66₃₃

4th and Mortimer Mixed-Use Project - Orange County, Summer

	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio-CO2	Total CO2	CH4	N2O	CO2e
Percent Reduction	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

3.0 Construction Detail**Construction Phase**

Phase Number	Phase Name	Phase Type	Start Date	End Date	Num Days Week	Num Days	Phase Description
1	Demolition	Demolition	9/1/2021	10/18/2021	5	34	
2	Site Preparation	Site Preparation	10/19/2021	10/25/2021	5	5	
3	Grading	Grading	10/26/2021	11/8/2021	5	10	
4	Building Construction	Building Construction	11/9/2021	4/12/2023	5	372	
5	Paving	Paving	4/13/2023	5/5/2023	5	17	
6	Architectural Coating	Architectural Coating	5/6/2023	5/30/2023	5	17	

75C-152**Acres of Grading (Site Preparation Phase): 7.5****Acres of Grading (Grading Phase): 5****Acres of Paving: 1.23**

Residential Indoor: 356,760; Residential Outdoor: 118,920; Non-Residential Indoor: 17,040; Non-Residential Outdoor: 5,680; Striped Parking Area: 10,128 (Architectural Coating – sqft)

OffRoad Equipment

4th and Mortimer Mixed-Use Project - Orange County, Summer

Phase Name	Offroad Equipment Type	Amount	Usage Hours	Horse Power	Load Factor
Demolition	Concrete/Industrial Saws	1	8.00	81	0.73
Demolition	Rubber Tired Dozers	1	8.00	247	0.40
Demolition	Tractors/Loaders/Backhoes	3	8.00	97	0.37
Site Preparation	Graders	1	8.00	187	0.41
Site Preparation	Scrapers	1	8.00	367	0.48
Site Preparation	Tractors/Loaders/Backhoes	1	7.00	97	0.37
Grading	Graders	1	8.00	187	0.41
Grading	Rubber Tired Dozers	1	8.00	247	0.40
Grading	Tractors/Loaders/Backhoes	2	7.00	97	0.37
Building Construction	Cranes	1	8.00	231	0.29
Building Construction	Forklifts	2	7.00	89	0.20
Building Construction	Generator Sets	1	8.00	84	0.74
Building Construction	Tractors/Loaders/Backhoes	1	6.00	97	0.37
Building Construction	Welders	3	8.00	46	0.45
Paving	Cement and Mortar Mixers	1	8.00	9	0.56
Paving	Pavers	1	8.00	130	0.42
Paving	Paving Equipment	1	8.00	132	0.36
Paving	Rollers	2	8.00	80	0.38
Paving	Tractors/Loaders/Backhoes	1	8.00	97	0.37
Architectural Coating	Air Compressors	1	6.00	78	0.48

Trips and VMT

4th and Mortimer Mixed-Use Project - Orange County, Summer

Phase Name	Offroad Equipment Count	Worker Trip Number	Vendor Trip Number	Hauling Trip Number	Worker Trip Length	Vendor Trip Length	Hauling Trip Length	Worker Vehicle Class	Vendor Vehicle Class	Hauling Vehicle Class
Demolition	5	13.00	0.00	0.00	14.70	6.90	20.00	LD_Mix	HDT_Mix	HHDT
Site Preparation	3	8.00	0.00	0.00	14.70	6.90	20.00	LD_Mix	HDT_Mix	HHDT
Grading	4	10.00	0.00	0.00	14.70	6.90	20.00	LD_Mix	HDT_Mix	HHDT
Building Construction	8	197.00	48.00	0.00	14.70	6.90	20.00	LD_Mix	HDT_Mix	HHDT
Paving	6	15.00	0.00	0.00	14.70	6.90	20.00	LD_Mix	HDT_Mix	HHDT
Architectural Coating	1	39.00	0.00	0.00	14.70	6.90	20.00	LD_Mix	HDT_Mix	HHDT

3.1 Mitigation Measures Construction

750 Demolition - 2021

Unmitigated Construction On-Site

750 154

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
lb/day																
Off-Road	1.9930	19.6966	14.4925	0.0241		1.0409	1.0409		0.9715	0.9715		2,322.717	2,322.717	0.5940		2,337.565
												1	1			8
Total	1.9930	19.6966	14.4925	0.0241		1.0409	1.0409		0.9715	0.9715		2,322.717	2,322.717	0.5940		2,337.565
												1	1			8

4th and Mortimer Mixed-Use Project - Orange County, Summer

3.2 Demolition - 2021**Unmitigated Construction Off-Site**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
lb/day																
Hauling	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000		0.0000	0.0000	0.0000		0.0000
Vendor	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000		0.0000	0.0000	0.0000		0.0000
Worker	0.0469	0.0284	0.3949	1.3700e-003	0.1453	9.4000e-004	0.1463	0.0385	8.7000e-004	0.0394		136.7852	136.7852	2.9300e-003		136.8585
Total	0.0469	0.0284	0.3949	1.3700e-003	0.1453	9.4000e-004	0.1463	0.0385	8.7000e-004	0.0394		136.7852	136.7852	2.9300e-003		136.8585

75C-155**Mitigated Construction On-Site**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
lb/day																
Off-Road	1.9930	19.6966	14.4925	0.0241		1.0409	1.0409		0.9715	0.9715	0.0000	2,322.7171	2,322.7171	0.5940		2,337.5658
Total	1.9930	19.6966	14.4925	0.0241		1.0409	1.0409		0.9715	0.9715	0.0000	2,322.7171	2,322.7171	0.5940		2,337.5658

4th and Mortimer Mixed-Use Project - Orange County, Summer

3.2 Demolition - 2021**Mitigated Construction Off-Site**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
lb/day																
Hauling	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000		0.0000	0.0000	0.0000		0.0000
Vendor	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000		0.0000	0.0000	0.0000		0.0000
Worker	0.0469	0.0284	0.3949	1.3700e-003	0.1453	9.4000e-004	0.1463	0.0385	8.7000e-004	0.0394		136.7852	136.7852	2.9300e-003		136.8585
Total	0.0469	0.0284	0.3949	1.3700e-003	0.1453	9.4000e-004	0.1463	0.0385	8.7000e-004	0.0394		136.7852	136.7852	2.9300e-003		136.8585

3.3 Site Preparation - 2021**Unmitigated Construction On-Site**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
lb/day																
Fugitive Dust					1.5908	0.0000	1.5908	0.1718	0.0000	0.1718			0.0000			0.0000
Off-Road	1.5463	18.2862	10.7496	0.0245		0.7019	0.7019	0.6457	0.6457	0.6457		2,372.8832	2,372.8832	0.7674		2,392.0692
Total	1.5463	18.2862	10.7496	0.0245	1.5908	0.7019	2.2926	0.1718	0.6457	0.8175		2,372.8832	2,372.8832	0.7674		2,392.0692

4th and Mortimer Mixed-Use Project - Orange County, Summer

3.3 Site Preparation - 2021**Unmitigated Construction Off-Site**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
lb/day																
Hauling	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000		0.0000	0.0000	0.0000		0.0000
Vendor	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000		0.0000	0.0000	0.0000		0.0000
Worker	0.0289	0.0175	0.2430	8.4000e-004	0.0894	5.8000e-004	0.0900	0.0237	5.3000e-004	0.0243		84.1755	84.1755	1.8000e-003		84.2206
Total	0.0289	0.0175	0.2430	8.4000e-004	0.0894	5.8000e-004	0.0900	0.0237	5.3000e-004	0.0243		84.1755	84.1755	1.8000e-003		84.2206

75C-157**Mitigated Construction On-Site**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
lb/day																
Fugitive Dust					1.5908	0.0000	1.5908	0.1718	0.0000	0.1718			0.0000			0.0000
Off-Road	1.5463	18.2862	10.7496	0.0245		0.7019	0.7019	0.6457	0.6457	0.6457	0.0000	2,372.8832	2,372.8832	0.7674		2,392.0692
Total	1.5463	18.2862	10.7496	0.0245	1.5908	0.7019	2.2926	0.1718	0.6457	0.8175	0.0000	2,372.8832	2,372.8832	0.7674		2,392.0692

4th and Mortimer Mixed-Use Project - Orange County, Summer

3.3 Site Preparation - 2021**Mitigated Construction Off-Site**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
lb/day																
Hauling	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000		0.0000	0.0000	0.0000		0.0000
Vendor	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000		0.0000	0.0000	0.0000		0.0000
Worker	0.0289	0.0175	0.2430	8.4000e-004	0.0894	5.8000e-004	0.0900	0.0237	5.3000e-004	0.0243		84.1755	84.1755	1.8000e-003		84.2206
Total	0.0289	0.0175	0.2430	8.4000e-004	0.0894	5.8000e-004	0.0900	0.0237	5.3000e-004	0.0243		84.1755	84.1755	1.8000e-003		84.2206

3.3 Grading - 2021**Unmitigated Construction On-Site**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
lb/day																
Fugitive Dust					6.5523	0.0000	6.5523	3.3675	0.0000	3.3675			0.0000			0.0000
Off-Road	1.8271	20.2135	9.7604	0.0206		0.9158	0.9158	0.8425	0.8425	0.8425		1,995.6114	1,995.6114	0.6454		2,011.7470
Total	1.8271	20.2135	9.7604	0.0206	6.5523	0.9158	7.4681	3.3675	0.8425	4.2100		1,995.6114	1,995.6114	0.6454		2,011.7470

4th and Mortimer Mixed-Use Project - Orange County, Summer

3.4 Grading - 2021**Unmitigated Construction Off-Site**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
	lb/day										lb/day					
Hauling	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000		0.0000	0.0000	0.0000		0.0000
Vendor	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000		0.0000	0.0000	0.0000		0.0000
Worker	0.0361	0.0218	0.3037	1.0600e-003	0.1118	7.2000e-004	0.1125	0.0296	6.7000e-004	0.0303		105.2194	105.2194	2.2500e-003		105.2758
Total	0.0361	0.0218	0.3037	1.0600e-003	0.1118	7.2000e-004	0.1125	0.0296	6.7000e-004	0.0303		105.2194	105.2194	2.2500e-003		105.2758

75C-159**Mitigated Construction On-Site**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
	lb/day										lb/day					
Fugitive Dust					6.5523	0.0000	6.5523	3.3675	0.0000	3.3675			0.0000			0.0000
Off-Road	1.8271	20.2135	9.7604	0.0206		0.9158	0.9158		0.8425	0.8425	0.0000	1,995.6114	1,995.6114	0.6454		2,011.7470
Total	1.8271	20.2135	9.7604	0.0206	6.5523	0.9158	7.4681	3.3675	0.8425	4.2100	0.0000	1,995.6114	1,995.6114	0.6454		2,011.7470

4th and Mortimer Mixed-Use Project - Orange County, Summer

3.4 Grading - 2021**Mitigated Construction Off-Site**

	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
Category	lb/day										lb/day					
Hauling	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000		0.0000	0.0000	0.0000		0.0000
Vendor	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000		0.0000	0.0000	0.0000		0.0000
Worker	0.0361	0.0218	0.3037	1.0600e-003	0.1118	7.2000e-004	0.1125	0.0296	6.7000e-004	0.0303		105.2194	105.2194	2.2500e-003		105.2758
Total	0.0361	0.0218	0.3037	1.0600e-003	0.1118	7.2000e-004	0.1125	0.0296	6.7000e-004	0.0303		105.2194	105.2194	2.2500e-003		105.2758

3.5 Building Construction - 2021**Unmitigated Construction On-Site**

	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
Category	lb/day										lb/day					
Off-Road	2.0451	16.0275	14.5629	0.0250		0.8173	0.8173		0.7831	0.7831		2,288.9355	2,288.9355	0.4503		2,300.1935
Total	2.0451	16.0275	14.5629	0.0250		0.8173	0.8173		0.7831	0.7831		2,288.9355	2,288.9355	0.4503		2,300.1935

4th and Mortimer Mixed-Use Project - Orange County, Summer

3.5 Building Construction - 2021**Unmitigated Construction Off-Site**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
lb/day																
Hauling	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000		0.0000	0.0000	0.0000		0.0000
Vendor	0.1281	4.5031	1.2213	0.0118	0.3067	9.3500e-003	0.3160	0.0883	8.9500e-003	0.0972		1,290.2040	1,290.2040	0.1012		1,292.7333
Worker	0.7111	0.4302	5.9635	0.0208	2.2020	0.0143	2.2163	0.5840	0.0131	0.5971		2,072.8225	2,072.8225	0.0444		2,073.9322
Total	0.8391	4.9333	7.2047	0.0326	2.5087	0.0236	2.5323	0.6722	0.0221	0.6943		3,363.0265	3,363.0265	0.1456		3,366.6654

75C-161**Mitigated Construction On-Site**

Category	ROG	NOx	CO	SO2	Fugitive PM10	Exhaust PM10	PM10 Total	Fugitive PM2.5	Exhaust PM2.5	PM2.5 Total	Bio- CO2	NBio- CO2	Total CO2	CH4	N2O	CO2e
lb/day																
Off-Road	2.0451	16.0275	14.5629	0.0250		0.8173	0.8173		0.7831	0.7831	0.0000	2,288.9355	2,288.9355	0.4503		2,300.1935
Total	2.0451	16.0275	14.5629	0.0250		0.8173	0.8173		0.7831	0.7831	0.0000	2,288.9355	2,288.9355	0.4503		2,300.1935